

## Advice

The assignment description is long, and it is important that you follow the instructions carefully. Be sure to read the entire description before you start implementing. Note that we will be testing your code with a different driver, so IT IS VERY IMPORTANT TO BE FAITHFUL TO THE EXACT DEFINITIONS OF THE INTERFACES.

## Introduction to Badger DB

The goal of the BadgerDB projects is to allow students to learn about the internals of a data processing engine. In this first assignment, you will build a buffer manager, on top of an I/O Layer that we provide. The second BadgerDB assignment is on building an index organization (B+-tree).

## Logistics

BadgerDB is coded in C++ and runs on Linux/Unix machines. Here are a few logistical points:

- Platform: The stages will be compiled and tested on the TA's laptop running OSX. We will use the latest clang++ compiler on that machine. This is the standard hardware and software for the department issued computers. You are free to develop on other platforms, but you must make sure that your project works with the official configuration.
- Warnings: One of the strengths of C++ is that it does compile time code checking (consequently reducing run-time errors). Try to take advantage of this by turning on as many compiler warnings as possible. The Makefile that we will supply will have -Wall on as default.
- Tools : Always be on the lookout for tools that might simplify your job. Example: make for compiling and building your project, makedepend for automatically generating dependencies, perl for writing test scripts, valgrind for tracking down memory errors, gdb for debugging and git for version control. While we will not explicitly educate you about each of these, feel free to seek the TA's advice on these matters.
- Software Engineering: A large project such as this requires significant design effort. Spend some time thinking before you start writing code.

## Evaluation

We will run a bunch of our own (private) tests to check your code. So please develop tests beyond the ones that we give you to stress test your solution.

## Exam Question

All topics covered in the BadgerDB projects are fair game for exam questions.

## Academic Integrity

You are not allowed to share any code with other students in the class. Nor will you attempt to use any code from previous offerings of this course. Deviations from this will be punished to the fullest extent possible. We use a code diffing program to find cheaters.

## The BadgerDB I/O Layer

The lowest layer of the BadgerDB database systems is the I/O layer. This layer allows the upper level of the system to create/destroy files, allocate/deallocate pages within a file and to read and write pages of a file. This layer consists of two classes: a file (class `File`) and a page (class `Page`) class. These classes use C++ exceptions to handle the occurrence of any unexpected event.

Implementation of the `File` class, the `Page` class, and the exception classes are provided to you. To start, you can copy `proj01.tar.gz` to your private workspace, and expand this tarball using: `tar -xvfz proj01.tar.gz`

The code has been adequately commented to help you with understanding how it does what it does. Please use Doxygen as shown below to generate documentation files on these files. Inside the `bufmgr` directory run following commands to generate documentation files.

```
$ make doc
```

The doc files will be generated in `docs` directory. Open `docs/index.html` file inside the browser and go through description of classes and their methods to better understand their implementation. Note that above, `$` is the shell prompt and hence not part of the command.

## Your First BadgerDB Assignment: The Buffer Manager

A database buffer pool is an array of fixed-sized memory buffers called frames that are used to hold database pages (also called disk blocks) that have been read from disk into memory. A page is the unit of transfer between the disk and the buffer pool residing in main memory. Most modern database systems use a page size of at least 8,192 bytes. Another important thing to note is that a database page in memory is an exact copy of the corresponding page on disk when it is first read in. Once a page has been read from disk to the buffer pool, the DBMS software can update information stored on the page, causing the copy in the buffer pool to be different from the copy on disk. Such pages are termed dirty.

Since the database on disk itself is often larger than the amount of main memory that is available for the buffer pool, only a subset of the database pages fit in memory at any given time. The buffer manager is used to control which pages are memory resident. Whenever the buffer manager receives a request for a data page, the buffer manager checks to see if the requested page is already in the one of the frames that constitutes the buffer pool. If so, the buffer manager simply returns a pointer to the page. If not, the buffer manager frees a frame (possibly by writing to disk the page it contains if the page is dirty) and then reads in the requested page from disk into the frame that has been freed.

Before reading further you should first read the documentation that describes the I/O layer of BadgerDB so that you understand its capabilities (described on the previous page). In a nutshell the I/O layer provides an object-oriented interface to the Unix file with methods to open and close files and to read/write pages of a file. For now, the key thing you need to know is that opening a file (by passing in a character string name) returns an object of type `File`. This class has methods to read and write pages of the `File`. You will use these methods to move pages between the disk and the buffer pool.

## Buffer Replacement Policies and the Clock Algorithm

There are many ways of deciding which page to replace when a free frame is needed. Commonly used policies in operating systems are FIFO, MRU and LRU. Even though LRU is one of the most commonly used policies it has high overhead and is not the best strategy to use in a number of common cases that occur in database systems. Instead, many systems use the clock algorithm that approximates LRU behavior

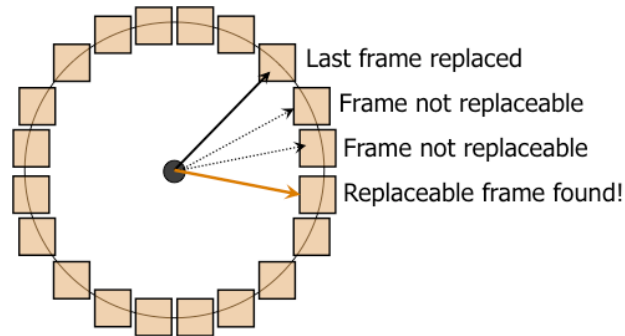


Figure 1: Structure of the Buffer Manager

and is much faster. Figure 1 shows the conceptual layout of a buffer pool. Figure 2 illustrates the execution of the clock algorithm.

In Figure 1, each square box corresponds to a frame in the buffer pool. Assume that the buffer pool contains `numBufs` frames, numbered 0 to `numBufs-1`. Conceptually, all the frames in the buffer pool are arranged in a circular list. Associated with each frame is a bit termed the `refbit`. Each time a page in the buffer pool is accessed (via a `readPage()` call to the buffer manager) the `refbit` of the corresponding frame is set to true. At any point in time the clock hand (an integer whose value is between 0 and `numBufs - 1`) is advanced (using modular arithmetic so that it does not go past `numBufs - 1`) in a clockwise fashion. For each frame that the clockhand goes past, the `refbit` is examined and then cleared. If the bit had been set, the corresponding frame has been referenced 'recently' and is not replaced. On the other hand, if the `refbit` is false, the page is selected for replacement (assuming it is not pinned – pinned pages are discussed below). If the selected buffer frame is dirty (ie. it has been modified), the page currently occupying the frame is written back to disk. Otherwise the frame is just cleared and a new page from disk is read in to that location. The details of the algorithm is given below.

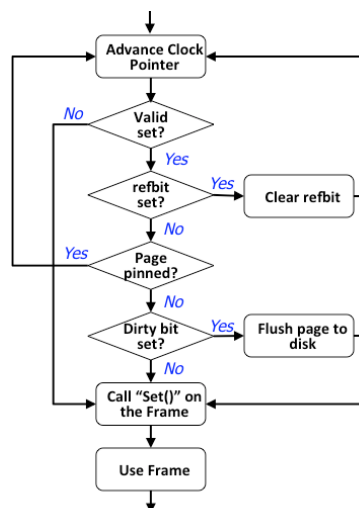


Figure 2: The Clock Replacement Algorithm

## The Structure of the Buffer Manager

The BadgerDB buffer manager uses three C++ classes: BufMgr, BufDesc and BufHashTbl. There is only one instance of the BufMgr class. A key component of this class is the actual buffer pool which consists of an array of numBufs frames, each the size of a database page. In addition to this array, the BufMgr instance also contains an array of numBufs instances of the BufDesc class that is used to describe the state of each frame in the buffer pool. A hash table is used to keep track of the pages that are currently resident in the buffer pool. This hash table is implemented by an instance of the BufHashTbl class. This instance is a private data member of the BufMgr class. These classes are described in detail below.

## The BufHashTbl Class

The BufHashTbl class is used to map file and page numbers to buffer pool frames and is implemented using chained bucket hashing. We have provided an implementation of this class for your use.

## The BufDesc Class

The BufDesc class is used to keep track of the state of each frame in the buffer pool. It is defined as follows:

First notice that all attributes of the BufDesc class are private and that the BufMgr class is defined to be a friend. While this may seem strange, this approach restricts access to BufDescs private variables to only the BufMgr class. The alternative (making everything public) opens up access too far.

The purpose of most of the attributes of the BufDesc class should be pretty obvious. The dirty bit, if true indicates that the page is dirty (i.e. has been updated) and thus must be written to disk before the frame is used to hold another page. The pinCnt indicates how many times the page has been pinned. The reffbit is used by the clock algorithm. The valid bit is used to indicate whether the frame contains a valid page. You do not HAVE to implement any methods in this class. However you are free to augment it in any way if you wish to do so.

## The BufMgr Class

The BufMgr class is the heart of the buffer manager. This is where you write your code for this assignment. This class is defined as follows:

**BufMgr(const int bufs)** This is the class constructor. It allocates an array for the buffer pool with bufs page frames and a corresponding BufDesc table. The way things are set up all frames will be in the clear state when the buffer pool is allocated. The hash table will also start out in an empty state.

**~BufMgr()** Flushes out all dirty pages and deallocates the buffer pool and the BufDesc table.

**void advanceClock()** Advance clock to next frame in the buffer pool.

**void allocBuf(FrameId& frame)** Allocates a free frame using the clock algorithm; if necessary, writing a dirty page back to disk. Throws BufferExceededException if all buffer frames are pinned. This private method will get called by the readPage() and allocPage() methods described below. Make sure that if the buffer frame allocated has a valid page in it, you remove the appropriate entry from the hash table.

**void readPage(File\* file, const PageId pageNo, Page\*& page)** First check whether the page is already in the buffer pool by invoking the lookup() method, which may throw HashNotFoundException

when page is not in the buffer pool, on the hashtable to get a frame number. There are two cases to be handled depending on the outcome of the `lookup()` call:

Case 1: Page is not in the buffer pool. Call `allocBuf()` to allocate a buffer frame and then call the method `file->readPage()` to read the page from disk into the buffer pool frame. Next, insert the page into the hashtable. Finally, invoke `Set()` the method of `BufDesc` on the frame to set it up properly. `Set()` will leave the `pinCnt` for the page set to 1. Return a pointer to the frame containing the page via the page parameter.

Case 2: Page is in the buffer pool. In this case set the appropriate `refbit`, increment the `pinCnt` for the page, and then return a pointer to the frame containing the page via the page parameter.

`void unPinPage(File* file, const PageId pageNo, const bool dirty)` Decrements the `pinCnt` of the frame containing (file, `PageNo`) and, if `dirty == true`, sets the dirty bit. Throws `PageNotPinnedException` if the pin count is already 0. Does nothing if page is not found in the hash table lookup.

`void allocPage(File* file, PageId& pageNo, Page*& page)` The first step in this method is to allocate an empty page in the specified file by invoking the `file->allocatePage()` method. This method will return the page number of the newly allocated page. Then `allocBuf()` is called to obtain a buffer pool frame. Next, an entry is inserted into the hash table and `Set()` is invoked on the frame to set it up properly. The method returns both the page number of the newly allocated page to the caller via the `pageNo` parameter and a pointer to the buffer frame allocated for the page via the page parameter.

`void disposePage(File* file, const PageId pageNo)` This method deletes a particular page from file. Before deleting the page from file, it makes sure that if the page to be deleted is allocated a frame in the buffer pool, that frame is freed and correspondingly entry from hash table is also removed.

`void flushFile(File* file)` Should scan `bufTable` for pages belonging to the file. For each page encountered it should:

1. if the page is dirty, call `file->writePage()` to flush the page to disk and then set the dirty bit for the page to false
2. remove the page from the hashtable (whether the page is clean or dirty)
3. invoke the `Clear()` method of `BufDesc` for the page frame.

Throws `PagePinnedException` if some page of the file is pinned. Throws `BadBufferException` if an invalid page belonging to the file is encountered

## Getting Started

When you expand the tarball `proj01.tar.gz`, you will have a directory called `proj01`. Note that unlike Java, it is not required that file names and class names are the same (although it is usually that way by convention). Thus, the `BufMgr` class is declared in `buffer.h`, and defined in `buffer.cpp`. In this directory you will find the following files:

- `Makefile`: A make file. You can make the project by typing 'make'.
- `main.cpp`: Driver file. Shows how to use `File` and `Page` classes. Also contains simple test cases for the Buffer manager. You must augment these tests with your more rigorous test suite.
- `buffer.h`: Class definitions for the buffer manager
- `buffer.cpp`: Skeleton implementation of the methods. Provide your actual implementation here.
- `bufHash.h` Class definitions for the buffer pool hash table class. Do not change.

- bufHash.cpp: Implementation of the buffer pool hash table class. Do not change.
- file.h: Class definitions for the File class. You should not change this file.
- file.cpp: Implementations of the File class. You should not change this file.
- file\_iterator.h: Implementation of iterator for pages in a file. Do not change.
- page.h: Class definition of the page class. Do not change.
- page.cpp: Implementation of the page class. Do not change.
- page\_iterator.h: Implementation of iterator for records in a page.
- exceptions directory: Implementation of all your exception classes. Feel free to add more files here if you need to.

## Coding and Testing

We have defined this project so that you can understand and reap the full benefits of object-oriented programming using C++. Your coding style should continue this by having well-defined classes and clean interfaces. Reverting to the C (procedural) style of programming is not recommended and will be penalized. The code should be well-documented, using Doxygen style comments. Each file should start with your name, and should explain the purpose of the file. Each function should be preceded by a few lines of comments describing the function and explaining the input and output parameters and return values.

## Handing In

We will compile your buffer manager, link it with our test driver and test it. Since we are supposed to be able to test your code with any valid driver, IT IS VERY IMPORTANT TO BE FAITHFUL TO THE EXACT DEFINITIONS OF THE INTERFACES as specified here.

- The assignment must be submitted to Moodle before Thursday, March. 12th, 06:00AM.
- Submit a single zip archive containing the solutions to exercises. Name your archive as follows: Assignment1\_YourSurname.zip .
- Before submitting code, make sure it compiles.
- If you collaborate with other students, clearly state their names. Remember that assignments solutions must be your own work.