# Basics of Complexity Analysis: The RAM Model and the Growth of Functions

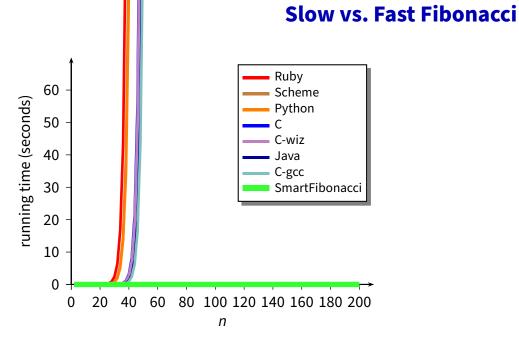
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#### **Outline**

- Informal analysis of two Fibonacci algorithms
- The random-access machine model
- Measure of complexity
- Characterizing functions with their asymptotic behavior
- Big-O, omega, and theta notations

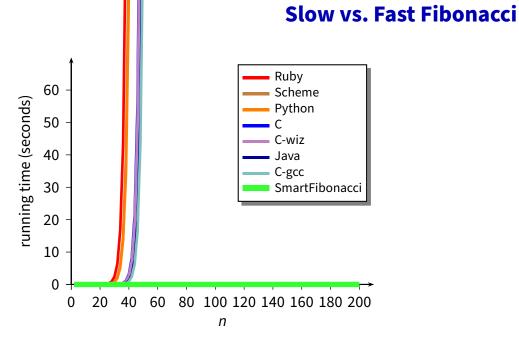


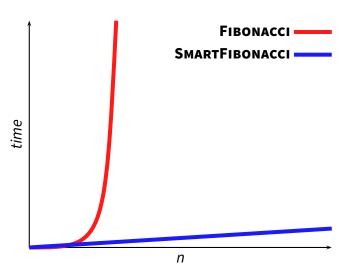
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  - in a way that is specific to the algorithms
  - but independent of implementation details





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- A *basic step* in the RAM model takes a *constant time*

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SMARTFIBONACCI(n)
   if n == 0
         return 0
 3 elseif n == 1
         return 1
    else pprev = 0
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        prev = 1
        for i = 2 to n
 8
             f = prev + pprev
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SMARTFIBONACCI(n)		cost	times $(n > 1)$
1	<b>if</b> <i>n</i> == 0	$c_1$	1
2	return 0	<i>c</i> <sub>2</sub>	0
3	elseif n == 1	<i>c</i> <sub>3</sub>	1
4	return 1	C <sub>4</sub>	0
5	else pprev = 0	<i>C</i> <sub>5</sub>	1
6	prev = 1	<i>c</i> <sub>6</sub>	1
7	for $i = 2$ to $n$	C <sub>7</sub>	n
8	f = prev + pprev	<i>c</i> <sub>8</sub>	n-1
9	pprev = prev	<b>C</b> 9	n-1
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$$T(n) = c_1 + c_3 + c_5 + c_6 + c_{11} + nc_7 + (n-1)(c_8 + c_9 + c_{10})$$

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FINDEQUALS(A)

1 for 
$$i = 1$$
 to  $length(A) - 1$ 

2 for  $j = i + 1$  to  $length(A)$ 

3 if  $A[i] == A[j]$ 

4 return TRUE

5 return FALSE

$$T(n) = C\frac{n(n-1)}{2}$$

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  - so, we assume  $c_1 = c_2 = c_3 = \cdots = c_i$
  - ightharpoonup we also ignore the specific *value*  $c_i$ , and in fact we ignore every constant cost factor

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We write

$$T(n) = \Theta(n^2)$$

and say that "T(n) is theta of n-squared"

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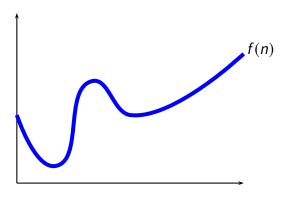
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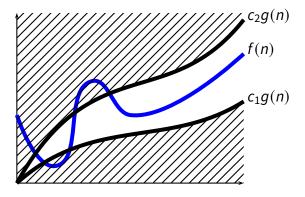
non-trivial tight bound

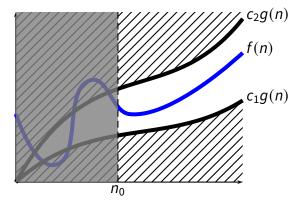
In fact, the fundamental prime number theorem says that

$$\lim_{n\to\infty}\frac{\pi(n)\ln n}{n}=1$$

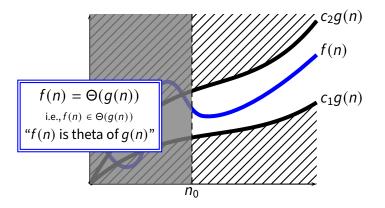








$$\Theta(g(n)) = \{ f(n) : \exists c_1 > 0, \exists c_2 > 0, \exists n_0 > 0 \\ : 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n \ge n_0 \}$$



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$$T(n) = n^2 + 10n + 100$$

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$$T(n) = n \log n + n \sqrt{n}$$

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$$T(n) = n^2 + 10n + 100$$
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$$T(n) = \text{complexity of SMARTFIBONACCI}$$

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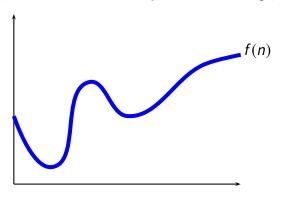
■ 
$$T(n) = \text{complexity of SMARTFIBONACCI}$$
  $\Rightarrow T(n) = \Theta(n)$ 

- We characterize the behavior of T(n) in the limit
- The Θ-notation is an *asymptotic notation*

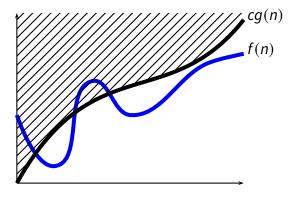


■ Given a function g(n), we define the family of functions O(g(n))

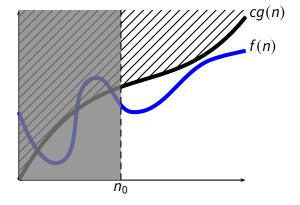
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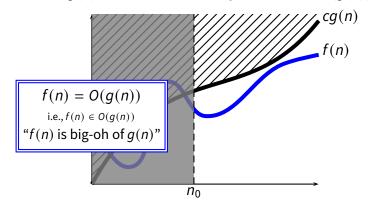


 $\blacksquare$  Given a function g(n), we define the family of functions O(g(n))



$$O(g(n)) = \{ f(n) : \exists c > 0, \exists n_0 > 0$$
  
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$$f(n) = \Theta(g(n)) \Rightarrow f(n) = O(g(n))$$

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$$n^2 - 10n + 100 = O(n \log n)$$
?

$$n^2 - 10n + 100 = O(n \log n)$$
? NO

$$n^2 - 10n + 100 = O(n \log n)$$
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$$f(n) = O(2^n) \Rightarrow f(n) = O(n^2)?$$

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$$\bullet$$
  $f(n) = O(2^n) \Rightarrow f(n) = O(n^2)$ ? NO

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$$f(n) = O(2^n) \Rightarrow f(n) = O(n^2)?$$
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$$f(n) = \Theta(2^n) \Rightarrow f(n) = O(n^2 2^n)?$$
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$$n^2 + (1.5)^n = O(2^{\frac{n}{2}})$$
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■ So, what is the complexity of **FINDEQUALS**?

```
FINDEQUALS(A)

1 for i = 1 to length(A) - 1

2 for j = i + 1 to length(A)

3 if A[i] == A[j]

4 return TRUE

5 return FALSE
```

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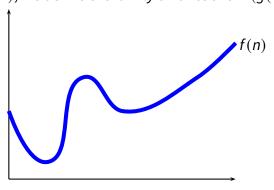
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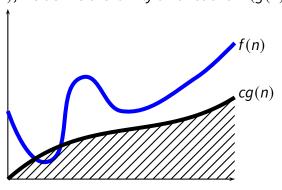
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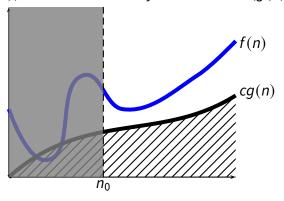
$$T(n) = \Theta(n^2)$$

- ightharpoonup n = length(A) is the **size of the input**
- we measure the worst-case complexity

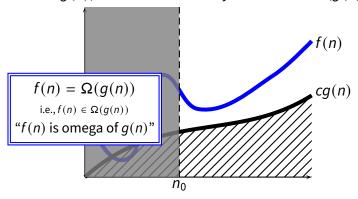








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■ Theorem: for any two functions f(n) and g(n),  $f(n) = \Omega(q(n)) \wedge f(n) = O(q(n)) \Leftrightarrow f(n) = \Theta(q(n))$ 

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- When  $f(n) = \Omega(g(n))$  we say that g(n) is a **lower bound** for f(n)

■ We can use the  $\Theta$ -, O-, and  $\Omega$ -notation to represent anonymous (unknown or unsecified) functions E.g.,

$$f(n) = 10n^2 + O(n)$$

means that f(n) is equal to  $10n^2$  plus a function we don't know or we don't care to know that is asymptotically at most linear in n.

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? YES  
 $n^{2} + \Omega(n) - 1 = O(n^{2})$ ? NO  
 $n^{2} + O(n) - 1 = O(n^{2})$ ? YES  
 $n \log n + \Theta(\sqrt{n}) = O(n\sqrt{n})$ ?

We can use the Θ-, O-, and Ω-notation to represent anonymous (unknown or unsecified) functions E.g.,

$$f(n) = 10n^2 + O(n)$$

means that f(n) is equal to  $10n^2$  plus a function we don't know or we don't care to know that is asymptotically at most linear in n.

$$n^{2} + 4n - 1 = n^{2} + \Theta(n)$$
? YES  
 $n^{2} + \Omega(n) - 1 = O(n^{2})$ ? NO  
 $n^{2} + O(n) - 1 = O(n^{2})$ ? YES  
 $n \log n + \Theta(\sqrt{n}) = O(n\sqrt{n})$ ? YES



#### **o-Notation**

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$$n \log n = O(n^2)$$
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■ We use the *o*-notation to denote upper bounds that are *not* asymtotically tight. So, given a function g(n), we define the family of functions o(g(n))

$$o(g(n)) = \{ f(n) : \forall c > 0, \exists n_0 > 0$$
  
:  $0 \le f(n) < cg(n) \text{ for all } n \ge n_0 \}$ 



## $\omega$ -Notation

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 $2^n = \Omega(n \log n)$  is not asymptotically tight

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## $\omega$ -Notation

The  $\Omega$ -notation defines a lower bound that might not be asymptotically tight

$$2^n = \Omega(n \log n)$$
 is not asymptotically tight  $n + 4n \log n = \Omega(n \log n)$  is asymptotically tight

E.g.,

■ We use the  $\omega$ -notation to denote lower bounds that are *not* asymtotically tight. So, given a function g(n), we define the family of functions  $\omega(g(n))$ 

$$\omega(g(n)) = \{ f(n) : \forall c > 0, \exists n_0 > 0 \\ : 0 \le cg(n) < f(n) \text{ for all } n \ge n_0 \}$$

