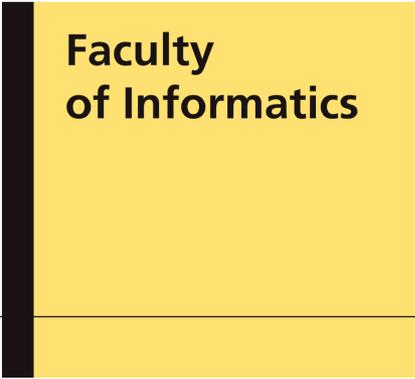




Università  
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**Faculty  
of Informatics**

# Automated Discovery of Simulation Between Programs

**Grigory Fedjukovich**, Arie Gurfinkel, and Natasha Sharygina

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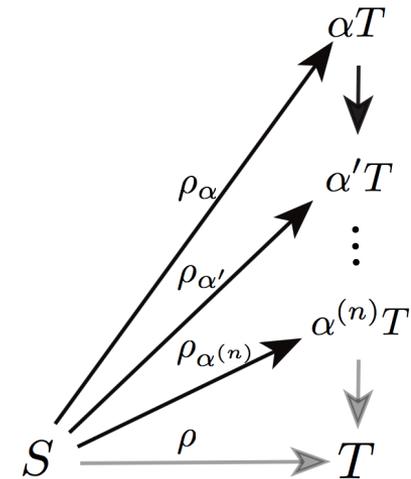
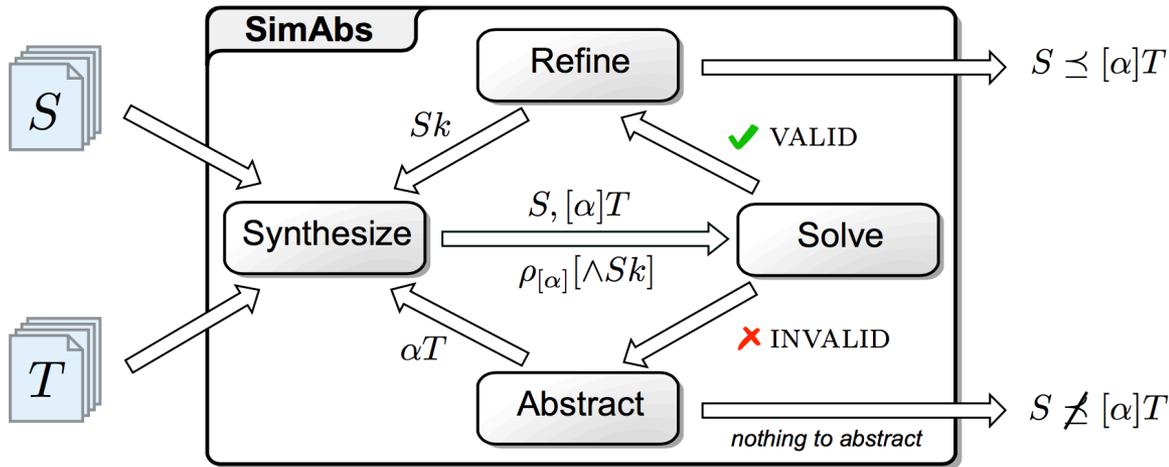
LPAR, Fiji, 25 Nov 2015

# What is this talk about

- Searching for total simulation relations
  - *for each behavior of one program (called **source**)*  
*there should exist a behavior of another program (called **target**)*  
*matched by some simulation relation*
- Synthesizing abstract simulation relations
  - *if the target does not simulate the **source***  
*search for an **abstraction of the target** that does*

# Our solutions

## *big picture*



- Not a CEGAR / CEGIS loop
  - first, find an abstraction
  - second, refine as much as possible

- Solving and Refining:
  - sequences of  $\forall\exists$ -formulas
  - witnessing Skolem functions

# Our solutions

*in more detail*

- Symbolic simulation checking in SMT
  - Solving formulas of this kind:  $\forall \vec{x}.S(\vec{x}) \implies \exists \vec{y}.T(\vec{x}, \vec{y})$
- The abstraction-refinement-based algorithm SimAbs
  - Abstractions and simulations are constructed automatically
- Implicit existential abstraction
  - Making some variables from  $T$  existentially quantified
- Refinement based on Skolem relations
  - Extracted from valid  $\forall\exists$ -formulas
- Horn clause-based Skolemizer AE-VAL
  - Based on iterative construction of MBPs
- LLVM-based implementation and evaluation
  - Checking correctness of compiler optimizations

# SimAbs in action

*source*

```
int a = *;  
int b = *;  
while(*){  
    a = a + b;  
}
```

*target*

```
int a = *;  
int b = *;  
while(*){  
    int c = a - b;  
    a = c;  
}
```

# SimAbs in action

*source*

```
int a = *;
int b = *;
while(*){
  a = a + b;
}
```

*target*

```
int a = *;
int b = *;
while(*){
  int c = a - b;
  a = c;
}
```

*not a simulation relation:*

$$(a_S = a_T \wedge b_S = b_T)$$

# SimAbs in action

```
int a = *;
int b = *;
while(*){
  a = a + b;
}
```

*existential abstraction of the target*

```
int a = *;
int b = *;
while(*){
  while(*){
    int c = a - b;
    a = c;
  }
}
```

```
int a = *;
while(*){
  int b = *;
  int c = a - b;
  a = c;
}
```

# SimAbs in action

*source*

```
int a = *;
int b = *;
while(*){
  a = a + b;
}
```

*existential abstraction of the target*

```
int a = *;
int b = *;
while(*){
  int c = a - b;
  a = c;
}
```

```
int a = *;
while(*){
  int b = *;
  int c = a - b;
  a = c;
}
```

*simulation relation*  
( $a_S = a_T$ )

# What makes the formula valid?

✗ INVALID

$$\forall a_S, b_S, a'_S, b'_S, a_T, b_T. \\ (a_S = a_T \wedge b_S = b_T) \wedge (a'_S = a_S + b_S \wedge b'_S = b_S) \implies \\ \exists a'_T, b_T, c_T. (c_T = a_T - b_T \wedge a'_T = c_T) \wedge (a'_S = a'_T \wedge b'_S = b'_T)$$

✓ VALID

$$\forall a_S, b_S, a'_S, b'_S, a_T. \\ (a_S = a_T) \wedge (a'_S = a_S + b_S \wedge b'_S = b_S) \implies \\ \exists b_T, a'_T, b'_T, c_T. (c_T = a_T - b_T \wedge a'_T = c_T) \wedge (a'_S = a'_T)$$

$b_T$  is now existentially quantified  
and thus can be skolemized:

$$b_T = -b_S$$

# What makes the formula valid?

✗ INVALID

$$\begin{aligned} &\forall a_S, b_S, a'_S, b'_S, a_T, b_T. \\ &\quad (a_S = a_T \wedge b_S = b_T) \wedge (a'_S = a_S + b_S \wedge b'_S = b_S) \implies \\ &\quad \exists a'_T, b'_T, c_T. (c_T = a_T - b_T \wedge a'_T = c_T) \wedge (a'_S = a'_T \wedge b'_S = b'_T) \end{aligned}$$

✓ VALID

$$\begin{aligned} &\forall a_S, b_S, a'_S, b'_S, a_T. \\ &\quad (a_S = a_T) \wedge (a'_S = a_S + b_S \wedge b'_S = b_S) \implies \\ &\quad \exists b_T, a'_T, b'_T, c_T. (c_T = a_T - b_T \wedge a'_T = c_T) \wedge (a'_S = a'_T) \end{aligned}$$

?

$$\begin{aligned} &\forall a_S, b_S, a'_S, b'_S, a_T. \\ &\quad (a_S = a_T \wedge b_S = -b_T) \wedge (a'_S = a_S + b_S \wedge b'_S = b_S) \implies \\ &\quad \exists a'_T, b'_T, c_T. (c_T = a_T - b_T \wedge a'_T = c_T) \wedge (a'_S = a'_T \wedge b'_S = -b'_T) \end{aligned}$$

# SimAbs in action

*source*

```
int a = *;
int b = *;
while(*){
  a = a + b;
}
```

*target*

```
int a = *;
int b = *;
while(*){
  int c = a - b;
  a = c;
}
```

*simulation relation:*

$$(a_S = a_T \wedge b_S = -b_T)$$

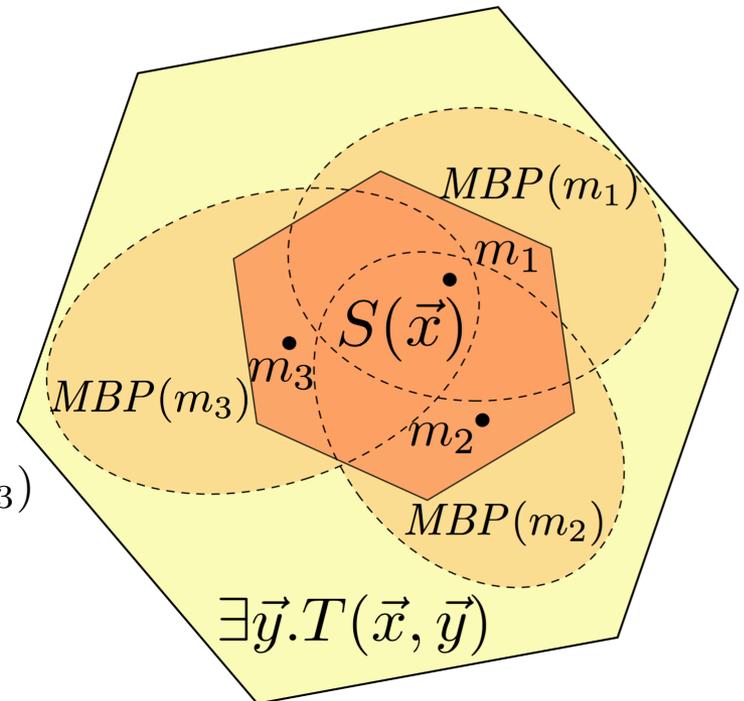
# AE-VAL solver and skolemizer

- Decision procedure for  $\forall \vec{x}. S(\vec{x}) \implies \exists \vec{y}. T(\vec{x}, \vec{y})$ 
  - Each model of  $S$  needs to be extended to a model of  $T$
- Skolem extracting capabilities
  - To describe relations between the matched models
  - Skolem relations in the ite-format
- Can be done naively
  - Iterative enumeration of all models of  $S$
- A more elegant way needs a generalization
  - With the help of Model-based Projections
  - With the help of a Horn solver

# Deciding Validity of $\forall\exists$ -formulas

- Expensive to find  $\varphi(\vec{x}) = \exists\vec{y}.T(\vec{x}, \vec{y})$ 
  - Instead, it is cheaper to find an under-approximation of  $\varphi(\vec{x})$   
[Komuravelli et al. 2014]
- Pick a model  $m \models S(\vec{x}) \wedge T(\vec{x}, \vec{y})$  and construct  $MBP(m)$  s.t.:
  - (1)  $m \models MBP(m)$
  - (2)  $MBP(m) \implies \exists\vec{y}.T(\vec{x}, \vec{y})$
- Allows iteratively find a *finite* coverage:
 
$$MBP(m_1) \vee MBP(m_2) \vee MBP(m_3) \implies \exists\vec{y}.T(\vec{x}, \vec{y})$$

$$S(\vec{x}) \implies MBP(m_1) \vee MBP(m_2) \vee MBP(m_3)$$
- Loos-Weispfenning quantifier elimination
  - Using virtual substitution for LRA



# Horn clause-based Skolemization

- *Local* Skolem relation for each MBP:

$$sk_i(\vec{x}, \vec{y}) \implies (MBP(m_i) \iff T(\vec{x}, \vec{y}))$$

- Composing *local* Skolems:

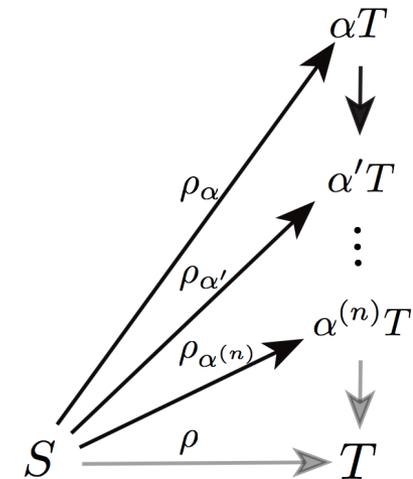
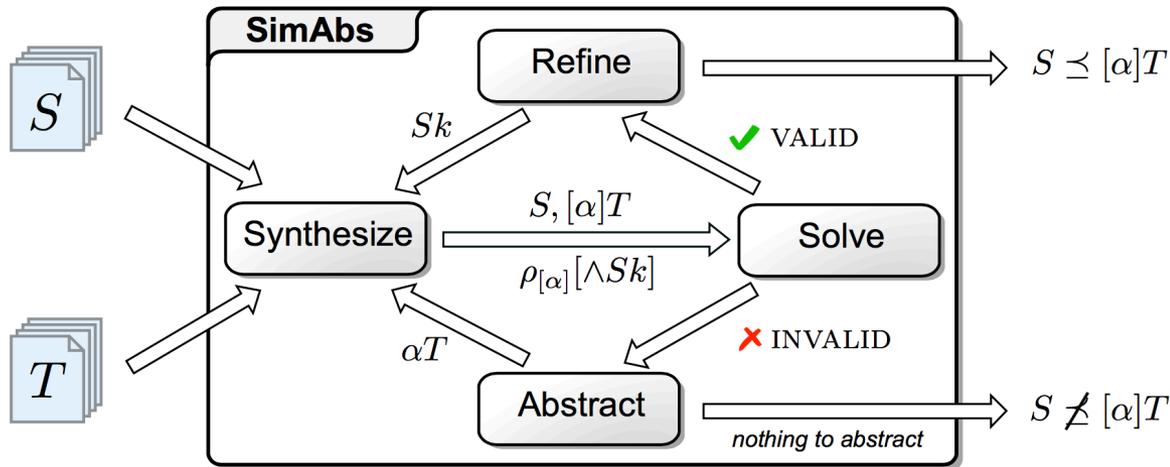
$$Sk_{\vec{y}}(\vec{x}, \vec{y}) \equiv \begin{cases} sk(m_1) & \text{if } I_1(\vec{x}) \\ sk(m_2) & \text{else if } I_2(\vec{x}) \\ sk(m_3) & \text{else } I_3(\vec{x}) \end{cases}$$

- Generating guards

- *By creating and solving a system of Horn Clauses:*

$$\begin{cases} S(\vec{x}) \wedge MBP(m_1) \implies I_1(\vec{x}) \\ S(\vec{x}) \wedge MBP(m_2) \wedge \neg MBP(m_1) \implies I_2(\vec{x}) \\ S(\vec{x}) \wedge MBP(m_3) \wedge \neg MBP(m_1) \wedge \neg MBP(m_2) \implies I_3(\vec{x}) \\ S(\vec{x}) \wedge I_1(\vec{x}) \wedge \neg MBP(m_1) \implies \perp \\ S(\vec{x}) \wedge I_2(\vec{x}) \wedge \neg MBP(m_2) \implies \perp \\ S(\vec{x}) \wedge I_3(\vec{x}) \wedge \neg MBP(m_3) \implies \perp \end{cases}$$

# Implementation and Evaluation



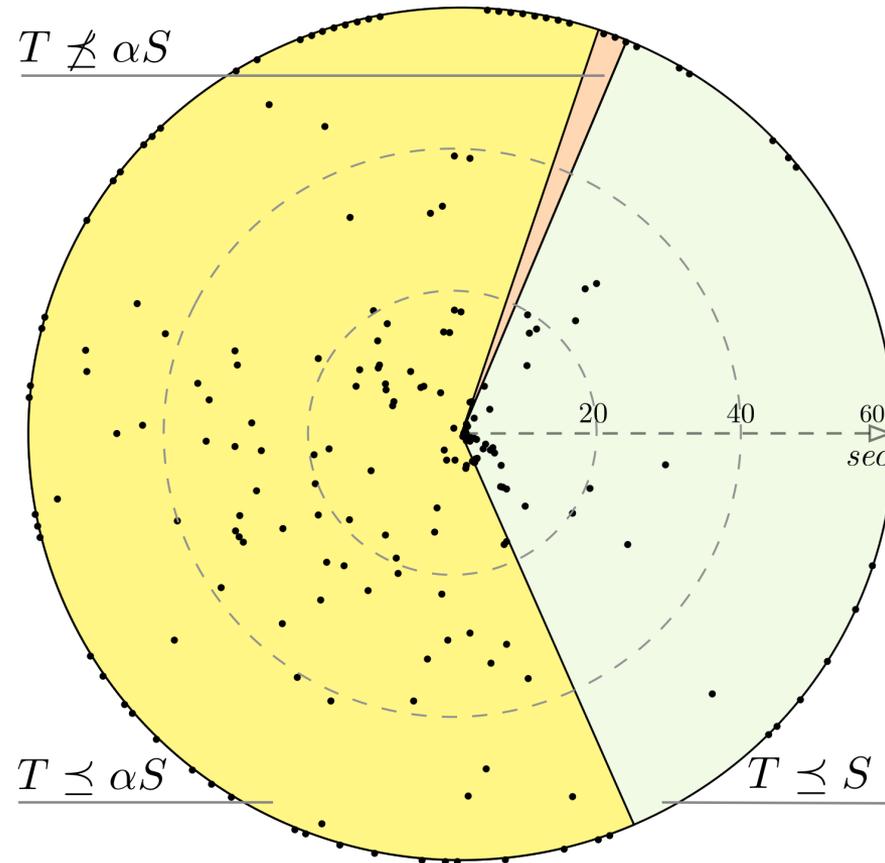
## Tools

- LLVM compiler
- UFO model checker (Z3/PDR engine) for preprocessing
- AE-VAL / MBP is based on Z3 (currently supports LRA)

## Benchmarks

- SV-COMP (0.3 – 5K LoC)
- 228 pairs of programs
- LLVM-optimization passes
- `constprop -globalopt -instcombine -simplifycfg -mem2reg -adce`

# Evaluation



- 228 pairs of programs (timeout: 10 min)
- `-constprop -globalopt -instcombine -simplifycfg -mem2reg -adce`
- simulation by identity: 65 pairs
- abstract/concrete simulation with Skolem: 160 pairs
- no simulation: 3 pairs

# Related work

- *[Milner 1971]*
  - Algebraic notion of simulation preorder
- *[Dill et al. 1991]*
  - The first symbolic approach to construct simulation with BDDs
- *[Henzinger et al. 1995]*
  - Game-theoretic approach to check simulation
- *[Necula 2000]*
  - Translation validation
- *[Namjoshi et al. 2013]*
  - Constructing simulations by augmenting program transformers
- *[Lahiri et al. 2013]*
  - Invariant generation of the programs combined together
- *[Felsing et al. 2014]*
  - Synthesis of relational specifications using Horn Solving

We have proposed the first SMT-based approach to simulation synthesis

# To wrap up

- Contributions
  - Synthesize simulation relations and abstractions
  - Deciding validity of  $\forall\exists$ -formulas and Skolemization
- Implementation
  - AE-VAL / MBP is based on Z3 (currently supports LRA)
  - SimAbs is based on UFO model checker
- Evaluation
  - Benchmark based on SVCOMP
  - Verifying correctness of LLVM optimizations
  - Killing mutants for mutation testing
- Future
  - Enhancing AE-VAL with bit-vectors, LIA and other theories
  - Skolem minimization and factoring techniques
  - Property Directed Equivalence

Thank you!

