

More on Sorting: Quick Sort and Heap Sort

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March 12, 2020

- Another divide-and-conquer sorting algorithm
- The *heap*
- Heap sort

Sorting Algorithms Seen So Far

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Algorithm	Complexity			In place?
	<i>worst</i>	<i>average</i>	<i>best</i>	
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Using the Partitioning Algorithm

- *Basic step*: partition A in three parts based on a *chosen value* $v \in A$
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- *Can we partition A **in place**?*

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2	36	5	21	8	13	11	20	5	4	1
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⌊ $A[1 \dots q - 1]$ ⌋

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$\leftarrow A[1 \dots q - 1] \quad \leftarrow A[q + 1 \dots n] \quad \leftarrow$

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```
QUICKSORT( $A, begin, end$ )
1  if  $begin < end$ 
2       $q = \mathbf{PARTITION}(A, begin, end)$ 
3      QUICKSORT( $A, begin, q - 1$ )
4      QUICKSORT( $A, q + 1, end$ )
```


- Start with $q = 1$
 - ▶ i.e., *assume all elements are greater than the pivot*
- Scan the array left-to-right, starting at position 2
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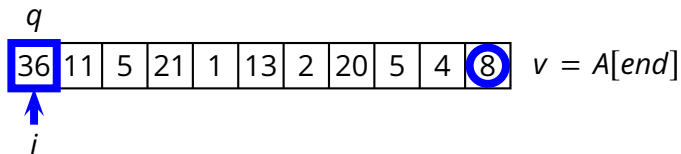
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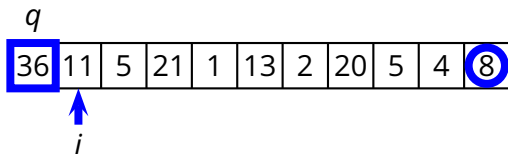
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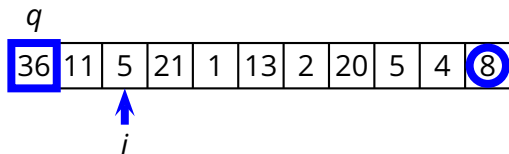
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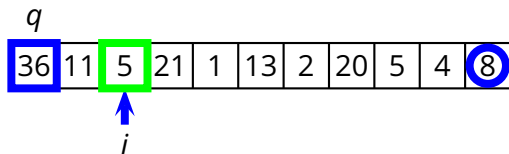
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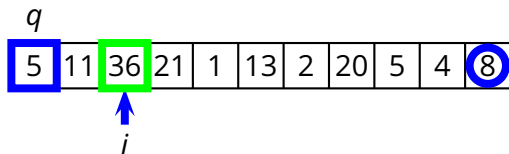
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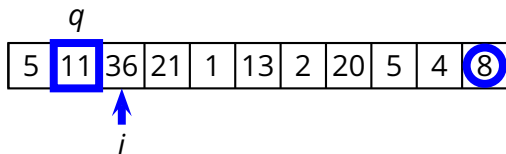
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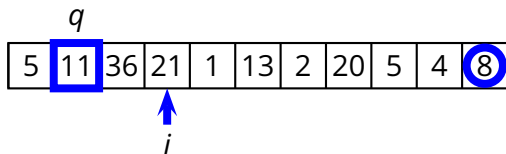
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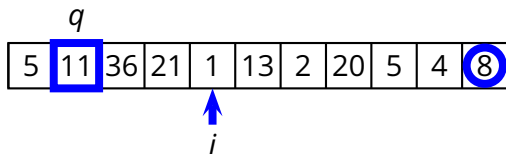
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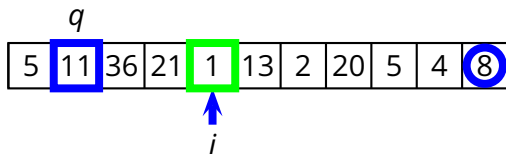
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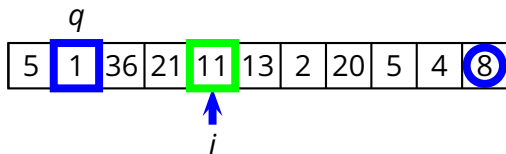
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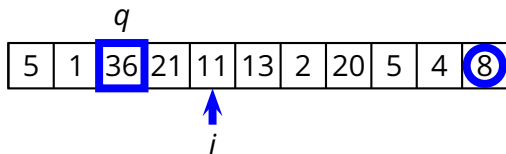
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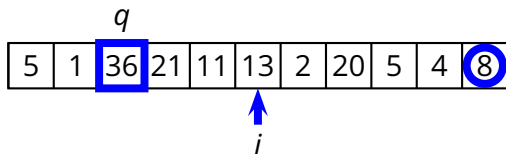
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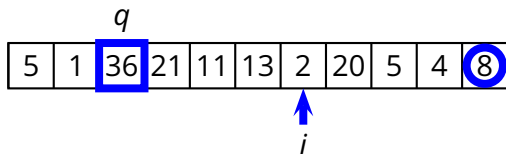
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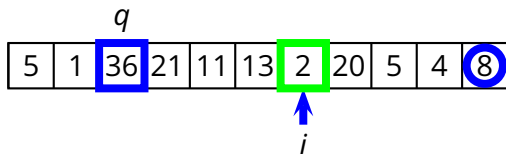
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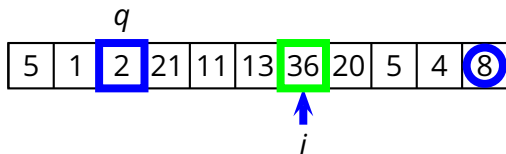
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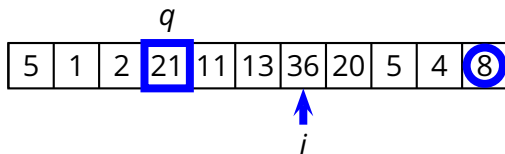
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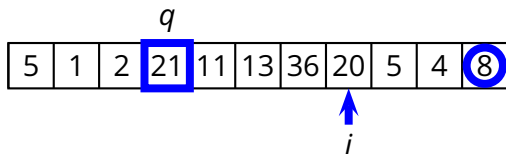
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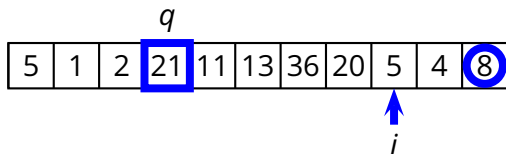
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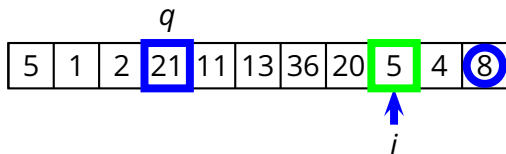
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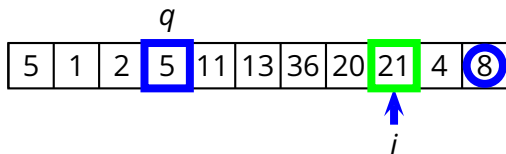
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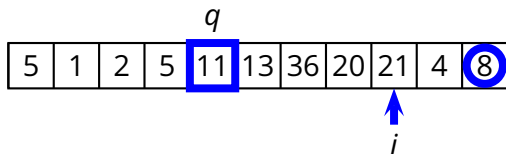
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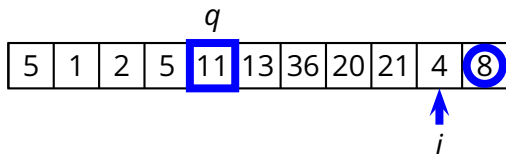
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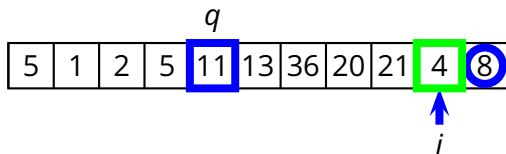
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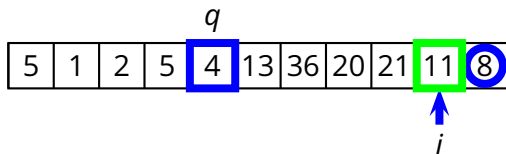
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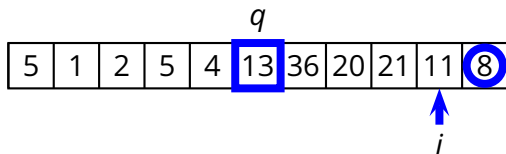
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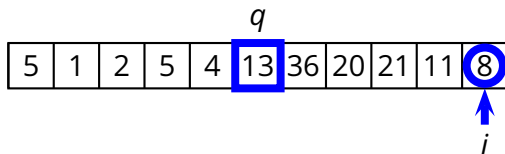
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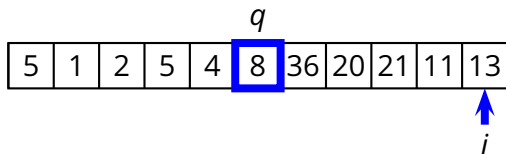
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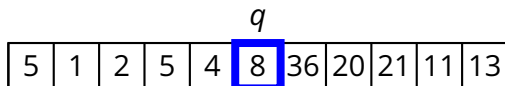
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Complete QUICKSORT Algorithm

PARTITION($A, begin, end$)

```
1  $q = begin$ 
2  $v = A[end]$ 
3 for  $i = begin$  to  $end$ 
4     if  $A[i] \leq v$ 
5         swap  $A[i]$  and  $A[q]$ 
6          $q = q + 1$ 
7 return  $q - 1$ 
```

QUICKSORT($A, begin, end$)

```
1 if  $begin < end$ 
2      $q = \mathbf{PARTITION}(A, begin, end)$ 
3     QUICKSORT( $A, begin, q - 1$ )
4     QUICKSORT( $A, q + 1, end$ )
```

Complexity of PARTITION

```
PARTITION(A, begin, end)  
1  q = begin  
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```

$$T(n) = \Theta(n)$$

Complexity of QUICKSORT

```
QUICKSORT(A, begin, end)
```

```
1  if begin < end
```

```
2      q = PARTITION(A, begin, end)
```

```
3      QUICKSORT(A, begin, q - 1)
```

```
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- ▶ $q = \textit{begin}$ or $q = \textit{end}$
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$$T(n) = \Theta(n^2)$$

Complexity of QUICKSORT (2)

```
QUICKSORT(A, begin, end)
```

```
1  if begin < end
```

```
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- ▶ $q = \lceil n/2 \rceil$

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- ▶ $q = \lceil n/2 \rceil$
- ▶ the partition transforms P of size n into two problems P of size $\lfloor n/2 \rfloor$ and $\lceil n/2 \rceil - 1$, respectively

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Sorting Algorithms Seen So Far

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Algorithm	Complexity			In place?
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INSERTION-SORT	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n)$	yes
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MERGE-SORT	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n \log n)$	no

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QUICKSORT				

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QUICKSORT	$\Theta(n^2)$	$\Theta(n \log n)$	$\Theta(n \log n)$	yes

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??	$\Theta(n \log n)$			yes

- Our first real *data structure*

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- Interface

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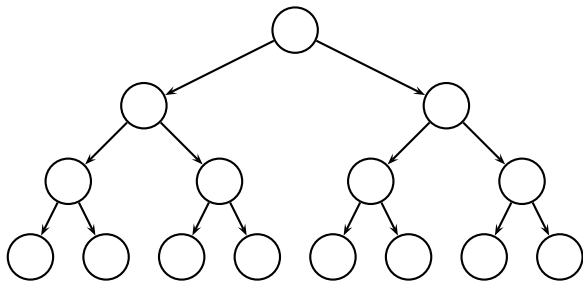
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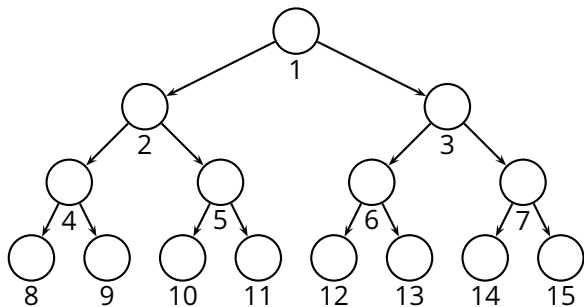
Binary Heap: Structure

- Conceptually a full binary tree

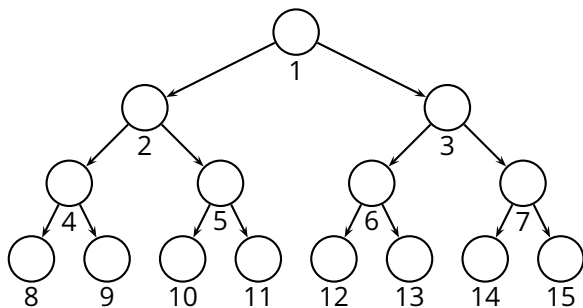
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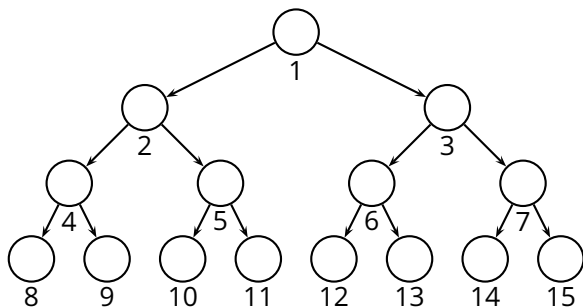
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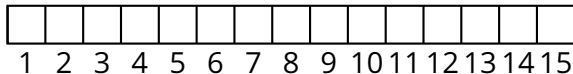
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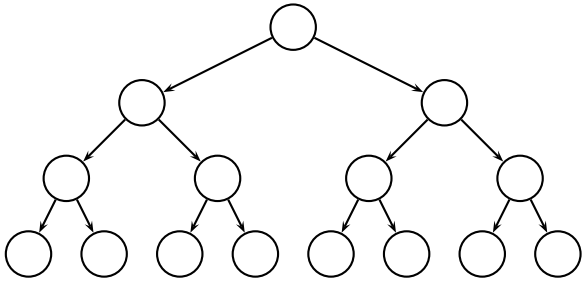


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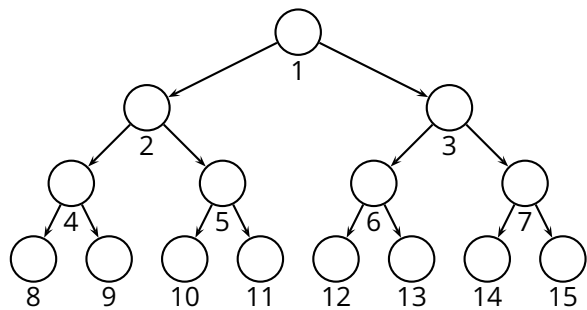


Binary Heap: Properties

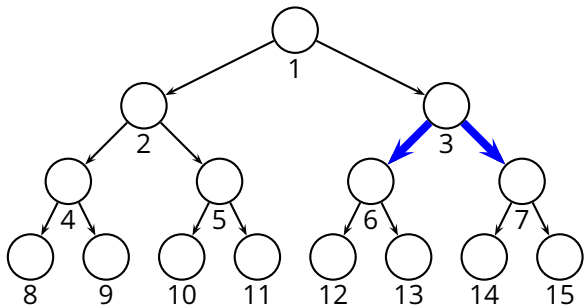
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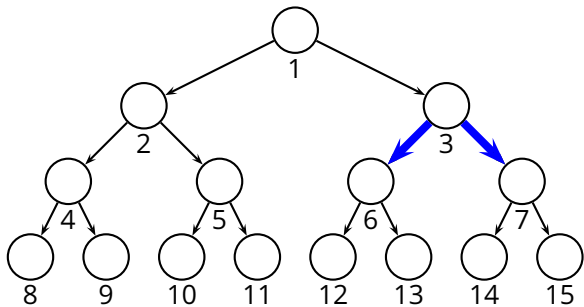
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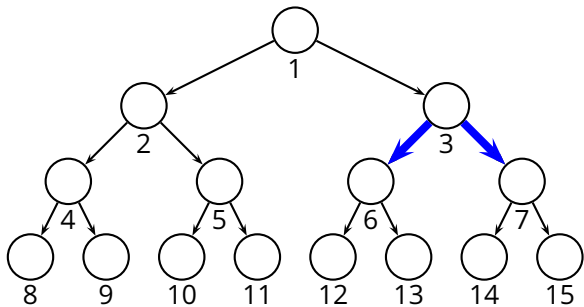


PARENT(i)
return $\lfloor i/2 \rfloor$

LEFT(i)
return $2i$

RIGHT(i)
return $2i + 1$

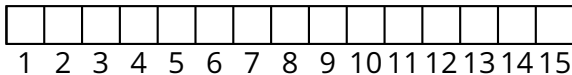
Binary Heap: Properties



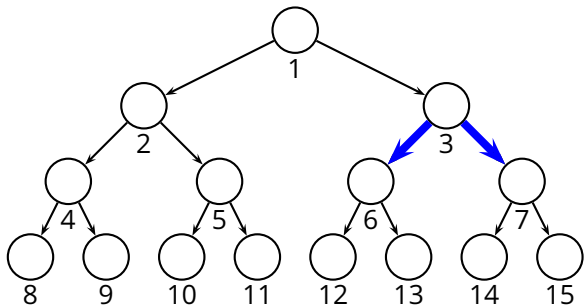
PARENT(i)
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LEFT(i)
return $2i$

RIGHT(i)
return $2i + 1$



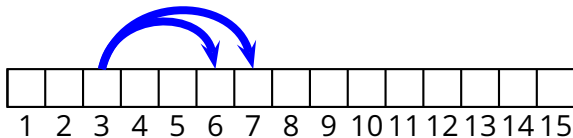
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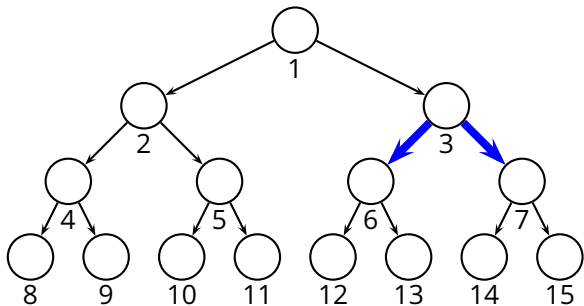
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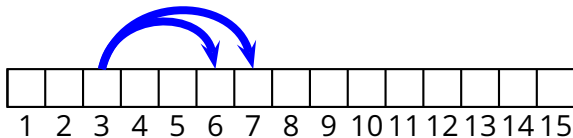
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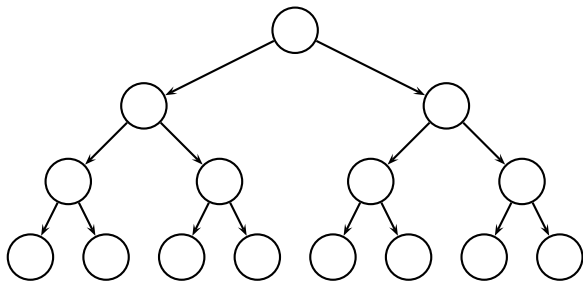


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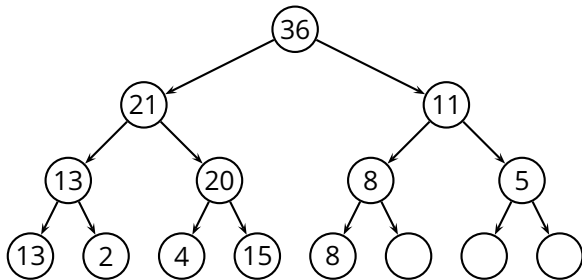
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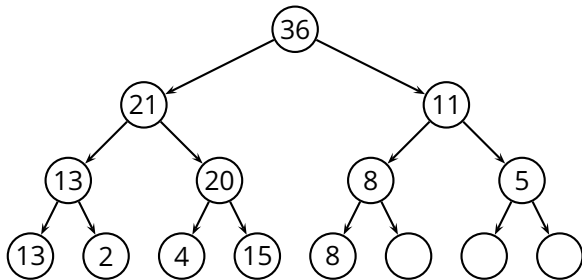
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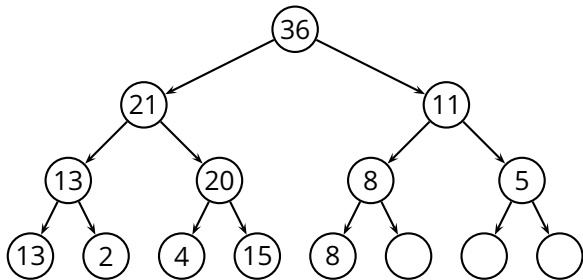
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- Where is the max element?

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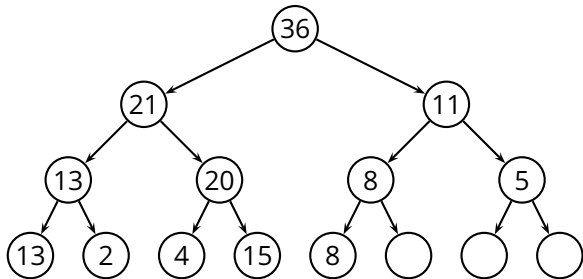
- Where is the max element?
- How can we implement **HEAP-EXTRACT-MAX**?

■ HEAP-EXTRACT-MAX procedure

- ▶ extract the max key
- ▶ rearrange the heap to maintain the *max-heap property*

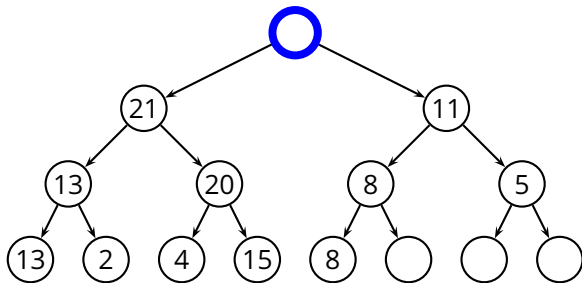
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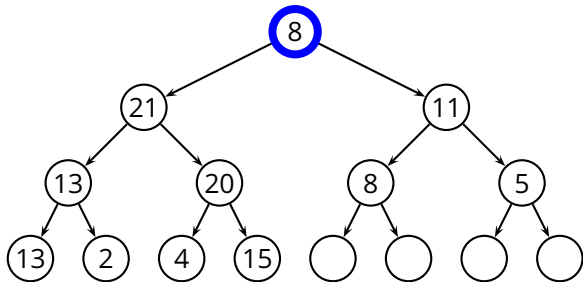
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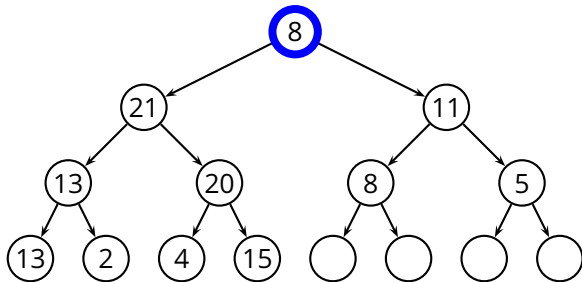
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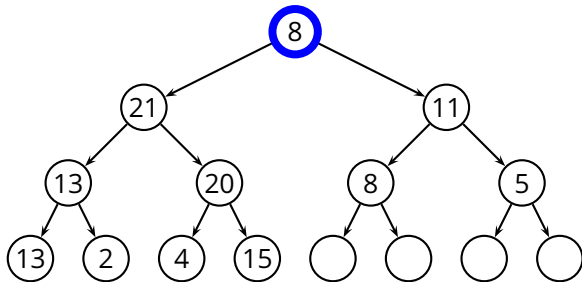
- Now we have two subtrees where the *max-heap property* holds

■ **MAX-HEAPIFY**(A, i) procedure

- ▶ *assume*: the *max-heap property* holds in the subtrees of node i
- ▶ *goal*: rearrange the heap to maintain the *max-heap property*

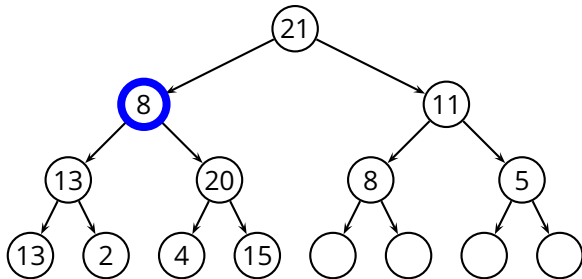
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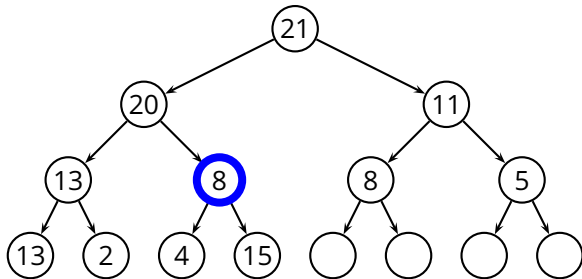
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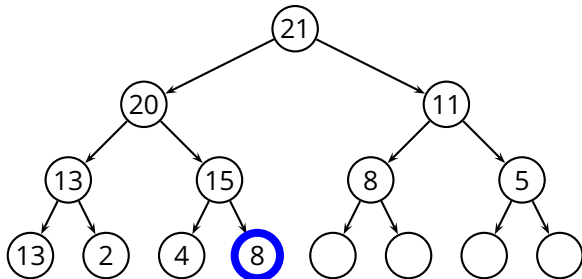
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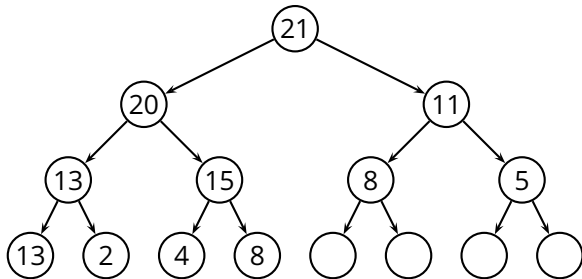
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MAX-HEAPIFY(*A*, *i*)

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1  l = LEFT(i)
2  r = RIGHT(i)
3  if l ≤ A.heap-size and A[l] > A[i]
4      largest = l
5  else largest = i
6  if r ≤ A.heap-size and A[r] > A[largest]
7      largest = r
8  if largest ≠ i
9      swap A[i] and A[largest]
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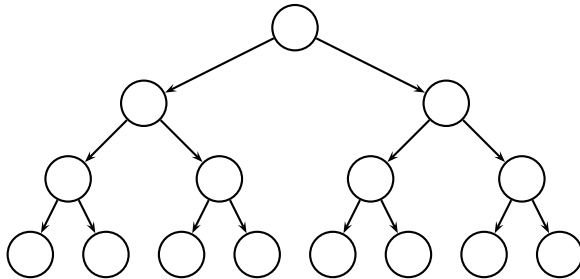
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BUILD-MAX-HEAP(A)

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1  $A.heap\text{-}size = length(A)$   
2 for  $i = \lfloor length(A)/2 \rfloor$  downto 1  
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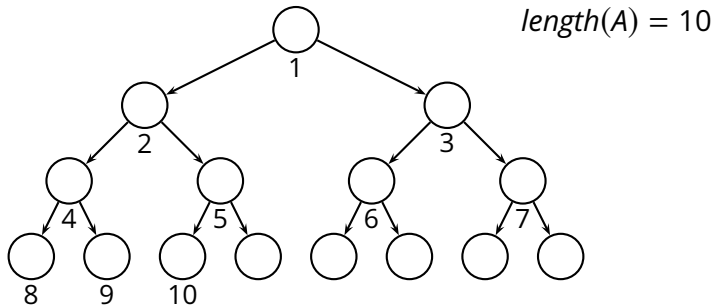
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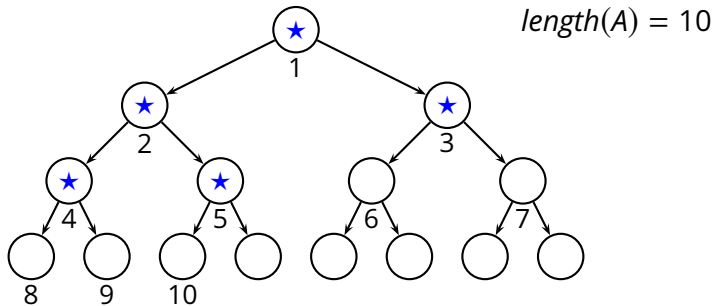
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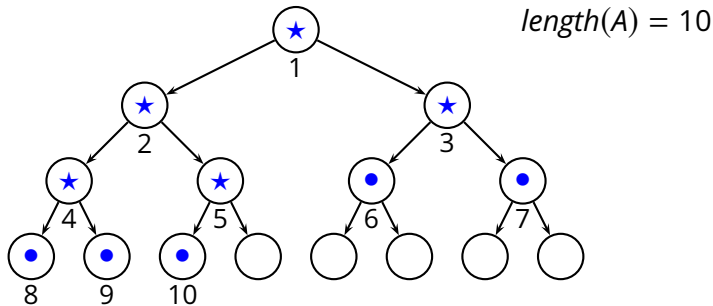
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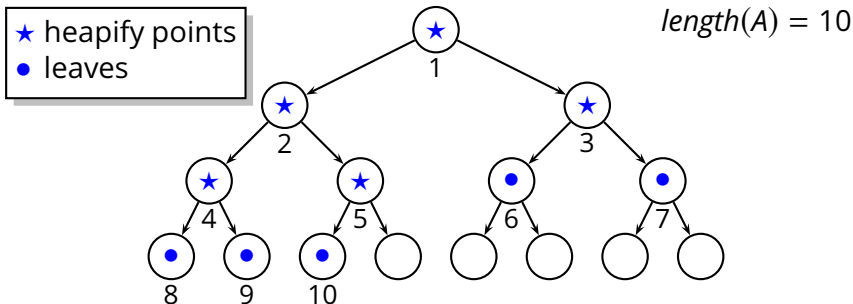
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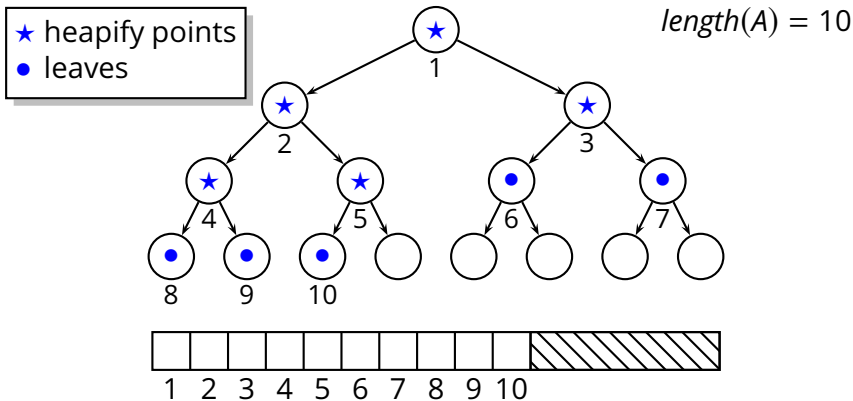
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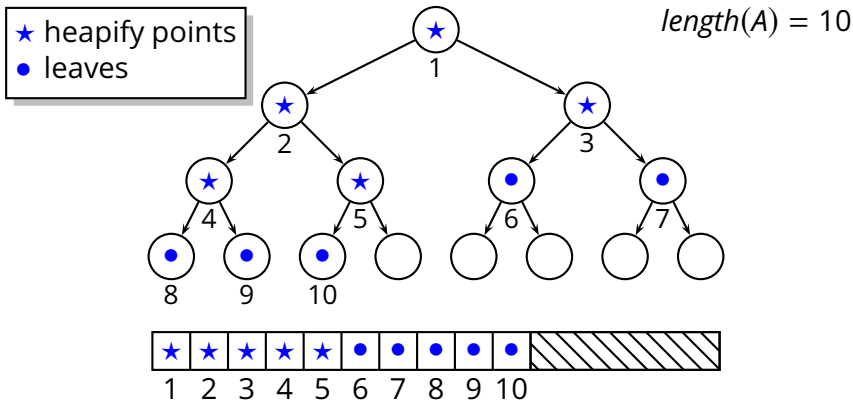
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- Benefits

- ▶ in-place sorting; worst-case is $\Theta(n \log n)$

Summary of Sorting Algorithms

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Algorithm	Complexity			In place?
	<i>worst</i>	<i>average</i>	<i>best</i>	

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