Reliable Data Transfer

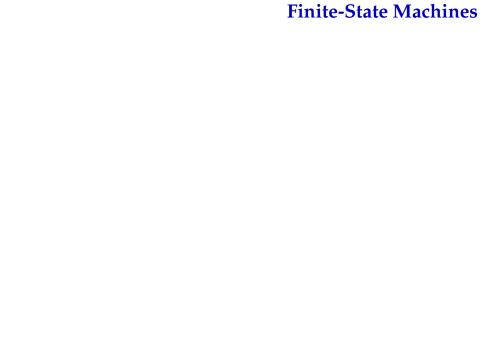
Antonio Carzaniga

Faculty of Informatics Università della Svizzera italiana

November 7, 2016

Outline

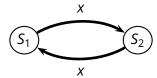
- Finite-state machines
- Using FSMs to specify protocols
- Principles of reliable data transfer
- Reliability over noisy channels
- ACKs/NACKs

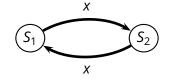


- A *finite-state machine (FSM)* is a mathematical abstraction
 - a.k.a., finite-state automaton (FSA), deterministic finite-state automaton (DFA), non-deterministic finite-state automaton (NFA)

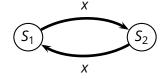
- A finite-state machine (FSM) is a mathematical abstraction
 - a.k.a., finite-state automaton (FSA), deterministic finite-state automaton (DFA), non-deterministic finite-state automaton (NFA)
- FSMs are a very useful formalism to specify and implement network protocols

- A *finite-state machine (FSM)* is a mathematical abstraction
 - a.k.a., finite-state automaton (FSA), deterministic finite-state automaton (DFA), non-deterministic finite-state automaton (NFA)
- FSMs are a very useful formalism to specify and implement network protocols
- Ubiquitous in computer science
 - theory of formal languages
 - compiler design
 - theory of computation
 - text processing
 - behavior specification
 - **.** . . .

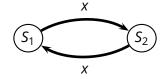




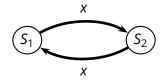
States are represented as nodes in a graph



- *States* are represented as *nodes in a graph*
- **Transitions** are represented as directed edges in the graph

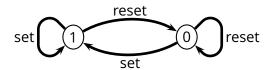


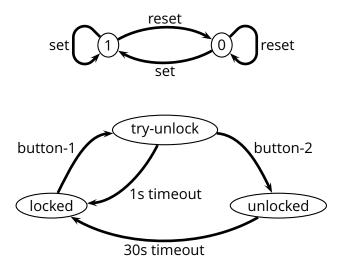
- States are represented as nodes in a graph
- *Transitions* are represented as *directed edges in the graph*
 - ▶ an edge labeled x going from state S_1 to state S_2 says that when the machine is in state S_1 and event x occurs, the machine switches to state S_2

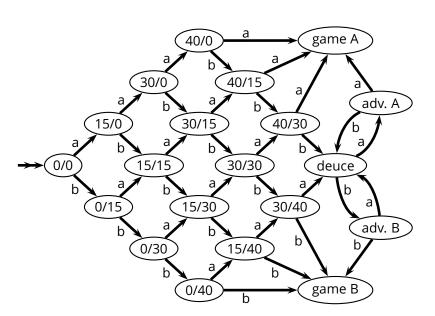


- *States* are represented as *nodes in a graph*
- *Transitions* are represented as *directed edges in the graph*
 - ▶ an edge labeled x going from state S_1 to state S_2 says that when the machine is in state S_1 and event x occurs, the machine switches to state S_2

button-pushed
On Off
button-pushed









FSMs to Specify Protocols

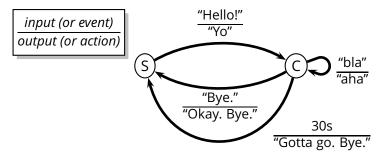
■ States represent the **state of a protocol**

FSMs to Specify Protocols

- States represent the **state of a protocol**
- *Transitions* are characterized by an *event/action* label
 - event: typically consists of an input message or a timeout
 - action: typically consists of an output message

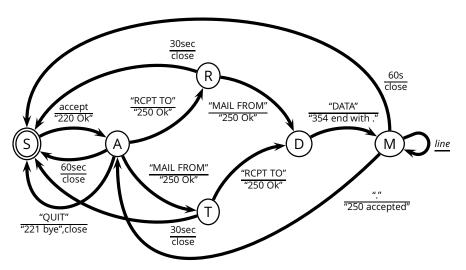
FSMs to Specify Protocols

- States represent the state of a protocol
- *Transitions* are characterized by an *event/action* label
 - event: typically consists of an input message or a timeout
 - action: typically consists of an output message
- E.g., here's a specification of a "simple conversation protocol"



Example

E.g., a subset of a server-side, SMTP-like protocol

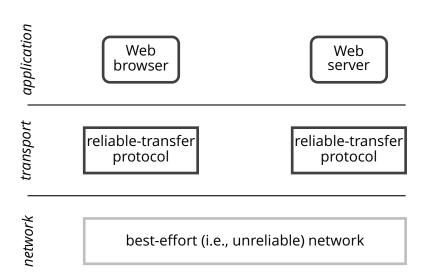


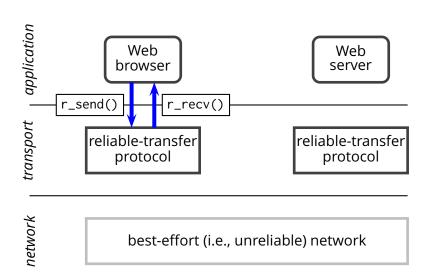


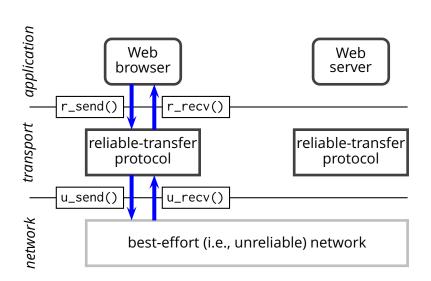
wep prowser Web server

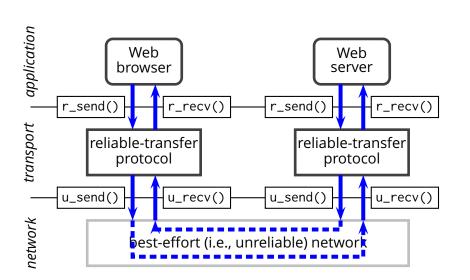
network

best-effort (i.e., unreliable) network









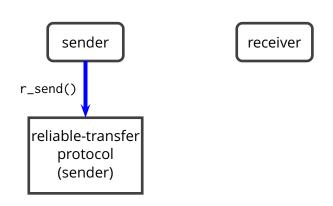
sender

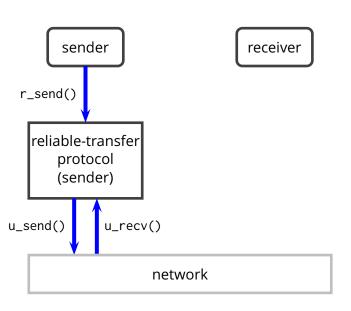
receiver

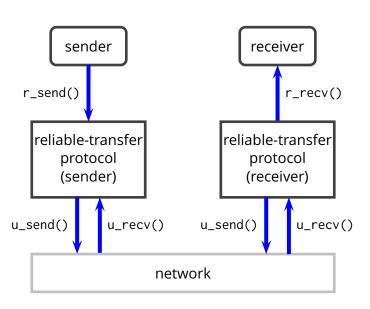
sender

receiver

reliable-transfer protocol (sender)



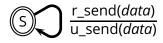




Baseline Protocol

 Reliable transport protocol that uses a reliable network (obviously a contrived example)

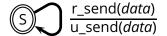
sender

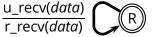


Baseline Protocol

■ Reliable transport protocol that uses a reliable network (obviously a contrived example)

sender receiver





Baseline Protocol

 Reliable transport protocol that uses a reliable network (obviously a contrived example)

sender receiver





Noisy Channel

- Reliable transport protocol over a network with *bit errors*
 - every so often, a bit will be modified during transmission
 - that is, a bit will be "flipped"
 - however, no packets will be lost

Noisy Channel

- Reliable transport protocol over a network with *bit errors*
 - every so often, a bit will be modified during transmission
 - that is, a bit will be "flipped"
 - however, no packets will be lost
- How do people deal with such situations? (Think of a phone call over a noisy line)

- Reliable transport protocol over a network with *bit errors*
 - every so often, a bit will be modified during transmission
 - that is, a bit will be "flipped"
 - however, no packets will be lost
- How do people deal with such situations? (Think of a phone call over a noisy line)
 - error detection: the receiver must be able to know when a received packet is corrupted (i.e., when it contains flipped bits)

- Reliable transport protocol over a network with bit errors
 - every so often, a bit will be modified during transmission
 - that is, a bit will be "flipped"
 - however, no packets will be lost
- How do people deal with such situations? (Think of a phone call over a noisy line)
 - error detection: the receiver must be able to know when a received packet is corrupted (i.e., when it contains flipped bits)
 - receiver feedback: the receiver must be able to alert the sender that a corrupted packet was received

- Reliable transport protocol over a network with bit errors
 - every so often, a bit will be modified during transmission
 - that is, a bit will be "flipped"
 - however, no packets will be lost
- How do people deal with such situations? (Think of a phone call over a noisy line)
 - error detection: the receiver must be able to know when a received packet is corrupted (i.e., when it contains flipped bits)
 - receiver feedback: the receiver must be able to alert the sender that a corrupted packet was received
 - retransmission: the sender retransmits corrupted packets



- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes

Error-detection codes

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes
- Error-detection codes
 - e.g., the parity bit

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes
- Error-detection codes
 - e.g., the parity bit
 - sender adds one bit that is the xor of all the bits in the message

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes
- Error-detection codes
 - e.g., the parity bit
 - sender adds one bit that is the xor of all the bits in the message
 - receiver computes the xor of all the bits and concludes that there was an error if the result is not 0 (i.e., if it is 1)

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes
- Error-detection codes
 - e.g., the parity bit
 - sender adds one bit that is the xor of all the bits in the message
 - receiver computes the xor of all the bits and concludes that there was an error if the result is not 0 (i.e., if it is 1)

Sender:

message is 1001011011101000

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes
- Error-detection codes
 - e.g., the parity bit
 - sender adds one bit that is the xor of all the bits in the message
 - receiver computes the xor of all the bits and concludes that there was an error if the result is not 0 (i.e., if it is 1)

Sender:

message is $1001011011101000 \Rightarrow \text{send } 10010110111010000$

- Key idea: sending redundant information
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes

Error-detection codes

- e.g., the parity bit
 - sender adds one bit that is the xor of all the bits in the message
 - receiver computes the xor of all the bits and concludes that there was an error if the result is not 0 (i.e., if it is 1)

Sender:

message is 1001011011101000 \Rightarrow send 10010110111010000

Receiver:

receives 10010110101010000

- Key idea: *sending redundant information*
 - e.g., the sender could repeat the message twice
 - error when the receiver hears two different messages
 - not very efficient (uses twice the number of bits) but there are better error-detection codes

Error-detection codes

- e.g., the parity bit
 - sender adds one bit that is the xor of all the bits in the message
 - receiver computes the xor of all the bits and concludes that there was an error if the result is not 0 (i.e., if it is 1)

Sender:

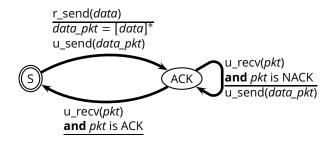
Receiver:

message is 1001011011101000 \Rightarrow send 10010110111010000

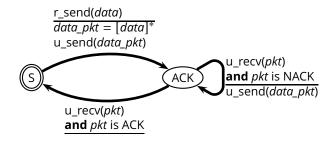
receives $10010110101010000 \Rightarrow error!$

- Sender
 - ► [data]* indicates a packet containing data plus an error-detection code (i.e., a checksum)

- Sender
 - ► [data]* indicates a packet containing data plus an error-detection code (i.e., a checksum)



- Sender
 - [data]* indicates a packet containing data plus an error-detection code (i.e., a checksum)



Receiver





- This protocol is "synchronous" or "stop-and-wait" for each packet
 - i.e., the sender must receive a (positive) acknowledgment before it can take more data from the application layer

- This protocol is "synchronous" or "stop-and-wait" for each packet
 - i.e., the sender must receive a (positive) acknowledgment before it can take more data from the application layer

Does the protocol really work?

- This protocol is "synchronous" or "stop-and-wait" for each packet
 - i.e., the sender must receive a (positive) acknowledgment before it can take more data from the application layer
- Does the protocol really work?
- What happens if an error occurs within an ACK/NACK packet?

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"
 - 4. sender hears: "...noise ..."

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"
 - 4. sender hears: "...noise ..."
 - 5. sender says: "Repeat your ACK please!"
 - 6. ...

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"
 - 4. sender hears: "...noise ..."
 - 5. sender says: "Repeat your ACK please!"
 - 6. ...

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"
 - 4. sender hears: "...noise ..."
 - 5. sender says: "Repeat your ACK please!"
 - 6. ...

Not Good: this protocol doesn't seem to end

Make ACK/NACK packets so redundant that the sender can always figure out what the message is, even if a few bits are corrupted

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"
 - 4. sender hears: "...noise ..."
 - 5. sender says: "Repeat your ACK please!"
 - 6. ...

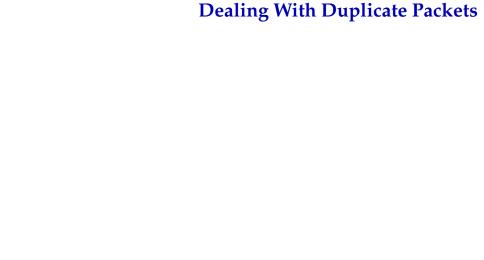
- Make ACK/NACK packets so redundant that the sender can always figure out what the message is, even if a few bits are corrupted
 - good enough for channels that do not loose messages

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"
 - 4. sender hears: "...noise ..."
 - 5. sender says: "Repeat your ACK please!"
 - 6. ...

- Make ACK/NACK packets so redundant that the sender can always figure out what the message is, even if a few bits are corrupted
 - good enough for channels that do not loose messages
- Assume a NACK and simply retransmit the packet

- Negative acknowledgments for ACKs and NACKs
 - 1. sender says: "let's go see Taxi Driver"
 - 2. receiver hears: "let's ... Taxi ..."
 - 3. receiver says: "Repeat message!"
 - 4. sender hears: "...noise ..."
 - 5. sender says: "Repeat your ACK please!"
 - 6. ...

- Make ACK/NACK packets so redundant that the sender can always figure out what the message is, even if a few bits are corrupted
 - good enough for channels that do not loose messages
- Assume a NACK and simply retransmit the packet
 - good idea, but it introduces duplicate packets (why?)



Dealing With Duplicate Packets

- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"

Dealing With Duplicate Packets

- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver passes "let's go see Taxi Driver" to application layer
 - 4. receiver says: "Got it!" (i.e., ACK)

Dealing With Duplicate Packets

- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver passes "let's go see Taxi Driver" to application layer
 - 4. receiver says: "Got it!" (i.e., ACK)
 - 5. sender hears: "...noise ..."

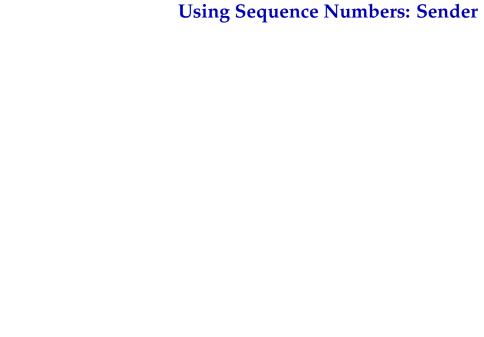
- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver passes "let's go see Taxi Driver" to application layer
 - 4. receiver says: "Got it!" (i.e., ACK)
 - 5. sender hears: "...noise ..."
 - 6. sender (assuming a NACK) says: "7: let's go see Taxi Driver"

- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver passes "let's go see Taxi Driver" to application layer
 - 4. receiver says: "Got it!" (i.e., ACK)
 - 5. sender hears: "...noise ..."
 - 6. sender (assuming a NACK) says: "7: let's go see Taxi Driver"
 - 7. receiver hears: "7: let's go see Taxi Driver"
 - 8. receiver ignores the packet

- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver passes "let's go see Taxi Driver" to application layer
 - 4. receiver says: "Got it!" (i.e., ACK)
 - 5. sender hears: "...noise ..."
 - 6. sender (assuming a NACK) says: "7: let's go see Taxi Driver"
 - 7. receiver hears: "7: let's go see Taxi Driver"
 - 8. receiver ignores the packet
- How many bits do we need for the sequence number?

- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver passes "let's go see Taxi Driver" to application layer
 - 4. receiver says: "Got it!" (i.e., ACK)
 - 5. sender hears: "...noise ..."
 - 6. sender (assuming a NACK) says: "7: let's go see Taxi Driver"
 - 7. receiver hears: "7: let's go see Taxi Driver"
 - 8. receiver ignores the packet
- How many bits do we need for the sequence number?
 - this is a "stop-and-wait" protocol for each packet, so the receiver needs to distinguish between (1) the next packet and (2) the retransmission of the current packet

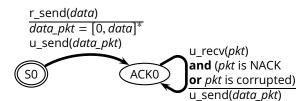
- The sender adds a *sequence number* to each packet so that the receiver can determine whether a packet is a retransmission
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver passes "let's go see Taxi Driver" to application layer
 - 4. receiver says: "Got it!" (i.e., ACK)
 - 5. sender hears: "...noise ..."
 - 6. sender (assuming a NACK) says: "7: let's go see Taxi Driver"
 - 7. receiver hears: "7: let's go see Taxi Driver"
 - 8. receiver ignores the packet
- How many bits do we need for the sequence number?
 - this is a "stop-and-wait" protocol for each packet, so the receiver needs to distinguish between (1) the next packet and (2) the retransmission of the current packet
 - ▶ so, one bit is sufficient

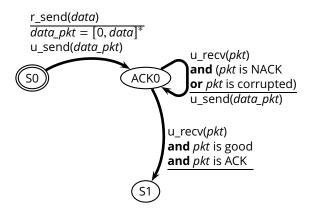


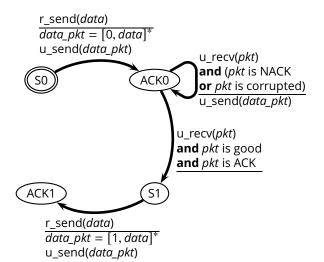
(50)

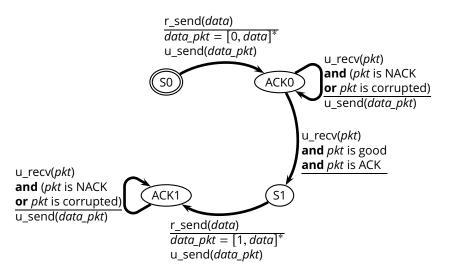
```
r_send(data)
data_pkt = [0, data]^*
u_send(data_pkt)

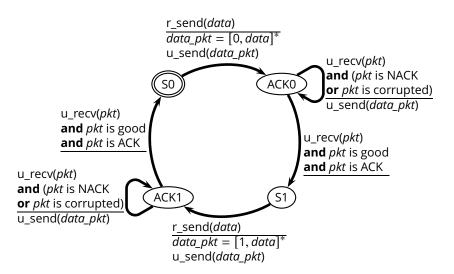
ACKO
```



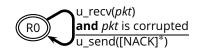


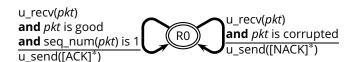


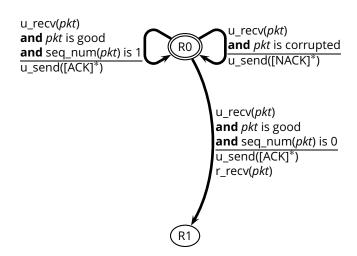


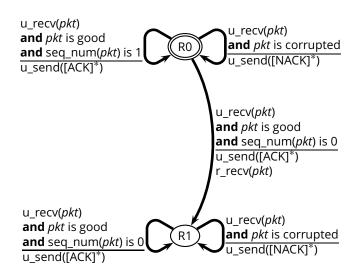


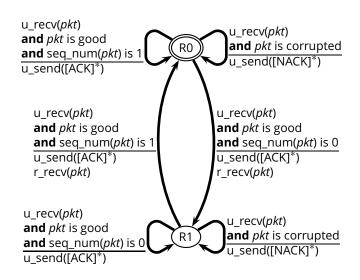












■ Do we really need both ACKs and NACKs?

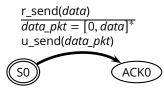
- Do we really need both ACKs and NACKs?
- Idea: now that we have sequence numbers, the receiver can convey the semantics of a NACK by sending an ACK for the last good packet it received

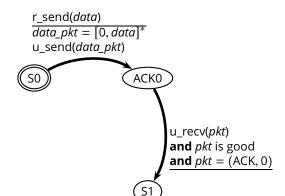
- Do we really need both ACKs and NACKs?
- Idea: now that we have sequence numbers, the receiver can convey the semantics of a NACK by sending an ACK for the last good packet it received
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver says: "Got it!"
 - 4. sender hears: "Got it!"
 - 5. sender says: "8: let's meet at 8:00PM"
 - 6. receiver hears: "...noise ..."

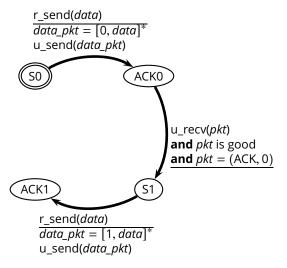
- Do we really need both ACKs and NACKs?
- Idea: now that we have sequence numbers, the receiver can convey the semantics of a NACK by sending an ACK for the last good packet it received
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver says: "Got it!"
 - 4. sender hears: "Got it!"
 - 5. sender says: "8: let's meet at 8:00PM"
 - 6. receiver hears: "...noise ..."
 - 7. receiver now says: "Got 7" (instead of saying "Please, resend")
 - 8. sender hears: "Got 7"

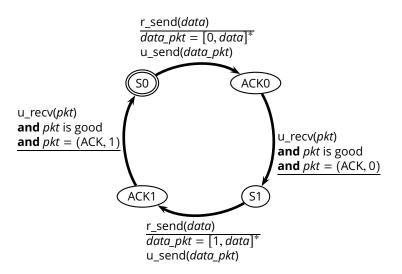
- Do we really need both ACKs and NACKs?
- Idea: now that we have sequence numbers, the receiver can convey the semantics of a NACK by sending an ACK for the last good packet it received
 - 1. sender says: "7: let's go see Taxi Driver"
 - 2. receiver hears: "7: let's go see Taxi Driver"
 - 3. receiver says: "Got it!"
 - 4. sender hears: "Got it!"
 - 5. sender says: "8: let's meet at 8:00PM"
 - 6. receiver hears: "...noise ..."
 - 7. receiver now says: "Got 7" (instead of saying "Please, resend")
 - 8. sender hears: "Got 7"
 - sender knows that the current message is 8, and therefore repeats: "8: let's meet at 8:00PM"

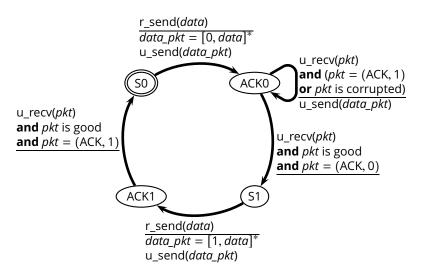


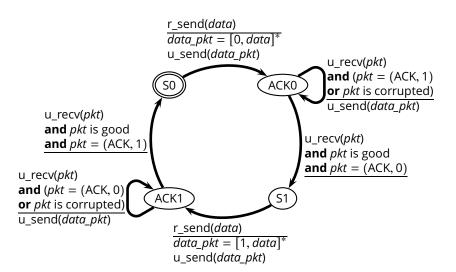




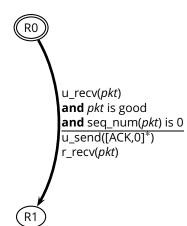


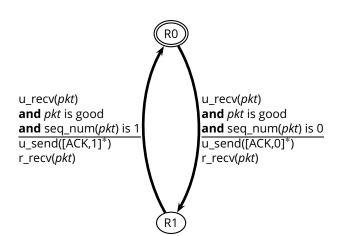


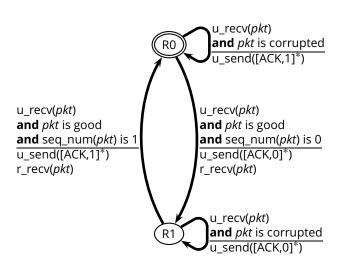


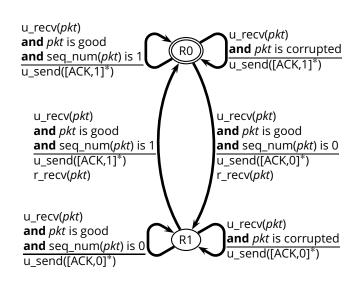


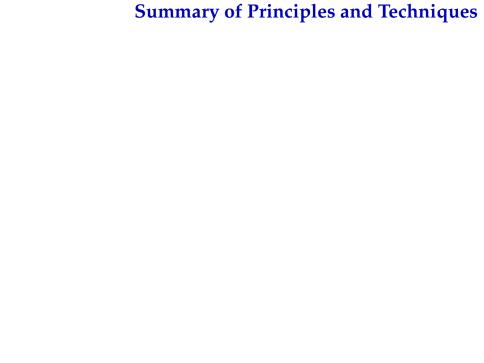












■ *Error detection codes* (checksums) can be used to detect transmission errors

- *Error detection codes* (checksums) can be used to detect transmission errors
 - **Retransmission** allow us to recover from transmission errors

- *Error detection codes* (checksums) can be used to detect transmission errors
- **Retransmission** allow us to recover from transmission errors
- ACKs and NACKs give feedback to the sender
 - ACKs and NACKs are also "protected" with an error-detection code

- *Error detection codes* (checksums) can be used to detect transmission errors
- *Retransmission* allow us to recover from transmission errors
- ACKs and NACKs give feedback to the sender
 - ACKs and NACKs are also "protected" with an error-detection code
 - corrupted ACKs are interpreded as NACKs, possibly generating duplicate segments

- *Error detection codes* (checksums) can be used to detect transmission errors
- **Retransmission** allow us to recover from transmission errors
- ACKs and NACKs give feedback to the sender
 - ACKs and NACKs are also "protected" with an error-detection code
 - corrupted ACKs are interpreded as NACKs, possibly generating duplicate segments
- Sequence numbers allow the receiver to ignore duplicate data segments

- Reliable transport protocol over a network that may
 - introduce bit errors
 - loose packets

- Reliable transport protocol over a network that may
 - introduce bit errors
 - loose packets
- How do people deal with such situations?
 (Think of radio transmissions over a noisy and shared medium. Also, think about what we just did for noisy channels)

- Reliable transport protocol over a network that may
 - ▶ introduce bit errors
 - loose packets
- How do people deal with such situations? (Think of radio transmissions over a noisy and shared medium. Also, think about what we just did for noisy channels)
- Detection: the receiver and/or the sender must be able to determine that a packet was lost (how?)

- Reliable transport protocol over a network that may
 - introduce bit errors
 - loose packets
- How do people deal with such situations? (Think of radio transmissions over a noisy and shared medium. Also, think about what we just did for noisy channels)
- Detection: the receiver and/or the sender must be able to determine that a packet was lost (how?)
- ACKs, retransmission, and sequence numbers: lost packets can be easily treated as corrupted packets



