# A Few Basic Elements of <br> Communication Security 

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■ Communication security model

■ Information-theoretic privacy

■ Substitution ciphers

■ Intro to modern cryptography

■ One-time pad
■ Block siphers

■ Cryptographic hash functions

■ Public-key cryptosystems

## Communication Security

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- can read the message


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■ Communication model: Alice sends a message $m$ to Bob


■ Passive adversary

- can read the message

Eve

- Active adversary
- can modify the message

Goals

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■ Confidentiality (a.k.a., privacy): Alice wants to make sure that only Bob sees the message

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■ Authentication: Bob wants to make sure that the message he reads was exactly what Alice wrote

What is Privacy, Exactly?

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■ Alice wants to make sure that only Bob "sees" the message

■ What if Eve can guess the message?

## "Shift" Cipher

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BUUBDL BU EBXO

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- Plaintext is

ATTACK AT DAWN

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■ Plaintext is

ATTACK AT DAWN

■ How many possible ciphers?

- How many key bits?


## Problem

■ Decrypt this ciphertext which is an Italian phrase encrypted with a shift-cipher:
ulsgt1ffvgk1sgjhttpugkpguvz yhgbp hgtpgyp yvbhpgw7ygauhgz1sbhgvzjayh

## Substitution Cipher

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- alphabet $\Sigma=$ \{'A', 'B', $\ldots$, 'Z', ' '\}


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- alphabet $\Sigma=\left\{‘ A^{\prime}, ‘ B ’, \ldots, Z^{\prime}, ‘ ’\right\}$
- encryption function: a permutation

$$
E: \Sigma \rightarrow \Sigma
$$

## Substitution Cipher

■ Substitution cipher

- alphabet $\Sigma=\{‘ A$ ', ' $B$ ', $\ldots$, , 'Z',' ' $\}$
- encryption function: a permutation

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Example:
A B C DEFGHIJKLMNOPQRSTUVWXYZ_
V Z L Q X T _ R D UCOJNFMGEHWPISYABK

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A B C D E F G H I J K L M N O P Q R S T UVWXYZ_ V Z L Q X T _ R D U C O J N F M G E H W P I S Y A B K How many possible permutations?
$27!$

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Example:
A B C D E F G H I J K L M N O P Q R S T UVWXYZ_
V Z L Q X T _ R D U C O J N F M G E H W P I S Y A B K How many possible permutations?

$$
27!=10888869450418352160768000000 \approx 2^{93}
$$

## Substitution Cipher

■ Encrypting some text using a substitution cipher
plaintext C I A O_M A M M A

## Substitution Cipher

■ Encrypting some text using a substitution cipher


- Problems?


## Substitution Cipher

■ Encrypting some text using a substitution cipher


■ Problems?

- easy to break just by guessing!


## Symmetric Encryption

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S
R

## Symmetric Encryption



R

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R

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| $\mathbf{S}$ | sender |
| :--- | :--- |
| $\mathbf{R}$ | receiver |
| $\mathbf{A}$ | adversary |
| $E$ | encryption algorithm |
| $D$ | dencryption algorithm |
| $M$ | plaintext message |
| $C$ | ciphertext message |
| $K$ | key |

## One-Time Pad

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■ Assumptions: the message $M$ and the key $K$ are two $n$-bit strings

$$
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- Scheme
- encryption:

$$
E(K, M):=M \oplus K
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the key $K$ is then thrown away an never reused

- decryption:

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■ Example:

| $M$ | 0110010110111011 |
| :--- | :--- |
| $K$ | 1011000101000101 |
| $C$ | 1101010011111110 |

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■ Given a ciphertext $C$, every plaintext $m$ is equiprobable

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■ Is a substitution cipher perfectly secure?
■ Is one-time-pad perfectly secure?

The Cost of Perfect Privacy

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■ Proof: assume not.
Fix a possible ciphertext $C$, i.e., there is a message $m$ and a key $k$ such that $E_{K}(m)=C$, and $\operatorname{Pr}_{K \in \mathcal{K}}\left[E_{K}(m)=C\right]>0$ Let $P_{C}=\left\{m \in \mathcal{M}\right.$ such that $E_{k}(m)=C$ for some $\left.k\right\}$
Since every $k$ maps exactly one message $m$ to $C$, and since we have fewer keys than messages, then there is an $m^{\prime} \notin P_{C}$ such that no key $k$ maps $m^{\prime}$ to $C$; therefore $\operatorname{Pr}_{K \in \mathcal{K}}\left[E_{K}\left(m^{\prime}\right)=C\right]=0$, which violates the perfect-secrecy condition that for all $m$ and $m^{\prime}, \operatorname{Pr}_{K \in \mathcal{K}}\left[E_{K}(m)=C\right]=\operatorname{Pr}_{K \in \mathcal{K}}\left[E_{K}\left(m^{\prime}\right)=C\right]$

Message Authenticity

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R

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$$
\mathrm{S} \xrightarrow{M} \mathrm{R}
$$

Message Authenticity


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## Message Authenticity



| $\sigma$ | message authentication code (MAC) |
| :--- | :--- |
| $K$ | key |
| $\$$ | randomness |
| MAC gen. | MAC generation algorithm |
| MAC ver. | MAC verification algorithm |

## Asymmetric Encryption

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## S

R

## Asymmetric Encryption



R

## Asymmetric Encryption



R

## Asymmetric Encryption



R

## Asymmetric Encryption



## Asymmetric Encryption



## Asymmetric Encryption



| $P K_{R}$ | receiver's public key |
| :--- | :--- |
| $S K_{R}$ | receiver's secret key |
| $M$ | plaintext message |
| $C$ | ciphertext message |

## Digital Signatures

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## Digital Signatures



| $\sigma$ | digital signature |
| :--- | :--- |
| $S K_{S}$ | sender's secret key |
| $P K_{S}$ | sender's public key |
| $\$$ | randomness |
| sign | signing algorithm |
| verify | verification algorithm |

## Primitives vs. Protocols

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■ Protocol

- an algorithm
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■ Primitive

- also an algorithm
- the elementary subroutines of protocols
- implement (try to approximate) well-defined mathematical object
- embody "hard problems"


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■ E.g., RC4

## Padding with a Stream Cipher

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■ Assumptions: $S$ and $R$ share a secret key $K$ and agree to use a stream cipher $S_{K}$

- $S$ and $R$ maintain some state: position $s$ initialized to $s=0$


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1. $S$ computes $C \leftarrow M \oplus S_{K}[s \ldots s+|M|-1]$
2. $S$ updates its position $s \leftarrow s+|M|$

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1. $R$ computes $M \leftarrow C \oplus S_{K}[s \ldots s+|C|-1]$
2. $R$ updates its position $s \leftarrow s+|C|$

## Block Ciphers

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- fixed-length input and output ( $n$ )
- fixed-length key (k)
- e.g., DES, AES


## An Encryption Protocol

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- Output: $N$-bit ciphertext $C$


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■ Cipher Block Chaining (CBC)

- use a block cipher $E:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$
- split $M$ into $n$-bit blocks $M=M_{0}\left\|M_{1}\right\| \ldots \| M_{\ell} \quad(\ell=\lfloor N / n\rfloor)$


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$$
\begin{aligned}
& \operatorname{CBC}(K, M) \\
& 1 \\
& 1 \quad x \leftarrow 0^{n} \\
& 2
\end{aligned} \text { for } i \leftarrow 0 \text { to }\lfloor|M| / n\rfloor, ~ d o ~ C[n i \ldots n i+n-1] \leftarrow E_{K}(x \oplus M[n i \ldots n i+n-1])
$$

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## Exercise

$■$ Write the decryption algorithm for CBC

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■ Write the decryption algorithm for CBC

```
CBC-Decrypt \((K, C)\)
\(1 \quad x \leftarrow 0^{n}\)
2 for \(i \leftarrow 0\) to \(\lfloor|C| / n\rfloor\)
    do \(M[n i \ldots n i+n-1] \leftarrow x \oplus E_{K}^{-1}(C[n i \ldots n i+n-1])\)
    \(x \leftarrow C[n i \ldots n i+n-1]\)
5 return \(M\)
```


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■ What if $|M| \neq 0 \bmod n$ ?

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- any deterministic stateless protocol is insecure
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■ What if $|M| \neq 0 \bmod n$ ?

■ Is CBC parallelizable?

## CBC With Random IV

■ CBC\$: cipher block chaining with random IV

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$$
\begin{aligned}
& C B C \$-\operatorname{Encrypt}(K, M) \\
& 1 \\
& 2 \text { if }|M|=0 \vee|M| \neq 0 \bmod n \\
& 2
\end{aligned} \quad \text { then return } \perp,
$$

## CBC With Random IV (2)

- CBC : cipher block chaining with random IV (decryption)


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$$
\begin{aligned}
& \text { CBC } \$ \text {-Decrypt }(K, I V, C) \\
& 1 \quad \text { if }|C|=0 \vee|C| \neq 0 \bmod n \\
& 2
\end{aligned} \quad \text { then return } \perp
$$

## CBC With Stateful Counter

■ CBCC: cipher block chaining with stateful counter

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$$
\begin{array}{ll}
\hline \text { CBCC-Encrypt }(K, M) \\
1 & \text { static } c t r \leftarrow 0 \\
2 & \text { if } c t r \geq 2^{n} \vee|M|=0 \vee|M| \neq 0 \bmod n \\
3 & \text { then return } \perp \\
4 & M[1] \cdot M[2] \cdots M[\ell] \leftarrow M \\
5 & I V \leftarrow[\operatorname{ctr}]_{n} \\
6 & C[0] \leftarrow[c t r]_{n} \\
7 & \text { for } i \leftarrow 1 \text { to } \ell \\
8 & \text { do } C[i] \leftarrow E_{K}(C[i-1] \oplus M[i]) \\
9 & C \leftarrow C[1] \cdot C[2] \cdots C[\ell] \\
10 & \text { ctr } \leftarrow c t r+1 \\
11 & \text { return }\langle I V, C\rangle
\end{array}
$$

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$$
\begin{array}{|ll|}
\hline C B C C-D e c r y p t ~ \\
\hline 1 & \text { if } I V+|V| \geq 2^{n} \vee|C|=0 \vee|C| \neq 0 \bmod n \\
2 & \text { then return } \perp \\
3 & C[1] \cdot C[2] \cdots C[\ell] \leftarrow C \\
4 & I V[C t r]_{n} \\
5 & C[0] \leftarrow I V \\
6 & \text { for } i \leftarrow 1 \text { to } \ell \\
7 & \text { do } M[i] \leftarrow C[i-1] \oplus E_{K}^{-1}(C[i]) \\
8 & M \leftarrow M[1] \cdot M[2] \cdots M[\ell] \\
9 & \text { return } M
\end{array}
$$

## Counter Mode

■ CTR \$: counter mode with random initial counter

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- family of functions: $F:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$


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```
CTR \$-Encrypt ( \(K, M\) )
\(1 R \stackrel{\$}{\leftrightarrows} 0,1\}^{n}\)
\(2 \mathrm{Pad} \leftarrow F_{K}\left([R]_{n}\right)\)
3 for \(i \leftarrow 1\) to \(\lceil|M| / n\rceil-1\)
    do Pad \(\leftarrow \operatorname{Pad} \cdot F_{K}\left([R+i]_{n}\right)\)
5 Pad \(\leftarrow\) first \(|M|\) bits of Pad
\(6 \quad C \leftarrow M \oplus P a d\)
7 return \(\langle R, C\rangle\)
```


## Counter Mode (2)

■ CTR $\$$ : counter mode with random initial counter (decryption)

- family of functions: $F:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$


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```
CTR\$-Decrypt \((K, R, C)\)
\(1 \quad\) Pad \(\leftarrow F_{K}\left([R]_{n}\right)\)
2 for \(i \leftarrow 1\) to \(\lceil|C| / n\rceil-1\)
    do Pad \(\leftarrow \operatorname{Pad} \cdot F_{K}\left([R+i]_{n}\right)\)
4 Pad \(\leftarrow\) first \(|C|\) bits of Pad
\(5 \quad M \leftarrow C \oplus \operatorname{Pad}\)
6 return \(M\)
```


## Counter Mode (3)

■ CTRC: counter mode with stateful counter

- family of functions: $F:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$


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■ CTRC: counter mode with stateful counter

- family of functions: $F:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$

$$
\begin{aligned}
& \text { CTRC ( } K, M \text { ) } \\
& 1 \text { static } R \leftarrow 0 \\
& 2 \ell \leftarrow\lceil|M| / n\rceil \\
& 3 \text { if } R+\ell-1 \geq 2^{n} \\
& 4 \text { then return } \perp \\
& 5 \mathrm{Pad} \leftarrow F_{K}\left([R]_{n}\right) \\
& 6 \text { for } i \leftarrow 1 \text { to } \ell-1 \\
& \text { do Pad } \leftarrow \operatorname{Pad} \cdot F_{K}\left([R+i]_{n}\right) \\
& 8 \text { Pad } \leftarrow \text { first }|M| \text { bits of Pad } \\
& 9 \quad C \leftarrow M \oplus \text { Pad } \\
& 10 R \leftarrow R+\ell \\
& 11 \text { return }\langle R-\ell, C\rangle
\end{aligned}
$$

## Counter Mode (4)

■ CTRC: counter mode with stateful counter (decryption)

- family of functions: $F:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$


## Counter Mode (4)

■ CTRC: counter mode with stateful counter (decryption)

- family of functions: $F:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$

```
CTRC-Decrypt \((K, R, C)\)
1 Pad \(\leftarrow F_{K}\left([R]_{n}\right)\)
2 for \(i \leftarrow 1\) to \(\lceil|C| / n\rceil-1\)
    do Pad \(\leftarrow \operatorname{Pad} \cdot F_{K}\left([R+i]_{n}\right)\)
4 Pad \(\leftarrow\) first \(|C|\) bits of Pad
\(5 \quad M \leftarrow C \oplus \operatorname{Pad}\)
6 return \(M\)
```


## Authentication Protocol

■ MAC generation

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- split $M$ into $n$-bit blocks $M=M_{0}\left\|M_{1}\right\| \ldots \| M_{\ell} \quad(\ell=\lfloor N / n\rfloor)$

$$
\begin{aligned}
& \operatorname{MAC}(K, M) \\
& 1 / V \underbrace{\Phi}\{0,1\}^{n} \\
& 2 C \leftarrow I V \\
& 3 \text { for } i \leftarrow 0 \text { to }\lfloor|M| / n\rfloor \\
& \text { do } C \leftarrow E_{K}(C \oplus M[n i \ldots n i+n-1]) \\
& 5 \text { return }\langle I V, C\rangle
\end{aligned}
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\begin{aligned}
& \text { CBC-MAC } \$(K, M) \\
& 1 \text { if }|M|=0 \vee|M| \neq 0 \bmod n \\
& \text { then return } \perp \\
& 3 M[1] \cdot M[2] \cdots M[\ell] \leftarrow M \\
& 4 \operatorname{IV} \stackrel{\$}{\lfloor }\{0,1\}^{n} \\
& 5 C \leftarrow I V \\
& 6 \text { for } i \leftarrow 1 \text { to } \ell \\
& \text { do } C \leftarrow E_{K}(C \oplus M[i]) \\
& 8 \text { return }\langle I V, C\rangle
\end{aligned}
$$

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- CBC MAC: cipher block chaining MAC with random IV


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$$
\begin{aligned}
& \text { CBC-MAC } \$-\operatorname{Verify}(K, I V, \sigma, M) \\
& 1 \\
& \text { if }|M|=0 \vee|M| \neq 0 \bmod n \\
& 2
\end{aligned} \quad \text { then return } \perp,
$$

## Cryptographic Hash Functions

■ Cryptographic Hash: $H:\{0,1\}^{*} \rightarrow\{0,1\}^{n}$

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- e.g., SHA-1

■ Basic ingredients: cryptographic primitives

- secret-key (symmetric) cryptography (e.g., AES)
- public-key (asymmetric) cryptography (e.g., RSA)
- cryptographic hash functions (e.g., SHA-1)
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■ Applications

- electronic commerce
- secure shell
- secure electronic mail
- virtual private networks
- ...

