# **Greedy Algorithms**

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#### **Outline**

- Greedy strategy
- Examples
- Activity selection
- Huffman coding

- Find the MST of G = (V, E) with  $w : E \to \mathbb{R}$ 
  - ▶ find a  $T \subseteq E$  that is a minimum-weight spanning tree

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#### **GENERIC-MST**(G, W)

- 1  $A = \emptyset$
- 2 **while** A is not a spanning tree
- 3 find a safe edge e = (u, v) // the lightest that...
- $A = A \cup \{e\}$



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- 3. Prove that the remaining subproblem is such that
  - combining the greedy choice with the optimal solution of the subproblem gives an optimal solution to the original problem

### **The Greedy-Choice Property**

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**greedy-choice property:** one can always arrive at a globally optimal solution by making a locally optimal choice

- At every step, we consider only what is best in the current problem
  - not considering the results of the subproblems

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- It is natural to prove this by induction
  - if the solution to the subproblem is optimal, then combining the greedy choice with that solution yields an optimal solution

■ The absolutely trivial *gift-selection problem* 

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  - out of a set  $X = \{x_1, x_2, \dots, x_n\}$  of valuable objects, where  $v(x_i)$  is the value of  $x_i$

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- Optimal-substructure property
  - ▶ if  $v(x_i) = \max_{x \in X} v(x)$  and A' is an optimal solution for  $X' = X \{x_i\}$ , then  $A' \subset A$

#### **Observation**

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- *Inventing* a greedy algorithm is easy
  - it is easy to come up with greedy choices
- Proving it optimal may be difficult
  - requires deep understanding of the **structure of the problem**

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**Optimal:**  $4 \times 1 + 2 \times 0.25 + 3 \times 0.1 = 4.8$  (9 coins/bills)

### **Knapsack Problem**

- A thief robbing a store finds *n* items
  - $\triangleright$   $v_i$  is the value of item i
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  - W is the maximum weight that the thief can carry

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- Is this a greedy problem?
- **Exercise:** 1. formulate a reasonable greedy choice
  - 2. prove that it doesn't work with a counter-example
  - 3. go back to (1) and repeat a couple of times



### **Fractional Knapsack Problem**

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- Is this a greedy problem?
- **Exercise:** prove that it is a greedy problem

### **Activity-Selection Problem**

- A conference room is shared among different activities
  - $ightharpoonup S = \{a_1, a_2, \dots, a_n\}$  is the set of proposed activities
  - ightharpoonup activity  $a_i$  has a start time  $s_i$  and a finish time  $f_i$
  - ▶ activities  $a_i$  and  $a_j$  are *compatible* if either  $f_i \le s_j$  or  $f_j \le s_i$

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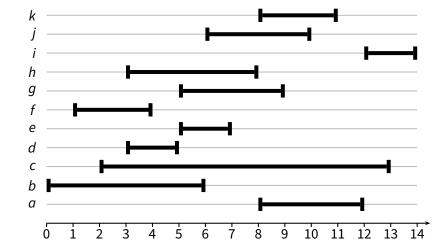
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Example

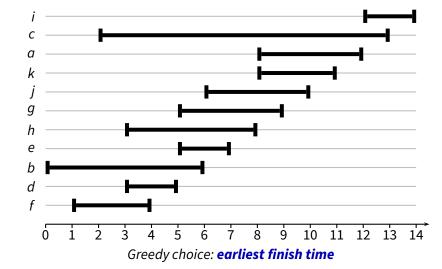
activity											
start	8	0	2	3	5	1	5	3	12	6	8
 finish	12	6	13	5	7	4	9	8	14	10	11

Is there a greedy solution for this problem?

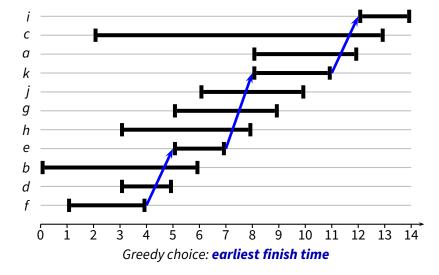
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**Proof:** (by contradiction)

▶ assume  $a_x \notin OPT$ 

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- ▶ assume  $a_x \notin OPT$
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- ► construct  $OPT^* = OPT \setminus \{a_m\} \cup \{a_x\}$

■ *Greedy choice:* take  $a_x \in S$  s.t.  $f_x \leq f_i$  for all  $a_i \in S$ 

**Prove:** there is an optimal solution *OPT*\* that contains  $a_x$ 

#### **Proof:** (by contradiction)

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- ▶ let  $a_m \in OPT$  be the earliest-finish activity in OPT
- ▶ construct  $OPT^* = OPT \setminus \{a_m\} \cup \{a_x\}$
- ► OPT\* is valid

#### **Proof:**

- every activity  $a_i \in OPT \setminus \{a_m\}$  has a starting time  $s_i \ge f_m$ , because  $a_m$  is compatible with  $a_i$  (so either  $f_i < s_m$  or  $s_i > f_m$ ) and  $f_i > f_m$ , because  $a_m$  is the earliest-finish activity in OPT
- ▶ therefore, every activity  $a_i$  is compatible with  $a_x$ , because  $s_i \ge f_m \ge f_x$

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- ▶ therefore, every activity  $a_i$  is compatible with  $a_x$ , because  $s_i \ge f_m \ge f_x$
- ▶ thus OPT\* is an optimal solution, because |OPT\*| = |OPT|



■ *Optimal-substructure property:* having chosen  $a_x$ , let  $S' \subset S$  be the set of activities compatible with  $a_x$ , that is,  $S' = \{a_i \mid s_i \geq f_x\}$ 

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- which means that there is a solution S' of size |OPT| 1, which contradicts the main assumption that |OPT'| < |OPT| 1

- Suppose you have a large sequence S of the six characters: 'a', 'b', 'c', 'd', 'e', and 'f'
  - e.g.,  $n = |S| = 10^9$
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  - ► 6 symbols require 3 bits per symbol
  - $3 \times 10^9/8 = 3.75 \times 10^8$  (a bit less than 400Mb)

- Suppose you have a large sequence S of the six characters: 'a', 'b', 'c', 'd', 'e', and 'f'
  - e.g.,  $n = |S| = 10^9$
- What is the most efficient way to store that sequence?
- First approach: compact fixed-width encoding
  - ► 6 symbols require 3 bits per symbol
  - $3 \times 10^9/8 = 3.75 \times 10^8$  (a bit less than 400Mb)
- Can we do better?



## **Huffman Coding (2)**

■ Consider the following encoding table:

symbol	code			
а	000			
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- *Observation:* the encoding of 'e' and 'f' is a bit redundant
  - the second bit does not help us in distinguishing 'e' from 'f'
  - in other words, if the first (most significant) bit is 1, then the second bit gives us no information, so it can be removed



symbol	code
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■ Encoding and decoding are well-defined and unambiguous

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- Encoding and decoding are well-defined and unambiguous
- How much space do we save?
  - ▶ not knowing the frequency of 'e' and 'f', we can't tell exactly
- Given the frequencies  $f_a, f_b, f_c, \ldots$  of all the symbols in S

$$M = 3n(f_a + f_b + f_c + f_d) + 2n(f_e + f_f)$$



#### **Problem Definition**

- Given a set of symbols C and a frequency function  $f: C \rightarrow [0,1]$
- Find a code  $E: C \rightarrow \{0, 1\}^*$  such that

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- E is a prefix code
  - ▶ no codeword  $E(c_1)$  is the prefix of another codeword  $E(c_2)$
- The average codeword size

$$B(S) = \sum_{c \in C} f(c)|E(c)|$$

is minimal



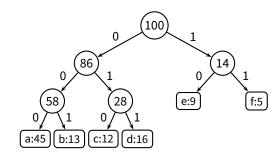
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d	16%	011
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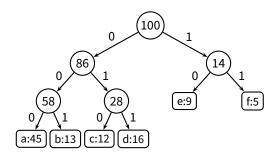
sym.	freq.	code
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- leaves represent symbols; internal nodes are prefixes
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$$B(S) = n \sum_{c \in leaves(T)} f(c) depth(c) = n \sum_{v \in T} f(v)$$

## **Huffman Algorithm**

```
HUFFMAN(C)

1 n = |C|

2 Q = C

3 for i = 1 to n - 1

4 create a new node z

5 z.left = \text{Extract-Min}(\mathbf{Q})

6 z.right = \text{Extract-Min}(\mathbf{Q})

7 f(z) = f(z.left) + f(z.right)

8 INSERT(Q, z)

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- We build the code bottom-up
- Each time we make the "greedy" choice of merging the two least frequent nodes (symbols or prefixes)

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С	12%	
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a:45

b:13

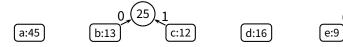
c:12

d:16



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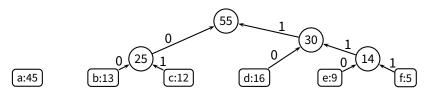
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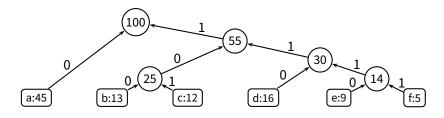
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sym.	freq.	code
а	45%	0
b	13%	100
С	12%	101
d	16%	110
е	9%	1110
f	5%	1111

