

A Few Basic Elements of Communication Security

Antonio Carzaniga

Faculty of Informatics
Università della Svizzera italiana

December 22, 2017

- ***Make backups*** of your data
- ***Do NOT trust the network!***
- ***Use HTTPS*** instead of HTTP

- ***Make backups*** of your data
 - ***Do NOT trust the network!***
 - ***Use HTTPS*** instead of HTTP
-

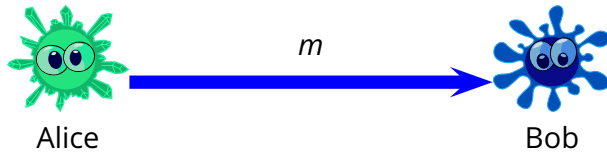
- Understand the basics of public-key cryptography
- Communicate with ***end-to-end encryption*** (e.g., e-mail)
- use ***trusted certificates***
- ***Encrypt your confidential data*** (and make backups)
- use ***strong passwords***
- You might as well encrypt *all* your data
- Tools/technologies: ***ssh***, ***pgp*** (or ***gpg***)

- Communication security model
- Information-theoretic privacy
- Substitution ciphers
- Intro to modern cryptography
- One-time pad
- Block siphers
- Cryptographic hash functions
- Public-key cryptosystems

- *Communication model*: Alice sends a message m to Bob

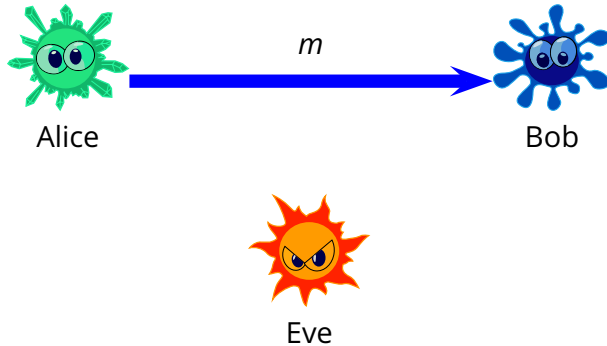
Communication Security

- *Communication model*: Alice sends a message m to Bob



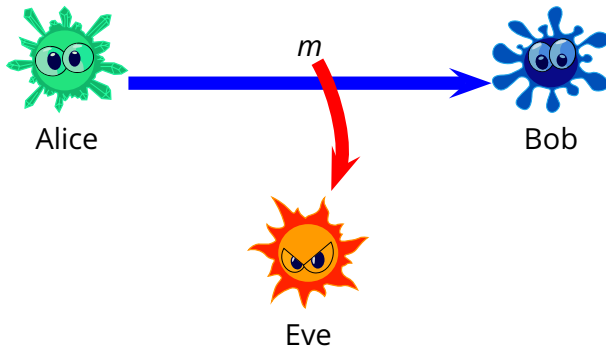
Communication Security

- *Communication model:* Alice sends a message m to Bob



Communication Security

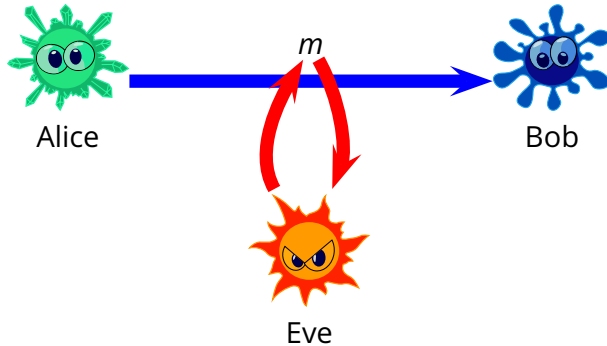
- *Communication model*: Alice sends a message m to Bob



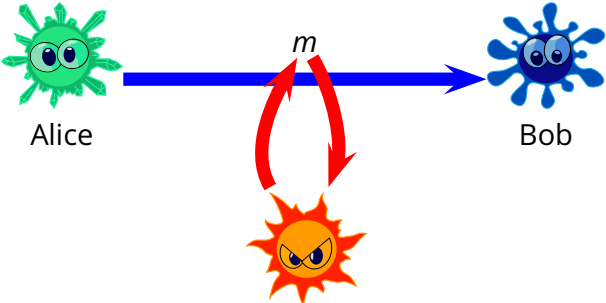
- *Passive adversary*
 - ▶ can read the message

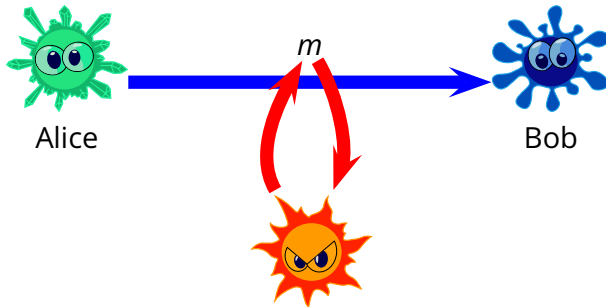
Communication Security

- *Communication model*: Alice sends a message m to Bob

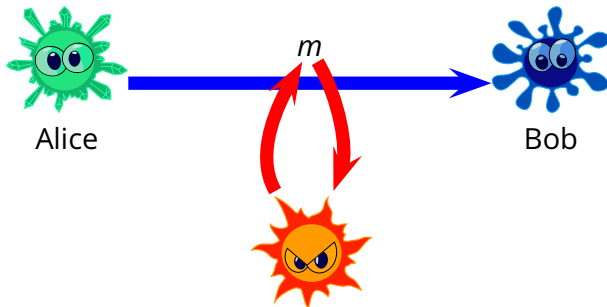


- *Passive adversary*
 - ▶ can read the message
- *Active adversary*
 - ▶ can modify the message

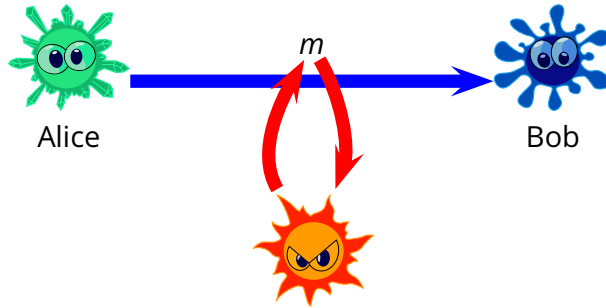


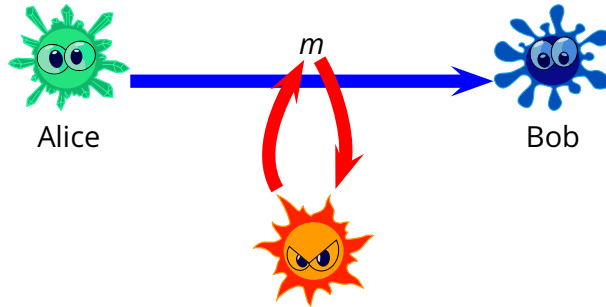


- **Confidentiality (a.k.a., privacy):** Alice wants to make sure that only Bob sees the message

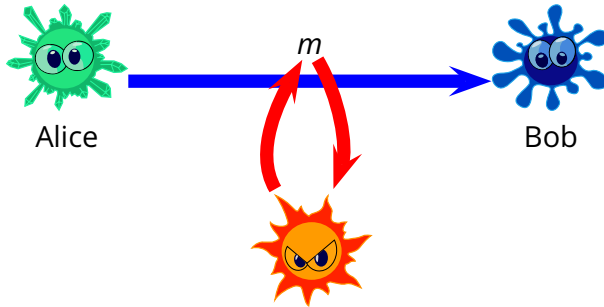


- **Confidentiality (a.k.a., privacy):** Alice wants to make sure that only Bob sees the message
- **Message Integrity:** Bob wants to make sure that the message he reads was exactly what Alice wrote





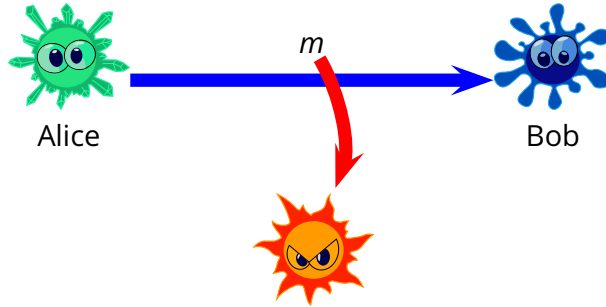
- **End-point Authentication:** Bob wants to make sure he is communicating with Alice



- **End-point Authentication:** Bob wants to make sure he is communicating with Alice
- **Operational/system security:** Alice and Bob want to maintain full control of their networks

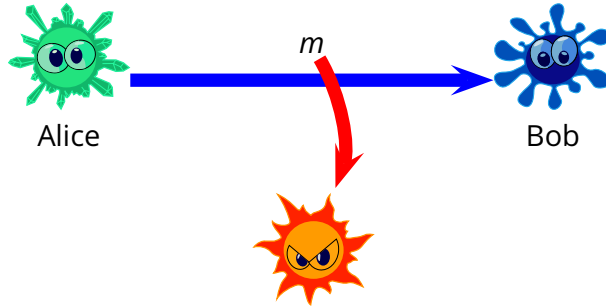
What is Privacy, Exactly?

What is Privacy, Exactly?



- Alice wants to make sure that only Bob “sees” the message

What is Privacy, Exactly?



- Alice wants to make sure that only Bob “sees” the message
- What if Eve can *guess* the message?

“Shift” Cipher

- The ciphertext is

BUUBDL BU EBXO

- The ciphertext is

BUUBDL BU EBXO

- Plaintext is

ATTACK AT DAWN

- The ciphertext is

BUUBDL BU EBXO

- Plaintext is

ATTACK AT DAWN

- How many possible ciphers?

- ▶ How many key bits?

Substitution Cipher

- *Substitution cipher*

- *Substitution cipher*

- ▶ alphabet $\Sigma = \{ 'A', 'B', \dots, 'Z', ' ' \}$

■ *Substitution cipher*

- ▶ alphabet $\Sigma = \{ 'A', 'B', \dots, 'Z', ' ' \}$
- ▶ encryption function: a ***permutation***

$$E : \Sigma \rightarrow \Sigma$$

■ *Substitution cipher*

- ▶ alphabet $\Sigma = \{ 'A', 'B', \dots, 'Z', ' ' \}$
- ▶ encryption function: a ***permutation***

$$E : \Sigma \rightarrow \Sigma$$

Example:

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	_
V	Z	L	Q	X	T	_	R	D	U	C	O	J	N	F	M	G	E	H	W	P	I	S	Y	A	B	K

■ *Substitution cipher*

- ▶ alphabet $\Sigma = \{ 'A', 'B', \dots, 'Z', ' ' \}$
- ▶ encryption function: a ***permutation***

$$E : \Sigma \rightarrow \Sigma$$

Example:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z _
V Z L Q X T _ R D U C O J N F M G E H W P I S Y A B K

How many possible permutations?

■ *Substitution cipher*

- ▶ alphabet $\Sigma = \{ 'A', 'B', \dots, 'Z', ' ' \}$
- ▶ encryption function: a ***permutation***

$$E : \Sigma \rightarrow \Sigma$$

Example:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z _
V Z L Q X T _ R D U C O J N F M G E H W P I S Y A B K

How many possible permutations?

27!

■ *Substitution cipher*

- ▶ alphabet $\Sigma = \{ 'A', 'B', \dots, 'Z', ' ' \}$
- ▶ encryption function: a ***permutation***

$$E : \Sigma \rightarrow \Sigma$$

Example:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z _
V Z L Q X T _ R D U C O J N F M G E H W P I S Y A B K

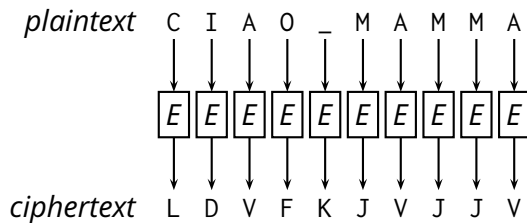
How many possible permutations?

$$27! = 10888869450418352160768000000 \approx 2^{93}$$

- Encrypting some text using a substitution cipher

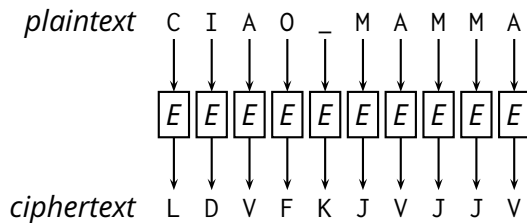
plaintext C I A O _ M A M M A

- Encrypting some text using a substitution cipher



- Problems?

- Encrypting some text using a substitution cipher



- Problems?
 - ▶ easy to break just by *guessing!*
 - ▶ ...

- Decrypt this ciphertext obtained by encrypting an English text with a substitution-cipher:

gbafoduayfbhbayvpyfhayoanbahbdl-brcubqyayfkyakddaibqakvbaxvbkybuabzpkd
yfkyayfbwakvbabquogbuanwayfbcvaxvbkyovagcyfaxbvykcqapqkdcbqkndbavctfyh
yfkyakioqtayfbhbakvbadclbadcnbywakquayfbampvhpcyaolafkmmcqhbh
yfkyayoahbxpvmayfbhbavctfyhatorbvqibqyhakvbacqhycypybuakioqtaibq
ubvrcqtayfbcvajphyamogbvhalvoiyfbaxoqhbqyaolayfbatorbvqbu
yfkyagfbqbrbvakqwaloviaolatorbvqibqyanbxoibhaubhyvpxycrbaolayfbhbabquh
cyachayfbavctfyaloyfbambomdbayoakdybvaovayoaknodchfacy

From Black Magic to Mathematics

History: secret algorithms, poorly understood security properties

From Black Magic to Mathematics

History: secret algorithms, poorly understood security properties

Modern cryptology

- Open and clear models
- Open algorithms (the only secret part is the key material)
- Well-defined *provable* security properties

What is “Provable” Security?

The old way

What is “Provable” Security?

The old way

1. somebody (re-)designs a cryptosystem or protocol

What is “Provable” Security?

The old way

1. somebody (re-)designs a cryptosystem or protocol
2. somebody brakes it

What is “Provable” Security?

The old way

1. somebody (re-)designs a cryptosystem or protocol
2. somebody brakes it
3. go back to step 1

What is “Provable” Security?

The new way (provable security)

What is “Provable” Security?

The new way (provable security)

1. Define formal *security goals* and *adversarial models*

What is “Provable” Security?

The new way (provable security)

1. Define formal ***security goals*** and ***adversarial models***
2. Design a few ***primitives***
 - ▶ based on ***public*** and ***time-tested algorithms*** and/or well-studied ***hard mathematical problems***

What is “Provable” Security?

The new way (provable security)

1. Define formal ***security goals*** and ***adversarial models***
2. Design a few ***primitives***
 - ▶ based on ***public*** and ***time-tested algorithms*** and/or well-studied ***hard mathematical problems***
3. Design a ***protocol*** (using primitives) with a ***proof of security***

What is “Provable” Security?

The new way (provable security)

1. Define formal ***security goals*** and ***adversarial models***
2. Design a few ***primitives***
 - ▶ based on ***public*** and ***time-tested algorithms*** and/or well-studied ***hard mathematical problems***
3. Design a ***protocol*** (using primitives) with a ***proof of security***
 - ▶ prove this implication:

primitive is secure \Rightarrow ***protocol is secure***

Example: Factoring

Let $N = pq$ for two prime factors p and q

Problem: given N , find p and q

Example: Factoring

Let $N = pq$ for two prime factors p and q

Problem: given N , find p and q

Solution: (trivial)

```
FACTOR( $N$ )  
1  for  $i \leftarrow 2$  to  $\lfloor \sqrt{N} \rfloor$   
2      do if  $i$  divides  $N$   
3          then return  $i, N/i$ 
```

Example: Factoring

Let $N = pq$ for two prime factors p and q

Problem: given N , find p and q

Solution: (trivial)

```
FACTOR( $N$ )  
1  for  $i \leftarrow 2$  to  $\lfloor \sqrt{N} \rfloor$   
2      do if  $i$  divides  $N$   
3          then return  $i, N/i$ 
```

Complexity: exponential in the *size* of N (number of digits of N)

Let $N = pq$ for two prime factors p and q

Problem: given N , find p and q

Solution: (trivial)

```
FACTOR( $N$ )  
1  for  $i \leftarrow 2$  to  $\lfloor \sqrt{N} \rfloor$   
2      do if  $i$  divides  $N$   
3          then return  $i, N/i$ 
```

Complexity: exponential in the *size* of N (number of digits of N)

...we don't know how to do better!

Not even Gauss could figure that out!

Example: RSA,... \Rightarrow SSH

Example: the RSA cryptosystem (primitive) and SSH (protocol)

Example: the RSA cryptosystem (primitive) and SSH (protocol)

- RSA is based on the hardness of factoring
- Is RSA secure?

Example: the RSA cryptosystem (primitive) and SSH (protocol)

- RSA is based on the hardness of factoring
- Is RSA secure? *We don't know!*

Example: the RSA cryptosystem (primitive) and SSH (protocol)

- RSA is based on the hardness of factoring
- Is RSA secure? *We don't know!*
 - ...but if you can break RSA (efficiently) then you can also factor a product of two large primes (efficiently)
 - ...you are smarter than Gauss!

Example: the RSA cryptosystem (primitive) and SSH (protocol)

- RSA is based on the hardness of factoring
- Is RSA secure? *We don't know!*
 - ...but if you can break RSA (efficiently) then you can also factor a product of two large primes (efficiently)
 - ...you are smarter than Gauss!
- SSH uses the RSA public-key system (possibly, not only)
- Is SSH secure?

Example: the RSA cryptosystem (primitive) and SSH (protocol)

- RSA is based on the hardness of factoring
- Is RSA secure? *We don't know!*
 - ...but if you can break RSA (efficiently) then you can also factor a product of two large primes (efficiently)
 - ...you are smarter than Gauss!
- SSH uses the RSA public-key system (possibly, not only)
- Is SSH secure? *Yes!*

Example: the RSA cryptosystem (primitive) and SSH (protocol)

- RSA is based on the hardness of factoring
- Is RSA secure? *We don't know!*
 - ...but if you can break RSA (efficiently) then you can also factor a product of two large primes (efficiently)
 - ...you are smarter than Gauss!
- SSH uses the RSA public-key system (possibly, not only)
- Is SSH secure? *Yes!*
 - ...in the sense that, if you can break SSH (efficiently) then you can also break RSA
 - ...you are smarter than Gauss!

- Basic ingredients: cryptographic primitives
 - ▶ secret-key (symmetric) cryptography (e.g., AES)
 - ▶ public-key (asymmetric) cryptography (e.g., RSA)
 - ▶ cryptographic hash functions (e.g., SHA-1)
 - ▶ stream ciphers (e.g., RC4)

- Basic ingredients: cryptographic primitives
 - ▶ secret-key (symmetric) cryptography (e.g., AES)
 - ▶ public-key (asymmetric) cryptography (e.g., RSA)
 - ▶ cryptographic hash functions (e.g., SHA-1)
 - ▶ stream ciphers (e.g., RC4)

- Recipes: cryptographic protocols
 - ▶ certificates (e.g., X.509)
 - ▶ secure transport (e.g., TLS, IPSec)
 - ▶ ...

- Basic ingredients: cryptographic primitives
 - ▶ secret-key (symmetric) cryptography (e.g., AES)
 - ▶ public-key (asymmetric) cryptography (e.g., RSA)
 - ▶ cryptographic hash functions (e.g., SHA-1)
 - ▶ stream ciphers (e.g., RC4)

- Recipes: cryptographic protocols
 - ▶ certificates (e.g., X.509)
 - ▶ secure transport (e.g., TLS, IPSec)
 - ▶ ...

- Applications
 - ▶ electronic commerce
 - ▶ secure shell
 - ▶ secure electronic mail
 - ▶ virtual private networks
 - ▶ ...

Symmetric Encryption

Symmetric Encryption

randomness



K

Symmetric Encryption

randomness



S

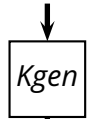


K

R

Symmetric Encryption

randomness



K

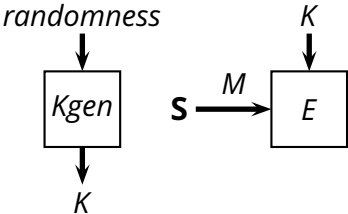
S

M



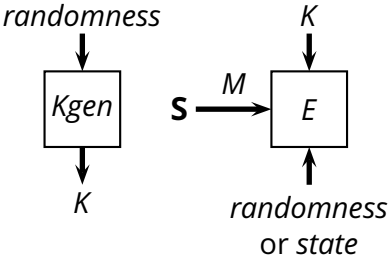
R

Symmetric Encryption

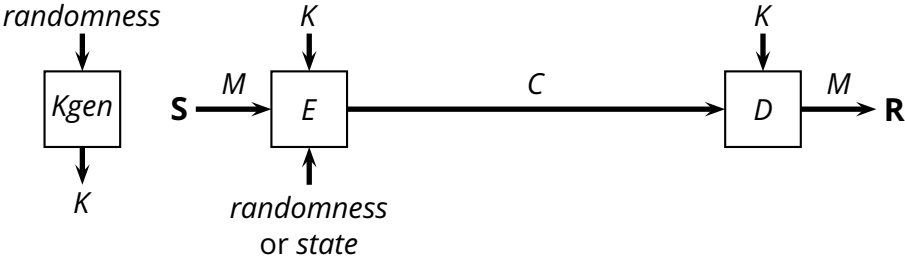


R

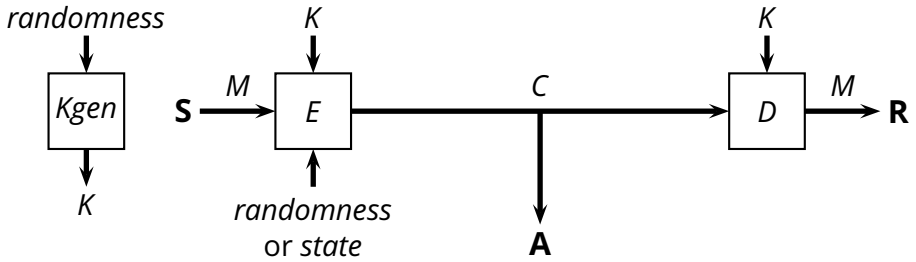
Symmetric Encryption



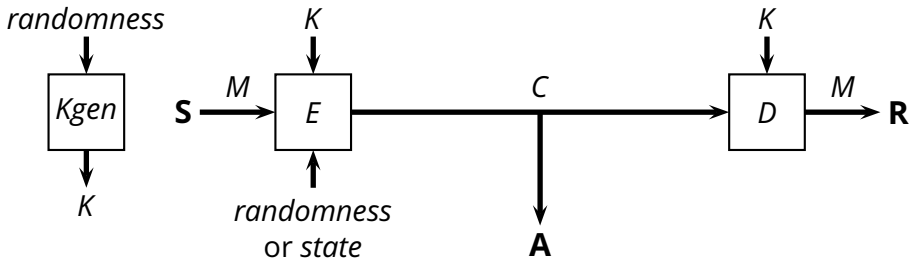
Symmetric Encryption



Symmetric Encryption



Symmetric Encryption



S sender

R receiver

A adversary

E encryption algorithm

D decryption algorithm

M plaintext message

C ciphertext message

K key

- *Assumptions:* the message M and the key K are two n -bit strings

$$M \in \{0, 1\}^n; \quad K \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

- *Assumptions:* the message M and the key K are two n -bit strings

$$M \in \{0, 1\}^n; \quad K \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

the key K is chosen uniformly at random from $\{0, 1\}^n$

- *Assumptions*: the message M and the key K are two n -bit strings

$$M \in \{0, 1\}^n; \quad K \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

the key K is chosen uniformly at random from $\{0, 1\}^n$

- *Scheme*

- ▶ encryption:

$$E(K, M) := M \oplus K$$

the key K is then thrown away and never reused

- ▶ decryption:

$$D(K, C) := C \oplus K$$

- *Assumptions:* the message M and the key K are two n -bit strings

$$M \in \{0, 1\}^n; \quad K \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

the key K is chosen uniformly at random from $\{0, 1\}^n$

- *Scheme*

- ▶ encryption:

$$E(K, M) := M \oplus K$$

the key K is then thrown away and never reused

- ▶ decryption:

$$D(K, C) := C \oplus K$$

- **Example:** M 0110010110111011

- *Assumptions:* the message M and the key K are two n -bit strings

$$M \in \{0, 1\}^n; \quad K \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

the key K is chosen uniformly at random from $\{0, 1\}^n$

- *Scheme*

- ▶ encryption:

$$E(K, M) := M \oplus K$$

the key K is then thrown away and never reused

- ▶ decryption:

$$D(K, C) := C \oplus K$$

- **Example:**

M	0110010110111011
K	1011000101000101

- *Assumptions:* the message M and the key K are two n -bit strings

$$M \in \{0, 1\}^n; \quad K \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

the key K is chosen uniformly at random from $\{0, 1\}^n$

- *Scheme*

- ▶ encryption:

$$E(K, M) := M \oplus K$$

the key K is then thrown away and never reused

- ▶ decryption:

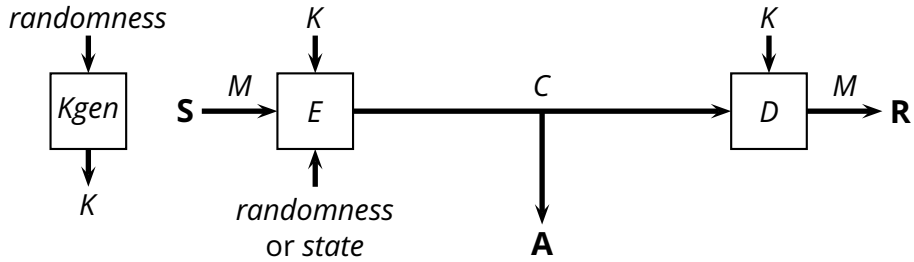
$$D(K, C) := C \oplus K$$

- **Example:**

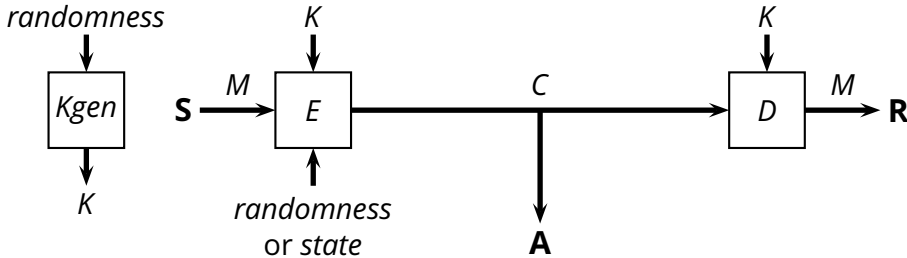
M	0110010110111011
K	1011000101000101
C	1101010011111110

Symmetric Encryption (2)

Symmetric Encryption (2)



Symmetric Encryption (2)



S sender

R receiver

A adversary

E encryption algorithm

D decryption algorithm

M plaintext message

C ciphertext message

K key

Rules of the game:

- *Kgen*, *E* and *D* are *public* algorithms
- **A** can not “steal” the key *K*
- **A** can not “break into” **S** or **R**
- **A** might know something about *M*

A must guess *M* correctly to win the game

So, What is Privacy Exactly?

So, What is Privacy Exactly?

- A scheme is secure if *we learn nothing from the ciphertext C*

So, What is Privacy Exactly?

- A scheme is secure if *we learn nothing from the ciphertext C*

- A more formal definition:

let $K \stackrel{\$}{\leftarrow} \mathcal{K}$; for every $m_1 \neq m_2 \in \mathcal{M}$, and for any C

So, What is Privacy Exactly?

■ A scheme is secure if *we learn nothing from the ciphertext C*

■ A more formal definition:

let $K \stackrel{\$}{\leftarrow} \mathcal{K}$; for every $m_1 \neq m_2 \in \mathcal{M}$, and for any C

$$\Pr_{K \in \mathcal{K}}[E_K(m_1) = C] = \Pr_{K \in \mathcal{K}}[E_K(m_2) = C]$$

So, What is Privacy Exactly?

■ A scheme is secure if ***we learn nothing from the ciphertext C***

■ A more formal definition:

let $K \stackrel{\$}{\leftarrow} \mathcal{K}$; for every $m_1 \neq m_2 \in \mathcal{M}$, and for any C

$$\Pr_{K \in \mathcal{K}}[E_K(m_1) = C] = \Pr_{K \in \mathcal{K}}[E_K(m_2) = C]$$

■ ***Given a ciphertext C, every plaintext m is equiprobable***

▶ so, seeing any particular $C = E_K(M)$ tells us *nothing* about M

So, What is Privacy Exactly?

- A scheme is secure if ***we learn nothing from the ciphertext C***

- A more formal definition:

let $K \stackrel{\$}{\leftarrow} \mathcal{K}$; for every $m_1 \neq m_2 \in \mathcal{M}$, and for any C

$$\Pr_{K \in \mathcal{K}}[E_K(m_1) = C] = \Pr_{K \in \mathcal{K}}[E_K(m_2) = C]$$

- ***Given a ciphertext C , every plaintext m is equiprobable***

▶ so, seeing any particular $C = E_K(M)$ tells us *nothing* about M

- Is a shift cipher perfectly secure?

So, What is Privacy Exactly?

- A scheme is secure if ***we learn nothing from the ciphertext C***

- A more formal definition:

let $K \stackrel{\$}{\leftarrow} \mathcal{K}$; for every $m_1 \neq m_2 \in \mathcal{M}$, and for any C

$$\Pr_{K \in \mathcal{K}}[E_K(m_1) = C] = \Pr_{K \in \mathcal{K}}[E_K(m_2) = C]$$

- ***Given a ciphertext C, every plaintext m is equiprobable***

▶ so, seeing any particular $C = E_K(M)$ tells us *nothing* about M

- Is a shift cipher perfectly secure?

- Is a substitution cipher perfectly secure?

So, What is Privacy Exactly?

- A scheme is secure if ***we learn nothing from the ciphertext C***

- A more formal definition:

let $K \stackrel{\$}{\leftarrow} \mathcal{K}$; for every $m_1 \neq m_2 \in \mathcal{M}$, and for any C

$$\Pr_{K \in \mathcal{K}}[E_K(m_1) = C] = \Pr_{K \in \mathcal{K}}[E_K(m_2) = C]$$

- ***Given a ciphertext C, every plaintext m is equiprobable***

- ▶ so, seeing any particular $C = E_K(M)$ tells us *nothing* about M

- Is a shift cipher perfectly secure?
- Is a substitution cipher perfectly secure?
- Is one-time-pad perfectly secure?

The Cost of Perfect Privacy

- *Perfect privacy* implies that

$$|\mathcal{K}| \geq |\mathcal{M}|$$

- *Perfect privacy* implies that

$$|\mathcal{K}| \geq |\mathcal{M}|$$

- *Proof:* assume not.

Fix a possible ciphertext C , i.e., there is a message m and a key k such that $E_k(m) = C$, and $\Pr_{K \in \mathcal{K}}[E_K(m) = C] > 0$

Let $P_C = \{m \in \mathcal{M} \text{ such that } E_k(m) = C \text{ for some } k\}$

Since every k maps exactly one message m to C , and since we have fewer keys than messages, then there is an $m' \notin P_C$ such that no key k maps m' to C ; therefore $\Pr_{K \in \mathcal{K}}[E_K(m') = C] = 0$, which violates the perfect-secrecy condition that for all m and m' , $\Pr_{K \in \mathcal{K}}[E_K(m) = C] = \Pr_{K \in \mathcal{K}}[E_K(m') = C]$

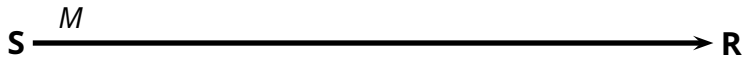
Message Authenticity

Message Authenticity

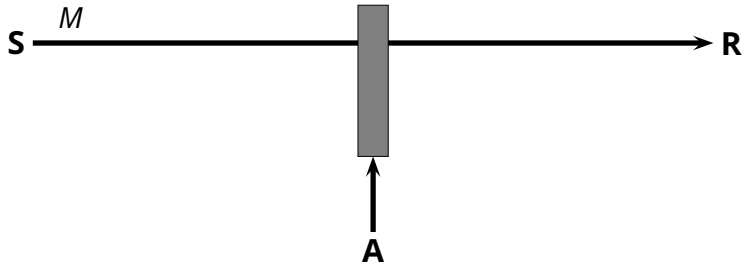
S

R

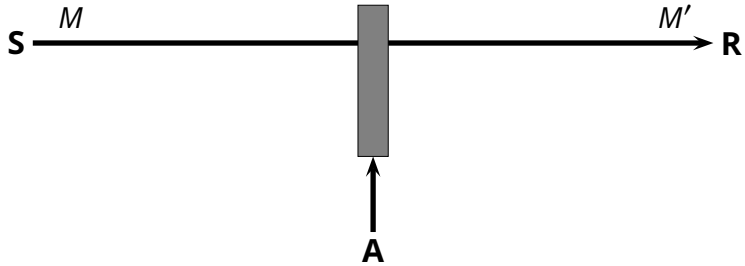
Message Authenticity



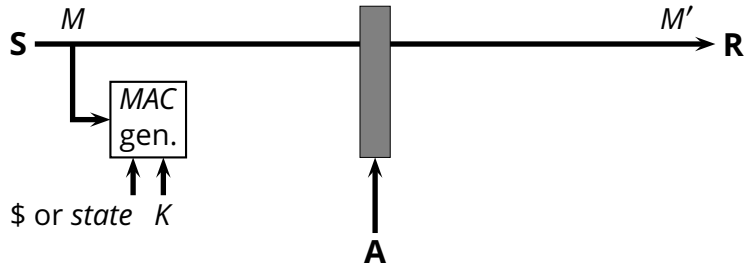
Message Authenticity



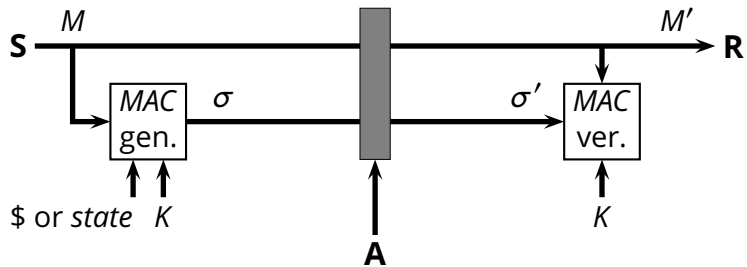
Message Authenticity



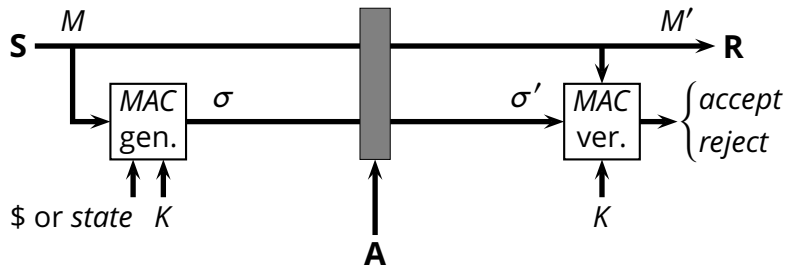
Message Authenticity



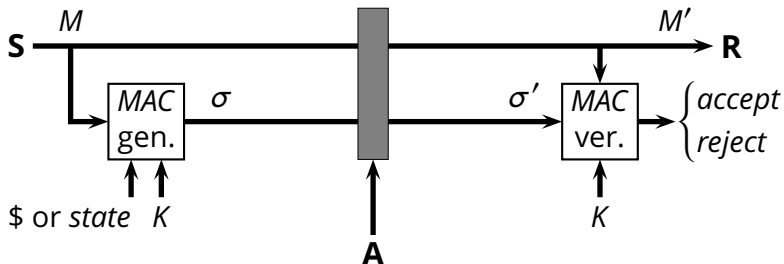
Message Authenticity



Message Authenticity



Message Authenticity



σ	message authentication code (MAC)
K	key
$\$$	randomness
MAC gen.	MAC <i>generation</i> algorithm
MAC ver.	MAC <i>verification</i> algorithm

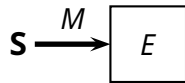
Asymmetric Encryption

Asymmetric Encryption

S

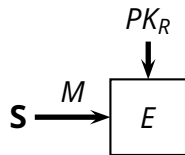
R

Asymmetric Encryption



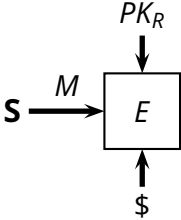
R

Asymmetric Encryption



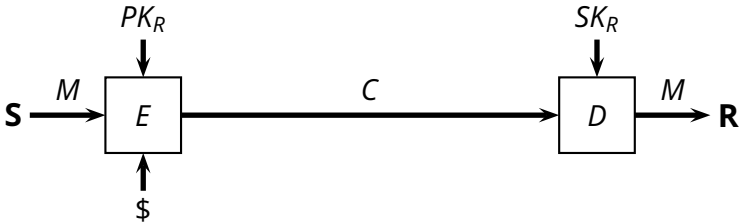
R

Asymmetric Encryption

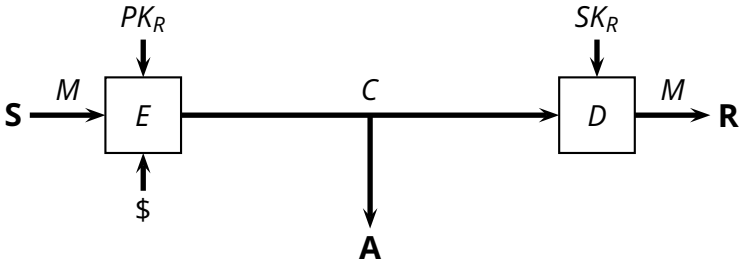


R

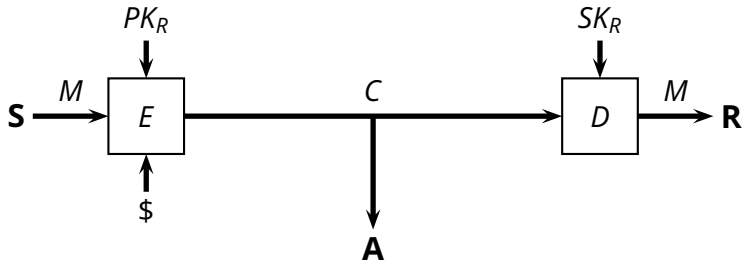
Asymmetric Encryption



Asymmetric Encryption

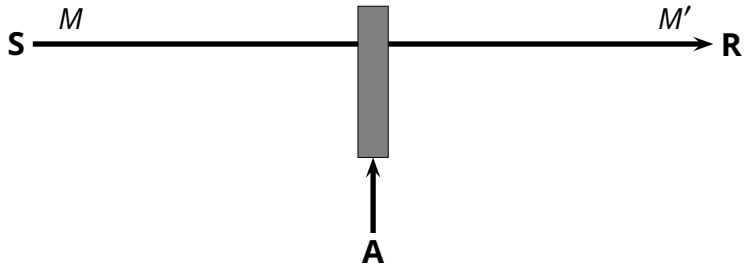


Asymmetric Encryption

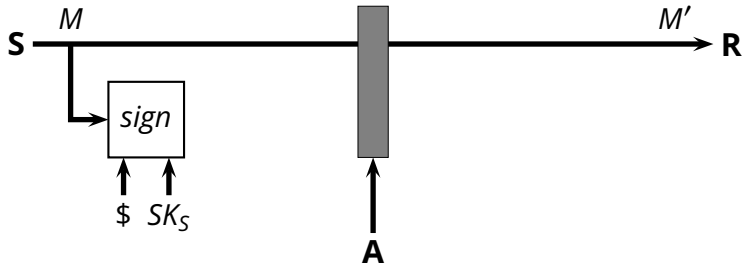


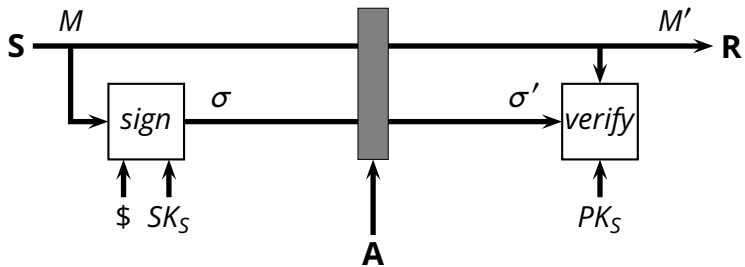
PK_R	receiver's <i>public</i> key
SK_R	receiver's <i>secret</i> key

M	plaintext message
C	ciphertext message

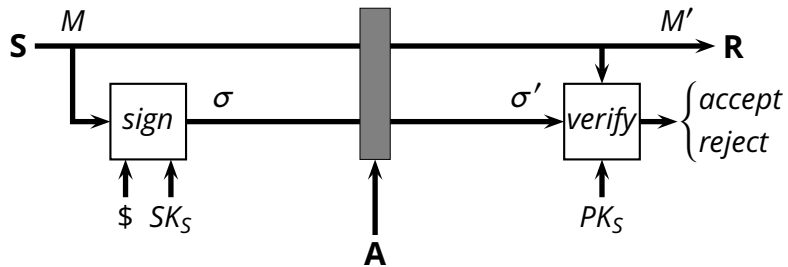


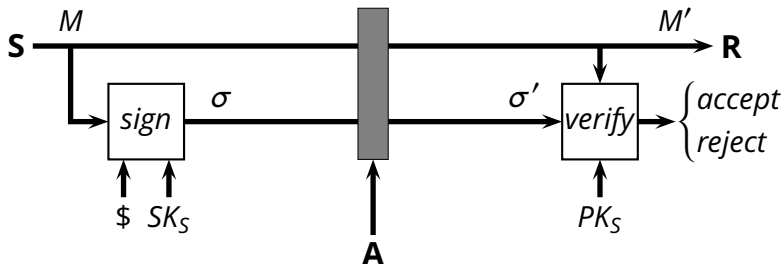
Digital Signatures





Digital Signatures





σ	digital signature
SK_S	sender's <i>secret</i> key
PK_S	sender's <i>public</i> key
$\$$	randomness
<i>sign</i>	<i>signing</i> algorithm
<i>verify</i>	<i>verification</i> algorithm

Primitives vs. Protocols

■ *Protocol*

- ▶ an *algorithm*
- ▶ solves a specific security problem (e.g., signing a message)

■ *Protocol*

- ▶ an *algorithm*
- ▶ solves a specific security problem (e.g., signing a message)

■ *Primitive*

■ *Protocol*

- ▶ an *algorithm*
- ▶ solves a specific security problem (e.g., signing a message)

■ *Primitive*

- ▶ also an *algorithm*
- ▶ the elementary subroutines of protocols
- ▶ implement (try to approximate) well-defined mathematical object
- ▶ embody “hard problems”

- A *stream cipher* is a generator of a *pseudo-random streams*

- A *stream cipher* is a generator of a *pseudo-random streams*
 - ▶ given an initialization key K
 - ▶ generates an infinite pseudo-random sequence of bits

- A *stream cipher* is a generator of a *pseudo-random streams*
 - ▶ given an initialization key K
 - ▶ generates an infinite pseudo-random sequence of bits
- E.g., RC4

Padding with a Stream Cipher

Padding with a Stream Cipher

- *Assumptions:* S and R share a secret key K and agree to use a stream cipher S_K
 - ▶ S and R maintain some *state*: position s initialized to $s = 0$

Padding with a Stream Cipher

- *Assumptions:* S and R share a secret key K and agree to use a stream cipher S_K
 - ▶ S and R maintain some *state*: position s initialized to $s = 0$
- *Encryption protocol*
 1. S computes $C \leftarrow M \oplus S_K[s \dots s + |M| - 1]$
 2. S updates its position $s \leftarrow s + |M|$

Padding with a Stream Cipher

- *Assumptions*: S and R share a secret key K and agree to use a stream cipher S_K
 - ▶ S and R maintain some *state*: position s initialized to $s = 0$

■ *Encryption protocol*

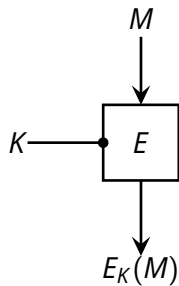
1. S computes $C \leftarrow M \oplus S_K[s \dots s + |M| - 1]$
2. S updates its position $s \leftarrow s + |M|$

■ *Decryption protocol*

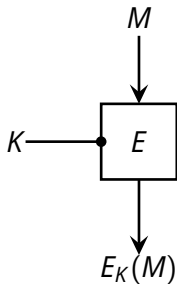
1. R computes $M \leftarrow C \oplus S_K[s \dots s + |C| - 1]$
2. R updates its position $s \leftarrow s + |C|$

- *Block Cipher*: $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

- *Block Cipher*: $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

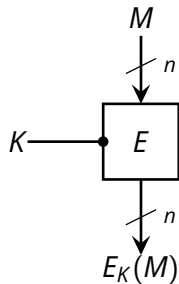


- *Block Cipher*: $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$



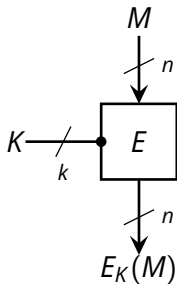
- ▶ $E_K(\cdot)$ is a *permutation*, so $E_K^{-1}(\cdot)$ is always defined

- Block Cipher: $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$



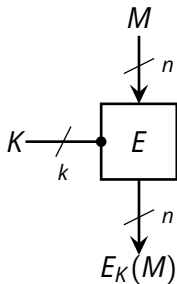
- ▶ $E_K(\cdot)$ is a *permutation*, so $E_K^{-1}(\cdot)$ is always defined
- ▶ fixed-length input and output (n)

- *Block Cipher*: $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$



- ▶ $E_K(\cdot)$ is a *permutation*, so $E_K^{-1}(\cdot)$ is always defined
- ▶ fixed-length input and output (n)
- ▶ fixed-length key (k)

- *Block Cipher*: $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$



- ▶ $E_K(\cdot)$ is a *permutation*, so $E_K^{-1}(\cdot)$ is always defined
- ▶ fixed-length input and output (n)
- ▶ fixed-length key (k)
- ▶ e.g., DES, AES

An Encryption Protocol

- *Symmetric encryption*
 - ▶ *Input: k -bit key K , N -bit message M*
 - ▶ *Output: N -bit ciphertext C*

■ Symmetric encryption

- ▶ Input: k -bit key K , N -bit message M
- ▶ Output: N -bit ciphertext C

■ Cipher Block Chaining (CBC)

- ▶ use a block cipher $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$
- ▶ split M into n -bit blocks $M = M_0 || M_1 || \dots || M_\ell$ ($\ell = \lfloor N/n \rfloor$)

■ Symmetric encryption

- ▶ Input: k -bit key K , N -bit message M
- ▶ Output: N -bit ciphertext C

■ Cipher Block Chaining (CBC)

- ▶ use a block cipher $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$
- ▶ split M into n -bit blocks $M = M_0 || M_1 || \dots || M_\ell$ ($\ell = \lfloor N/n \rfloor$)

```
CBC( $K, M$ )
1   $x \leftarrow 0^n$ 
2  for  $i \leftarrow 0$  to  $\lfloor |M|/n \rfloor$ 
3      do  $C[ni \dots ni + n - 1] \leftarrow E_K(x \oplus M[ni \dots ni + n - 1])$ 
4           $x \leftarrow C[ni \dots ni + n - 1]$ 
5  return  $C$ 
```

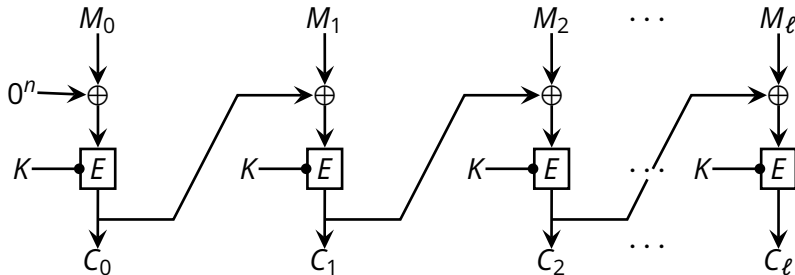
An Encryption Protocol

■ Symmetric encryption

- ▶ Input: k -bit key K , N -bit message M
- ▶ Output: N -bit ciphertext C

■ Cipher Block Chaining (CBC)

- ▶ use a block cipher $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$
- ▶ split M into n -bit blocks $M = M_0 || M_1 || \dots || M_\ell$ ($\ell = \lfloor N/n \rfloor$)



- Write the decryption algorithm for CBC

- Write the decryption algorithm for CBC

```
CBC-DECRYPT( $K, C$ )
```

```
1  $x \leftarrow 0^n$ 
```

```
2 for  $i \leftarrow 0$  to  $\lfloor |C|/n \rfloor$ 
```

```
3     do  $M[ni \dots ni + n - 1] \leftarrow x \oplus E_K^{-1}(C[ni \dots ni + n - 1])$ 
```

```
4      $x \leftarrow C[ni \dots ni + n - 1]$ 
```

```
5 return  $M$ 
```

An Encryption Protocol (2)

An Encryption Protocol (2)

- Is this CBC protocol secure?

- Is this CBC protocol secure?
 - ▶ ***any deterministic stateless protocol is insecure***
 - ▶ we need *state* and/or *randomness*

An Encryption Protocol (2)

- Is this CBC protocol secure?
 - ▶ ***any deterministic stateless protocol is insecure***
 - ▶ we need *state* and/or *randomness*

- What if $|M| \neq 0 \pmod n$?

- Is this CBC protocol secure?
 - ▶ ***any deterministic stateless protocol is insecure***
 - ▶ we need *state* and/or *randomness*
- What if $|M| \neq 0 \pmod n$?
- Is CBC parallelizable?

- **CBC\$**: cipher block chaining with random IV

- **CBC\$**: cipher block chaining with random IV

```

CBC$-ENCRYPT( $K, M$ )
1  if  $|M| = 0 \vee |M| \neq 0 \pmod n$ 
2    then return  $\perp$ 
3   $M[1] \cdot M[2] \cdots M[\ell] \leftarrow M$ 
4   $IV \xrightarrow{\$} \leftarrow \{0, 1\}^n$ 
5   $C[0] \leftarrow IV$ 
6  for  $i \leftarrow 1$  to  $\ell$ 
7    do  $C[i] \leftarrow E_K(C[i-1] \oplus M[i])$ 
8   $C \leftarrow C[1] \cdot C[2] \cdots C[\ell]$ 
9  return  $\langle IV, C \rangle$ 

```

- **CBC\$**: cipher block chaining with random IV (decryption)

- **CBC\$**: cipher block chaining with random IV (decryption)

```
CBC$-DECRYPT( $K, IV, C$ )
1  if  $|C| = 0 \vee |C| \neq 0 \pmod n$ 
2    then return  $\perp$ 
3   $C[1] \cdot C[2] \cdots C[\ell] \leftarrow C$ 
4   $C[0] \leftarrow IV$ 
5  for  $i \leftarrow 1$  to  $\ell$ 
6    do  $M[i] \leftarrow C[i-1] \oplus E_K(C[i])$ 
7   $M \leftarrow M[1] \cdot M[2] \cdots M[\ell]$ 
8  return  $M$ 
```

- **CBC**: cipher block chaining with stateful counter

- **CBCC**: cipher block chaining with stateful counter

```
CBCC-ENCRYPT( $K, M$ )
1  static  $ctr \leftarrow 0$ 
2  if  $ctr \geq 2^n \vee |M| = 0 \vee |M| \neq 0 \pmod n$ 
3    then return  $\perp$ 
4   $M[1] \cdot M[2] \cdots M[\ell] \leftarrow M$ 
5   $IV \leftarrow [ctr]_n$ 
6   $C[0] \leftarrow [ctr]_n$ 
7  for  $i \leftarrow 1$  to  $\ell$ 
8    do  $C[i] \leftarrow E_K(C[i-1] \oplus M[i])$ 
9   $C \leftarrow C[1] \cdot C[2] \cdots C[\ell]$ 
10  $ctr \leftarrow ctr + 1$ 
11 return  $\langle IV, C \rangle$ 
```


CBC With Stateful Counter (2)

- **CBC**: cipher block chaining with stateful counter

- **CBCC**: cipher block chaining with stateful counter

```
CBCC-DECRYPT( $K, IV, C$ )
1  if  $IV + |C| \geq 2^n \vee |C| = 0 \vee |C| \neq 0 \pmod n$ 
2    then return  $\perp$ 
3   $C[1] \cdot C[2] \cdots C[\ell] \leftarrow C$ 
4   $IV \leftarrow [ctr]_n$ 
5   $C[0] \leftarrow IV$ 
6  for  $i \leftarrow 1$  to  $\ell$ 
7    do  $M[i] \leftarrow C[i-1] \oplus E_K^{-1}(C[i])$ 
8   $M \leftarrow M[1] \cdot M[2] \cdots M[\ell]$ 
9  return  $M$ 
```

- **CTR\$:** counter mode with random initial counter

- **CTR\$:** counter mode with random initial counter
 - ▶ *family of functions:* $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

- **CTR\$**: counter mode with random initial counter
 - ▶ *family of functions*: $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

CTR\$-ENCRYPT(K, M)

```
1   $R \xleftarrow{\$} \{0, 1\}^n$ 
2   $Pad \leftarrow F_K([R]_n)$ 
3  for  $i \leftarrow 1$  to  $\lceil |M|/n \rceil - 1$ 
4      do  $Pad \leftarrow Pad \cdot F_K([R + i]_n)$ 
5   $Pad \leftarrow$  first  $|M|$  bits of  $Pad$ 
6   $C \leftarrow M \oplus Pad$ 
7  return  $\langle R, C \rangle$ 
```

- **CTR\$:** counter mode with random initial counter (decryption)
 - ▶ *family of functions:* $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

■ **CTR\$**: counter mode with random initial counter (decryption)

- ▶ *family of functions*: $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

```
CTR$-DECRYPT( $K, R, C$ )
1   $Pad \leftarrow F_K([R]_n)$ 
2  for  $i \leftarrow 1$  to  $\lceil |C|/n \rceil - 1$ 
3      do  $Pad \leftarrow Pad \cdot F_K([R + i]_n)$ 
4   $Pad \leftarrow$  first  $|C|$  bits of  $Pad$ 
5   $M \leftarrow C \oplus Pad$ 
6  return  $M$ 
```

- **CTRC:** counter mode with stateful counter

- ▶ *family of functions:* $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

■ **CTRC:** counter mode with stateful counter

- ▶ family of functions: $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

CTRC(K, M)

```

1  static  $R \leftarrow 0$ 
2   $\ell \leftarrow \lceil |M|/n \rceil$ 
3  if  $R + \ell - 1 \geq 2^n$ 
4    then return  $\perp$ 
5   $Pad \leftarrow F_K([R]_n)$ 
6  for  $i \leftarrow 1$  to  $\ell - 1$ 
7    do  $Pad \leftarrow Pad \cdot F_K([R + i]_n)$ 
8   $Pad \leftarrow$  first  $|M|$  bits of  $Pad$ 
9   $C \leftarrow M \oplus Pad$ 
10  $R \leftarrow R + \ell$ 
11 return  $\langle R - \ell, C \rangle$ 

```

- **CTRC:** counter mode with stateful counter (decryption)
 - ▶ *family of functions:* $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

■ **CTRC**: counter mode with stateful counter (decryption)

- ▶ family of functions: $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

```
CTRC-DECRYPT( $K, R, C$ )
```

```
1  $Pad \leftarrow F_K([R]_n)$ 
```

```
2 for  $i \leftarrow 1$  to  $\lceil |C|/n \rceil - 1$ 
```

```
3     do  $Pad \leftarrow Pad \cdot F_K([R + i]_n)$ 
```

```
4  $Pad \leftarrow$  first  $|C|$  bits of  $Pad$ 
```

```
5  $M \leftarrow C \oplus Pad$ 
```

```
6 return  $M$ 
```

■ *MAC generation*

- ▶ *Input: k -bit key K , N -bit message M*
- ▶ *Output: n -bit message authentication code σ*

■ MAC generation

- ▶ Input: k -bit key K , N -bit message M
- ▶ Output: n -bit message authentication code σ

■ CBC with random IV

- ▶ use a block cipher $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$
- ▶ split M into n -bit blocks $M = M_0 || M_1 || \dots || M_\ell$ ($\ell = \lfloor N/n \rfloor$)

MAC(K, M)

1 $IV \xleftarrow{\$} \{0, 1\}^n$

2 $C \leftarrow IV$

3 **for** $i \leftarrow 0$ **to** $\lfloor |M|/n \rfloor$

4 **do** $C \leftarrow E_K(C \oplus M[ni \dots ni + n - 1])$

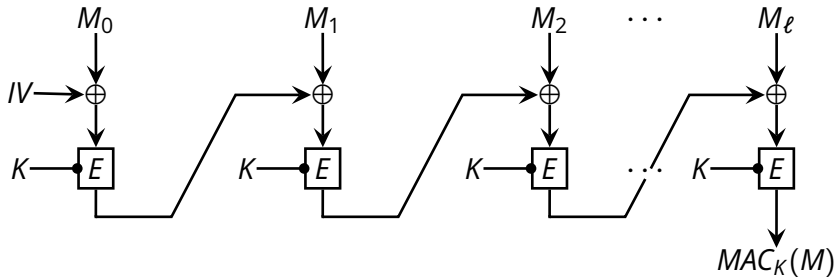
5 **return** $\langle IV, C \rangle$

■ MAC generation

- ▶ Input: k -bit key K , N -bit message M
- ▶ Output: n -bit message authentication code σ

■ CBC with random IV

- ▶ use a block cipher $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$
- ▶ split M into n -bit blocks $M = M_0 || M_1 || \dots || M_\ell$ ($\ell = \lfloor N/n \rfloor$)



- **CBC MAC:** cipher block chaining MAC with random IV

- **CBC MAC:** cipher block chaining MAC with random IV

```
CBC-MAC$(K, M)
1  if |M| = 0 ∨ |M| ≠ 0 mod n
2     then return ⊥
3  M[1] · M[2] · ⋯ · M[ℓ] ← M
4  IV ←${0, 1}n
5  C ← IV
6  for i ← 1 to ℓ
7     do C ← EK(C ⊕ M[i])
8  return ⟨IV, C⟩
```


- **CBC MAC:** cipher block chaining MAC with random IV

- **CBC MAC:** cipher block chaining MAC with random IV

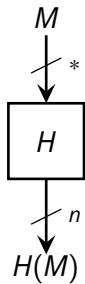
```
CBC-MAC$-VERIFY( $K, IV, \sigma, M$ )
1  if  $|M| = 0 \vee |M| \neq 0 \pmod n$ 
2    then return  $\perp$ 
3   $M[1] \cdot M[2] \cdots M[\ell] \leftarrow M$ 
4   $C \leftarrow IV$ 
5  for  $i \leftarrow 1$  to  $\ell$ 
6    do  $C \leftarrow E_K(C \oplus M[i])$ 
7  if  $C = \sigma$ 
8    then return ACCEPT
9  else return REJECT
```

Cryptographic Hash Functions

- *Cryptographic Hash: $H : \{0, 1\}^* \rightarrow \{0, 1\}^n$*

Cryptographic Hash Functions

- *Cryptographic Hash: $H : \{0, 1\}^* \rightarrow \{0, 1\}^n$*



Cryptographic Hash Functions

■ *Cryptographic Hash*: $H : \{0, 1\}^* \rightarrow \{0, 1\}^n$

▶ $H(\cdot)$ is a good *hash* function when (*informally*)

$$\forall m \in \{0, 1\}^*, h \in \{0, 1\}^n, \Pr[H(m) = h] = \frac{1}{2^n}$$

Cryptographic Hash Functions

■ *Cryptographic Hash*: $H : \{0, 1\}^* \rightarrow \{0, 1\}^n$

▶ $H(\cdot)$ is a good *hash* function when (*informally*)

$$\forall m \in \{0, 1\}^*, h \in \{0, 1\}^n, \Pr[H(m) = h] = \frac{1}{2^n}$$

▶ it is “difficult” to find *collisions*

$$\text{find } m_1, m_2 \in \{0, 1\}^* : m_1 \neq m_2, H(m_1) = H(m_2)$$

Cryptographic Hash Functions

■ *Cryptographic Hash*: $H : \{0, 1\}^* \rightarrow \{0, 1\}^n$

- ▶ $H(\cdot)$ is a good *hash* function when (*informally*)

$$\forall m \in \{0, 1\}^*, h \in \{0, 1\}^n, \Pr[H(m) = h] = \frac{1}{2^n}$$

- ▶ it is “difficult” to find *collisions*

$$\text{find } m_1, m_2 \in \{0, 1\}^* : m_1 \neq m_2, H(m_1) = H(m_2)$$

- ▶ it is “difficult” to find a *preimage*

$$\text{given } m \in \{0, 1\}^n, \text{ find } m' : H(m') = m$$

Cryptographic Hash Functions

■ *Cryptographic Hash*: $H : \{0, 1\}^* \rightarrow \{0, 1\}^n$

- ▶ $H(\cdot)$ is a good *hash* function when (*informally*)

$$\forall m \in \{0, 1\}^*, h \in \{0, 1\}^n, \Pr[H(m) = h] = \frac{1}{2^n}$$

- ▶ it is “difficult” to find *collisions*

$$\text{find } m_1, m_2 \in \{0, 1\}^* : m_1 \neq m_2, H(m_1) = H(m_2)$$

- ▶ it is “difficult” to find a *preimage*

$$\text{given } m \in \{0, 1\}^*, \text{ find } m' : H(m') = m$$

- ▶ e.g., SHA-1

- Basic ingredients: cryptographic primitives
 - ▶ secret-key (symmetric) cryptography (e.g., AES)
 - ▶ public-key (asymmetric) cryptography (e.g., RSA)
 - ▶ cryptographic hash functions (e.g., SHA-1)
 - ▶ stream ciphers (e.g., RC4)

- Basic ingredients: cryptographic primitives
 - ▶ secret-key (symmetric) cryptography (e.g., AES)
 - ▶ public-key (asymmetric) cryptography (e.g., RSA)
 - ▶ cryptographic hash functions (e.g., SHA-1)
 - ▶ stream ciphers (e.g., RC4)

- Recipes: cryptographic protocols
 - ▶ certificates (e.g., X.509)
 - ▶ secure transport (e.g., TLS, IPSec)
 - ▶ ...

- Basic ingredients: cryptographic primitives
 - ▶ secret-key (symmetric) cryptography (e.g., AES)
 - ▶ public-key (asymmetric) cryptography (e.g., RSA)
 - ▶ cryptographic hash functions (e.g., SHA-1)
 - ▶ stream ciphers (e.g., RC4)

- Recipes: cryptographic protocols
 - ▶ certificates (e.g., X.509)
 - ▶ secure transport (e.g., TLS, IPSec)
 - ▶ ...

- Applications
 - ▶ electronic commerce
 - ▶ secure shell
 - ▶ secure electronic mail
 - ▶ virtual private networks
 - ▶ ...