

String Matching Algorithms

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- Problem definition
- Naïve algorithm
- Knuth-Morris-Pratt algorithm
- Boyer-Moore algorithm

- Given the text
*Nel mezzo del cammin di nostra vita
mi ritrovai per una selva oscura
che la dritta via era smarrita...*

Find the string “trova”

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- A more challenging example: How many times does the string “110011” appear in the following text

```
0011110101011010011000110101111011010111  
0110111001001010101011111011110110000101  
1011000010111111011110011000011111000100  
1001010010111011101011011110101001100101  
0010111001000011111110010011011101011010  
0110011011101001010010101000010100111110
```

- Given the text
*Nel mezzo del cammin di nostra vita
mi ritrovai per una selva oscura
che la dritta via era smarrita...*

Find the string “trova”

- A more challenging example: How many times does the string “110011” appear in the following text

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0011110101011010011000110101111011010111  
0110111001001010101011111011110110000101  
1011000010111111011110011000011111000100  
1001010010111011101011011110101001100101  
0010111001000011111110010011011101011010  
0110011011101001010010101000010100111110
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String Matching: Definitions

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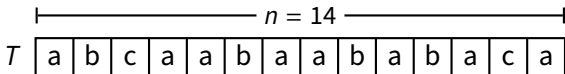
- Both T and P can be modeled as arrays
 - ▶ $T[1 \dots n]$ and $P[1 \dots m]$

- Pattern P occurs with **shift** s in T iff
 - ▶ $0 \leq s \leq n - m$
 - ▶ $T[s + i] = P[i]$ for all positions $1 \leq i \leq m$

- Problem: find all s such that
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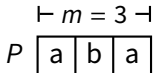
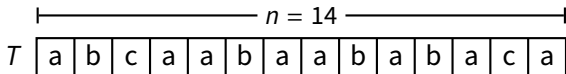
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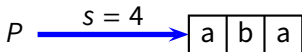
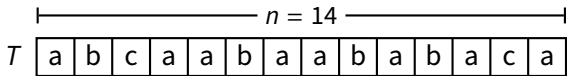
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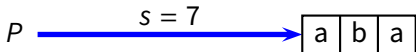
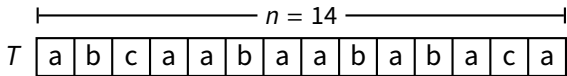


■ Result

$s = 4$

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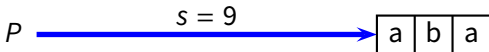
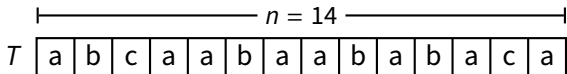
■ Result

$$s = 4$$

$$s = 7$$

■ Problem: find all s such that

- ▶ $0 \leq s \leq n - m$
- ▶ $T[s + i] = P[i]$ for $1 \leq i \leq m$



■ Result

$$s = 4$$

$$s = 7$$

$$s = 9$$

Naïve Algorithm

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- For each position s in $0 \dots n - m$, see if $T[s + i] = P[i]$ for all $1 \leq i \leq m$

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```
NAIVE-STRING-MATCHING( $T, P$ )  
1   $n = \text{length}(T)$   
2   $m = \text{length}(P)$   
3  for  $s = 0$  to  $n - m$   
4      if SUBSTRING-AT( $T, P, s$ )  
5          OUTPUT( $s$ )
```

Naïve Algorithm

- For each position s in $0 \dots n - m$, see if $T[s + i] = P[i]$ for all $1 \leq i \leq m$

NAIVE-STRING-MATCHING(T, P)

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3 for  $s = 0$  to  $n - m$ 
4     if SUBSTRING-AT( $T, P, s$ )
5         OUTPUT( $s$ )
```

SUBSTRING-AT(T, P, s)

```
1 for  $i = 1$  to  $\text{length}(P)$ 
2     if  $T[s + i] \neq P[i]$ 
3         return FALSE
4 return TRUE
```

Complexity of the Naïve Algorithm

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$$T = a^n, \quad P = a^m$$

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$$T = \overbrace{aa \cdots a}^n, \quad P = \overbrace{aa \cdots a}^m$$

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i.e.,

$$T = \overbrace{aa \cdots a}^n, \quad P = \overbrace{aa \cdots a}^m$$

So, $(n - m + 1)m$ is a tight bound, so the (worst-case) complexity of **NAIVE-STRING-MATCH** is

$$\Theta((n - m + 1)m)$$

Improvement Strategy

- Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

P

a	b	a
---	---	---

■ Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

=

P

a	b	a
---	---	---

■ Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

= =

P

a	b	a
---	---	---

■ Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

$= = \neq$

P

a	b	a
---	---	---

- Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

= = ≠

P

a	b	a
---	---	---

- What now?

Improvement Strategy

■ Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

= = ≠

P

a	b	a
---	---	---

■ What now?

- ▶ the naïve algorithm ***goes back to the second position in T and starts from the beginning of P***

Improvement Strategy

■ Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

= = ≠

P

a	b	a
---	---	---

■ What now?

- ▶ the naïve algorithm ***goes back to the second position in T and starts from the beginning of P***
- ▶ can't we simply move along through T ?

■ Observation

T

a	b	c	a	a	b	a	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

= = ≠

P

a	b	a
---	---	---

■ What now?

- ▶ the naïve algorithm ***goes back to the second position in T and starts from the beginning of P***
- ▶ can't we simply move along through T ?
- ▶ why?

Improvement Strategy (2)

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WRONG-STRING-MATCHING(T, P)

```
1   $n = \text{length}(T)$ 
2   $m = \text{length}(P)$ 
3   $q = 0$            // number of characters matched in  $P$ 
4   $s = 1$ 
5  while  $s \leq n$ 
6       $s = s + 1$ 
7      if  $T[s] == P[q + 1]$ 
8           $q = q + 1$ 
9          if  $q == m$ 
10             OUTPUT( $s - m$ )
11              $q = 0$ 
12     else  $q = 0$ 
```

Improvement Strategy (3)

- Example run of **WRONG-STRING-MATCHING**

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T

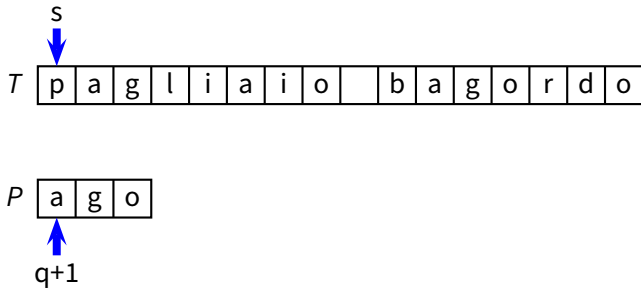
p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

P

a	g	o
---	---	---

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p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



P

a	g	o
---	---	---

$q+1$

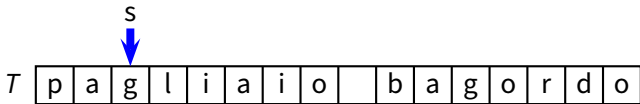


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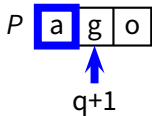
p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

A horizontal array of 16 cells representing the text 'pagliaiobagordoo'. The third cell contains the character 'g'. A blue arrow labeled 's' points down to this 'g'.

P

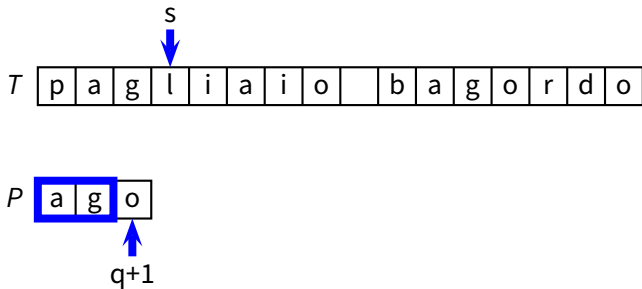
a	g	o
---	---	---

$q+1$

A horizontal array of 3 cells representing the pattern 'ago'. The first cell contains 'a', the second 'g', and the third 'o'. The first cell 'a' is highlighted with a blue border. A blue arrow labeled 'q+1' points up to the second cell 'g'.

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T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



P

a	g	o
---	---	---



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a	g	o
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T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



P

a	g	o
---	---	---



 $q+1$

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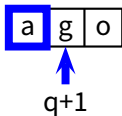
T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



P

a	g	o
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T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



P

a	g	o
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 $q+1$

Improvement Strategy (3)

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T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



P

a	g	o
---	---	---



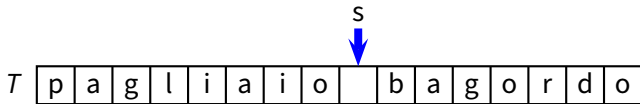
$q+1$

Improvement Strategy (3)

■ Example run of **WRONG-STRING-MATCHING**

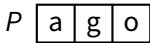
T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

A horizontal array of 16 cells representing the string T. The cells contain the characters 'p', 'a', 'g', 'l', 'i', 'a', 'i', 'o', an empty space, 'b', 'a', 'g', 'o', 'r', 'd', 'o'. A blue arrow labeled 's' points down to the 9th cell.

P

a	g	o
---	---	---

A horizontal array of 3 cells representing the string P. The cells contain the characters 'a', 'g', 'o'.

$q+1$


A blue arrow labeled 'q+1' points up to the first cell of the string P.

Improvement Strategy (3)

- Example run of **WRONG-STRING-MATCHING**

T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



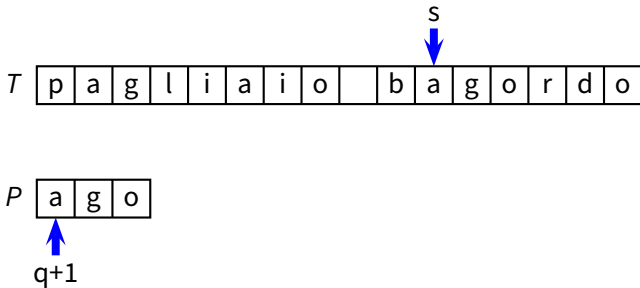
P

a	g	o
---	---	---


 $q+1$

Improvement Strategy (3)

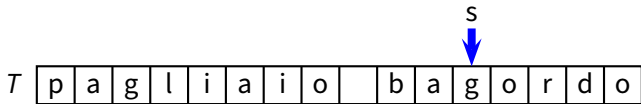
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Improvement Strategy (3)

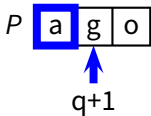
- Example run of **WRONG-STRING-MATCHING**

T p a g l i a i o b a g o r d o



The diagram shows a horizontal array of 13 cells representing the text T . The cells contain the characters 'p', 'a', 'g', 'l', 'i', 'a', 'i', 'o', 'b', 'a', 'g', 'o', 'r', 'd', 'o'. A blue arrow labeled 's' points downwards to the third 'g' in the array.

P a g o

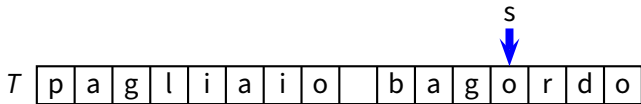


The diagram shows a horizontal array of 3 cells representing the pattern P . The cells contain the characters 'a', 'g', 'o'. A blue box highlights the 'a' in the first cell. A blue arrow labeled 'q+1' points upwards to the 'g' in the second cell.

Improvement Strategy (3)

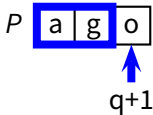
- Example run of **WRONG-STRING-MATCHING**

T p a g l i a i o b a g o r d o



The diagram shows a horizontal array of 13 cells representing the text T . The cells contain the characters 'p', 'a', 'g', 'l', 'i', 'a', 'i', 'o', 'b', 'a', 'g', 'o', 'r', 'd', 'o'. A blue arrow labeled 's' points down to the 10th cell, which contains the character 'o'.

P a g o



The diagram shows a horizontal array of 3 cells representing the pattern P . The cells contain the characters 'a', 'g', 'o'. A blue arrow labeled 'q+1' points up to the 3rd cell, which contains the character 'o'. The entire array is enclosed in a blue rectangular box.

Improvement Strategy (3)

■ Example run of **WRONG-STRING-MATCHING**

T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

s



P


a	g	o
---	---	---

Improvement Strategy (3)

- Example run of **WRONG-STRING-MATCHING**

T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---



P

a	g	o
---	---	---


 $q+1$

Output: 10

Improvement Strategy (3)

- Example run of **WRONG-STRING-MATCHING**

T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

s
↓

P

a	g	o
---	---	---

↑
 $q+1$

Output: 10

Improvement Strategy (3)

■ Example run of **WRONG-STRING-MATCHING**

T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

s
↓

P

a	g	o
---	---	---

↑
 $q+1$

Output: 10

Improvement Strategy (3)

- Example run of **WRONG-STRING-MATCHING**

T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

P

a	g	o
---	---	---

Output: 10

- Done. Perfect!

Improvement Strategy (3)

- Example run of **WRONG-STRING-MATCHING**

T

p	a	g	l	i	a	i	o		b	a	g	o	r	d	o
---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---

P

a	g	o
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Output: 10

- Done. Perfect!
- Complexity: $\Theta(n)$

Improvement Strategy (4)

- What is wrong with **WRONG-STRING-MATCHING**?

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T

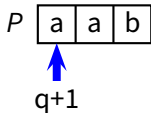
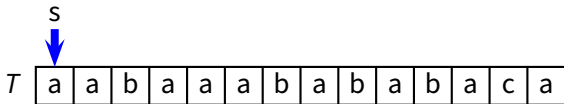
a	a	b	a	a	a	b	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

P

a	a	b
---	---	---

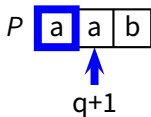
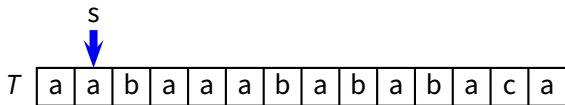
Improvement Strategy (4)

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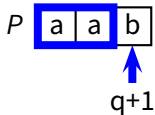
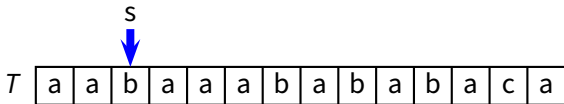
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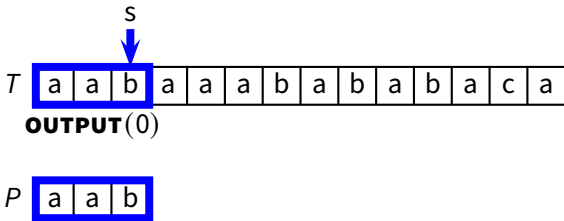
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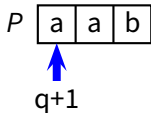
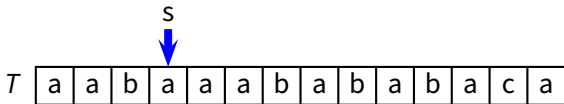
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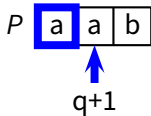
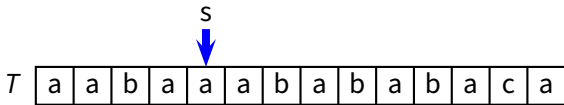
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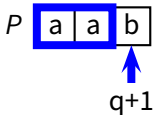
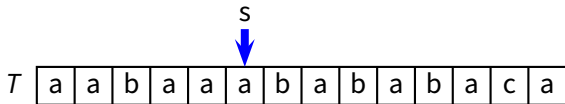
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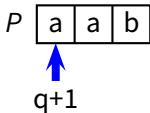
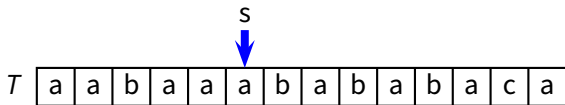
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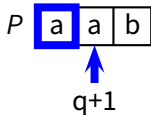
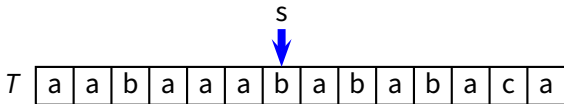
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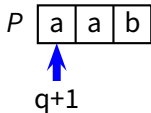
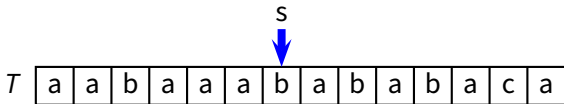
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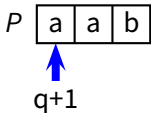
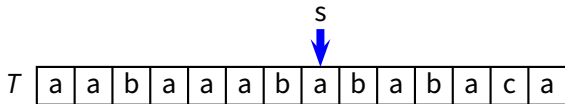
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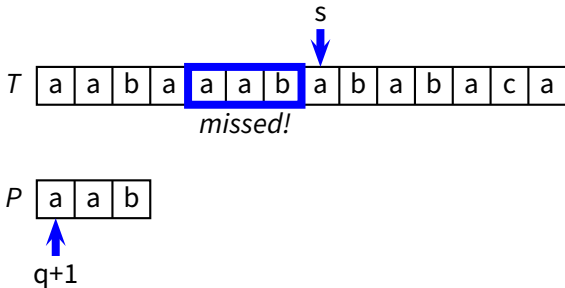
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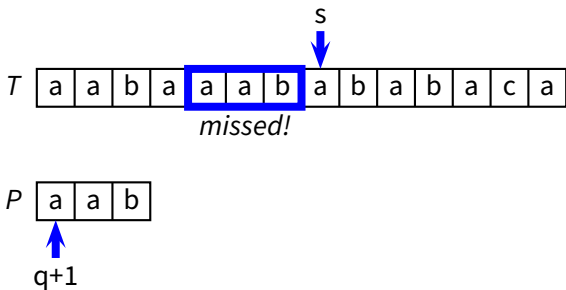
Improvement Strategy (4)

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- So **WRONG-STRING-MATCHING** doesn't work, but it tells us something useful

Improvement Strategy (5)

- Where did **WRONG-STRING-MATCHING** go wrong?

Improvement Strategy (5)

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T

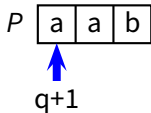
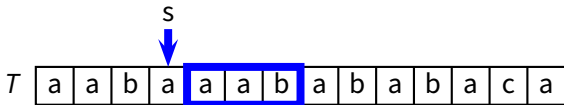
a	a	b	a	a	a	b	a	b	a	b	a	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

P

a	a	b
---	---	---

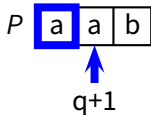
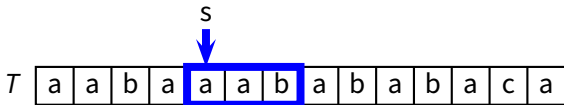
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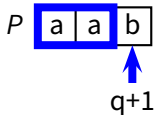
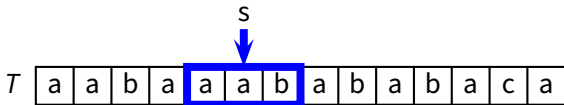
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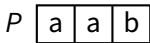
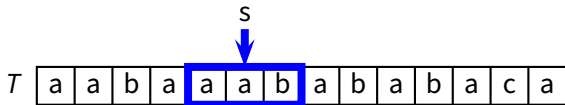
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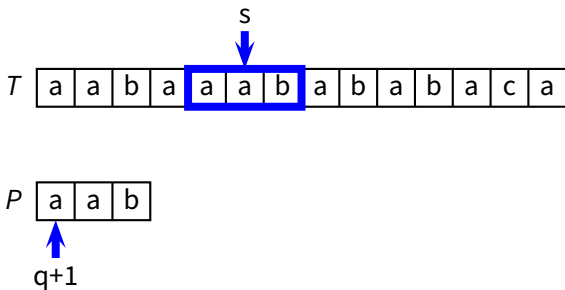
- Where did **WRONG-STRING-MATCHING** go wrong?



$q+1$

Improvement Strategy (5)

- Where did **WRONG-STRING-MATCHING** go wrong?



- Wrong: by going all the way back to $q = 0$ we throw away a good prefix of P that we already matched

Improvement Strategy (6)

- Another example

Improvement Strategy (6)

- Another example

T

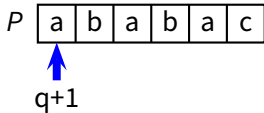
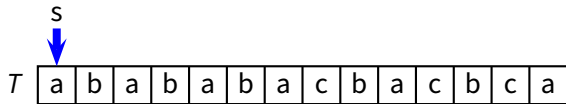
a	b	a	b	a	b	a	c	b	a	c	b	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

P

a	b	a	b	a	c
---	---	---	---	---	---

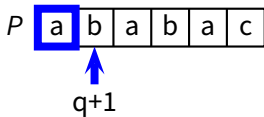
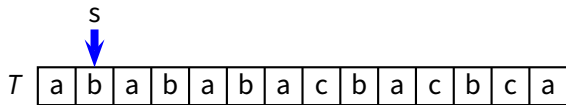
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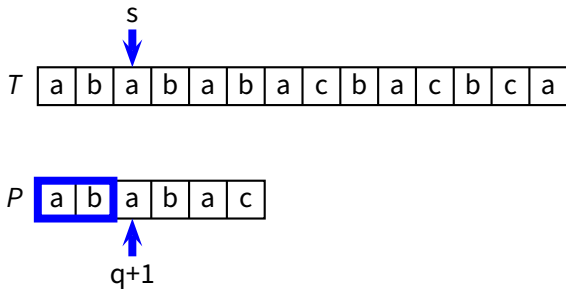
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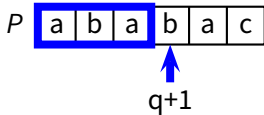
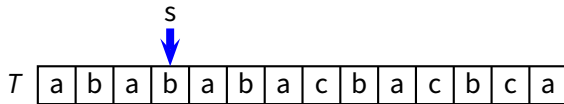
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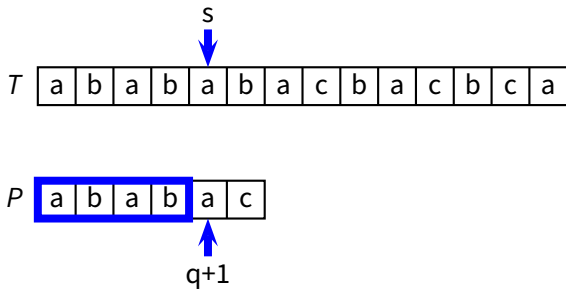
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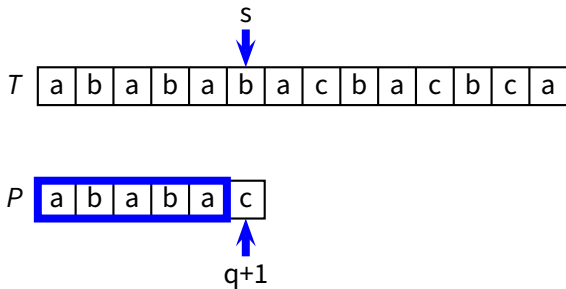
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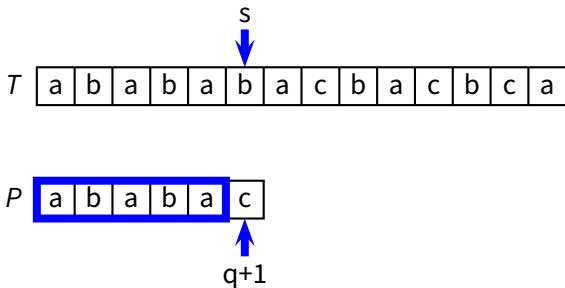
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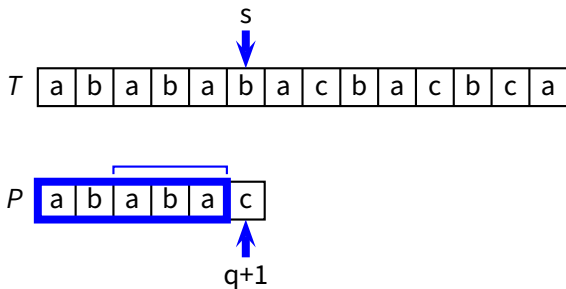
- Another example



- We have matched “ababa”

Improvement Strategy (6)

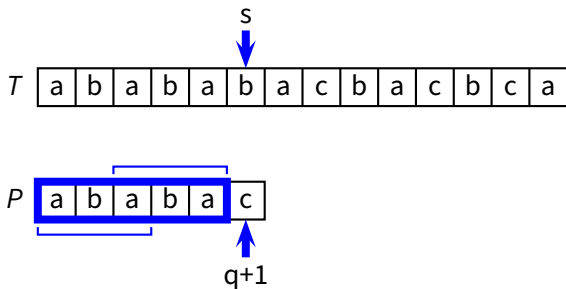
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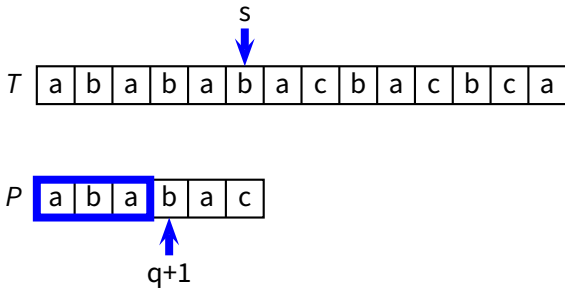
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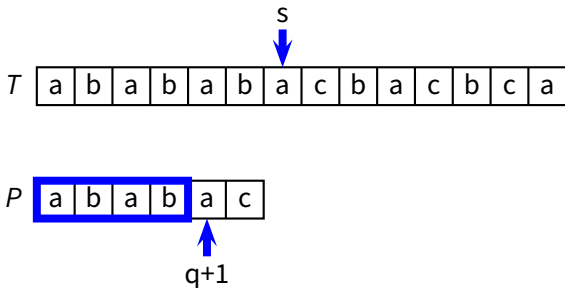
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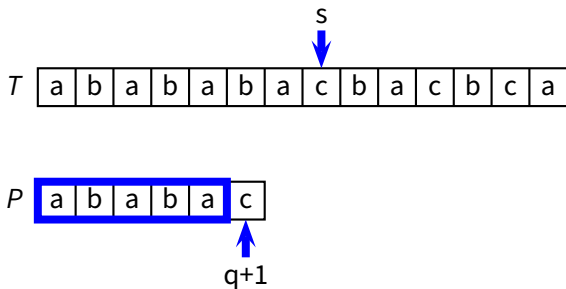
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- Another example

T

a	b	a	b	a	b	a	c	b	a	c	b	c	a
---	---	---	---	---	---	---	---	---	---	---	---	---	---

OUTPUT(2)

P

a	b	a	b	a	c
---	---	---	---	---	---

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- $P[1 \dots q]$ is the prefix of P matched so far

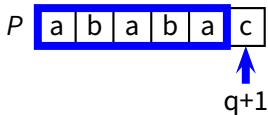
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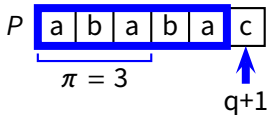
P

a	b	a	b	a	c
---	---	---	---	---	---

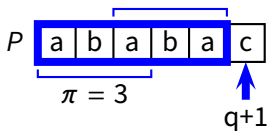
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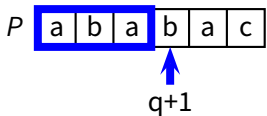
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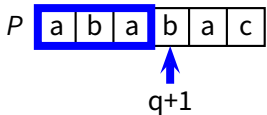


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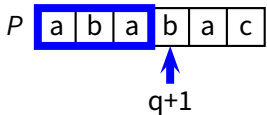
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- In essence, this is the Knuth-Morris-Pratt algorithm

The Prefix Function

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- Example

P

a	b	a	b	a	c
---	---	---	---	---	---

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P

a	b	a	b	a	c
---	---	---	---	---	---

π

0	0	1	2	3	0
---	---	---	---	---	---

The Knuth-Morris-Pratt Algorithm

KMP-STRING-MATCHING(T, P)

```
1   $n = \text{length}(T)$ 
2   $m = \text{length}(P)$ 
3   $\pi = \text{PREFIX-FUNCTION}(P)$ 
4   $q = 0$  // number of character matched
5  for  $i = 1$  to  $n$  // scan the text left-to-right
6      while  $q > 0$  and  $P[q + 1] \neq T[i]$ 
7           $q = \pi[q]$  // no match: go back using  $\pi$ 
8      if  $P[q + 1] == T[i]$ 
9           $q = q + 1$ 
10     if  $q == m$ 
11         OUTPUT( $i - m$ )
12          $q = \pi[q]$  // go back for the next match
```

Prefix Function Algorithm

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- Computing the prefix function amounts to finding all the occurrences of a pattern P *in itself*
- In fact, **PREFIX-FUNCTION** is remarkably similar to **KMP-STRING-MATCHING**

PREFIX-FUNCTION(P)

```
1   $m = \text{length}(P)$ 
2   $\pi[1] = 0$ 
3   $k = 0$ 
4  for  $q = 2$  to  $m$ 
5      while  $k > 0$  and  $P[k + 1] \neq P[q]$ 
6           $k = \pi[k]$ 
7      if  $P[k + 1] == P[q]$ 
8           $k = k + 1$ 
9       $\pi[q] = k$ 
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Prefix Function at Work

PREFIX-FUNCTION(P)

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π

--	--	--	--	--	--

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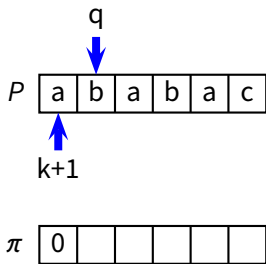
π

0					
---	--	--	--	--	--

Prefix Function at Work

PREFIX-FUNCTION(P)

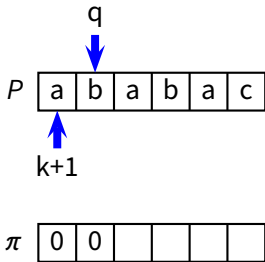
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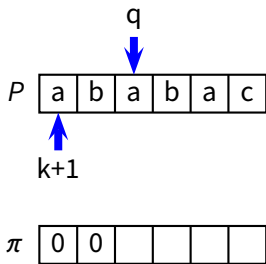
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Prefix Function at Work

PREFIX-FUNCTION(P)

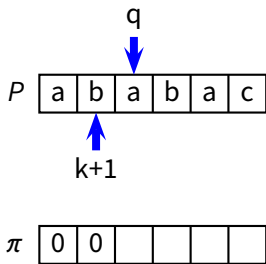
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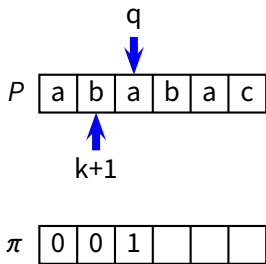
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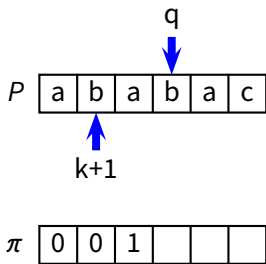
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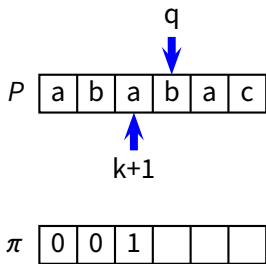
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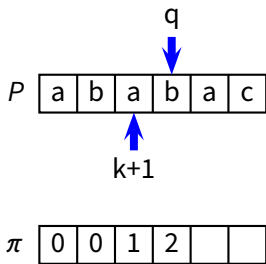
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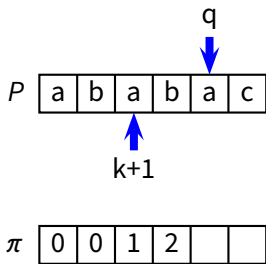
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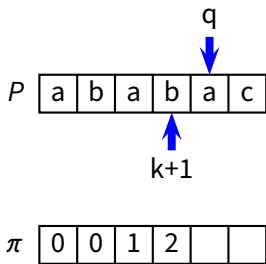
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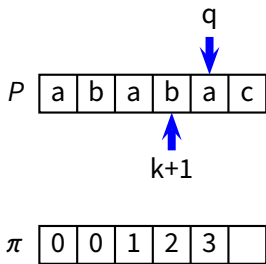
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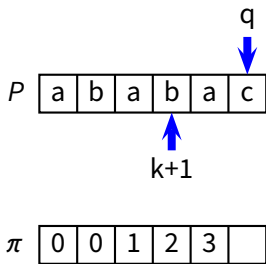
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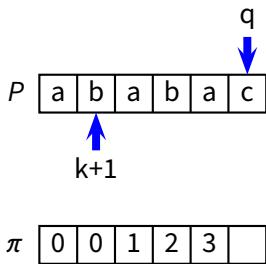
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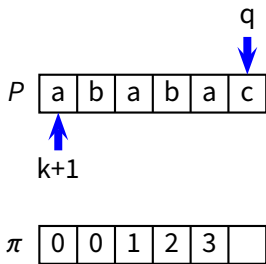
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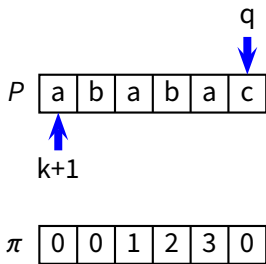
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- Can we do better?

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- Perhaps there’s another algorithm that works better on the average case
 - ▶ e.g., in the absence of periodic patterns

A New Strategy

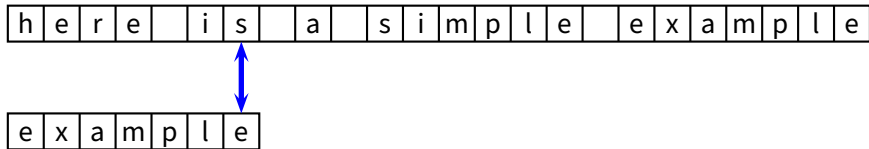
h	e	r	e		i	s		a		s	i	m	p	l	e		e	x	a	m	p	l	e
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A New Strategy

h	e	r	e		i	s		a		s	i	m	p	l	e		e	x	a	m	p	l	e
---	---	---	---	--	---	---	--	---	--	---	---	---	---	---	---	--	---	---	---	---	---	---	---

e	x	a	m	p	l	e
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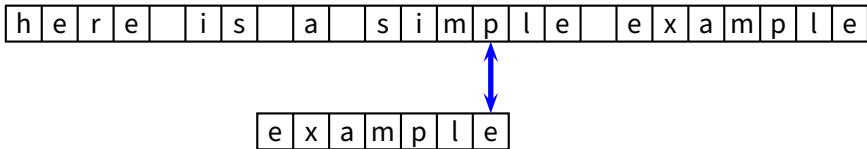
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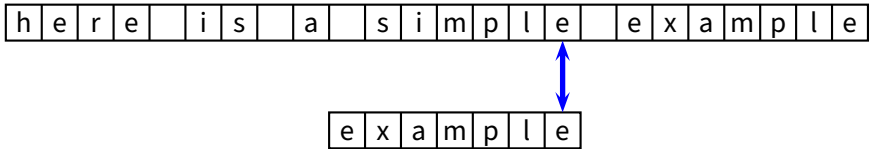
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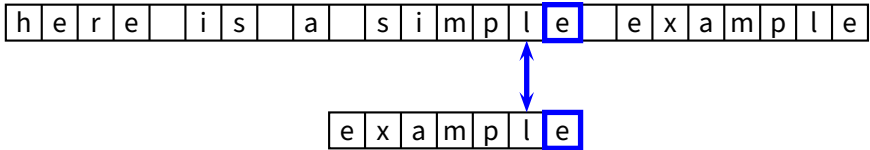
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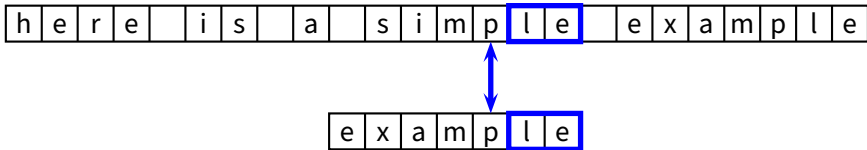
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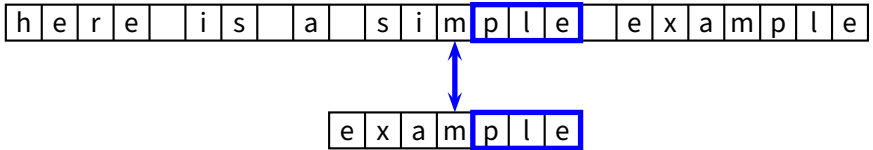
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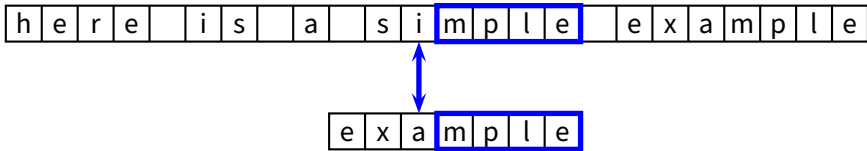
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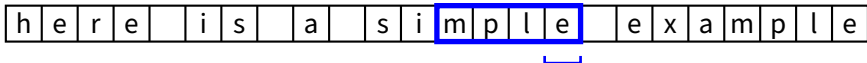
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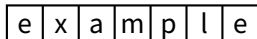
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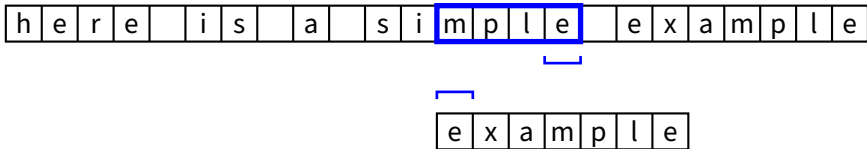


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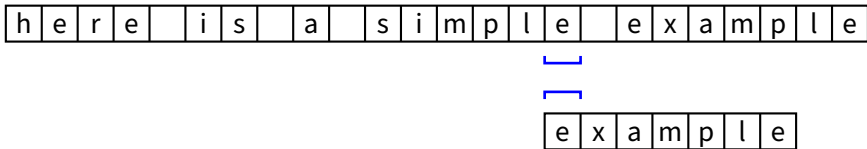
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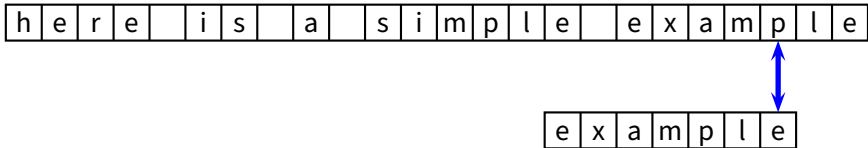
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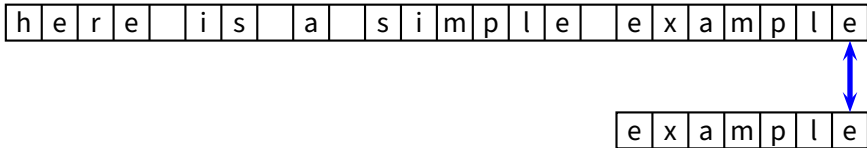
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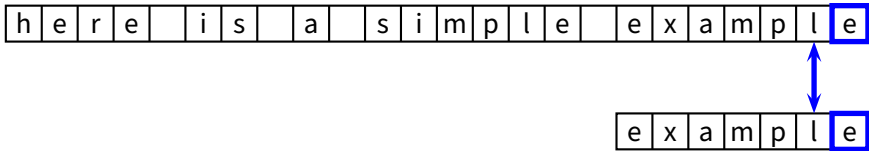
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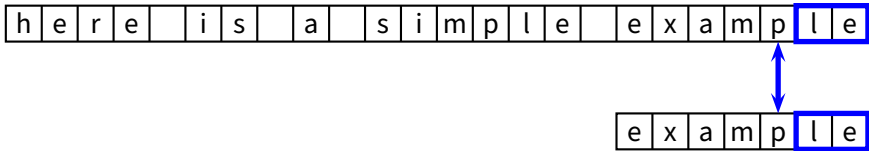
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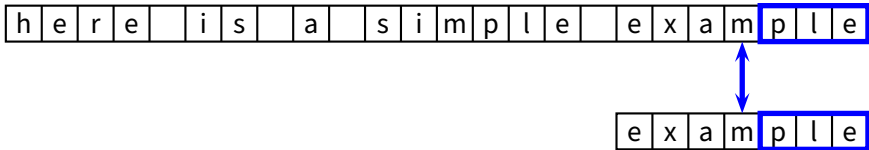
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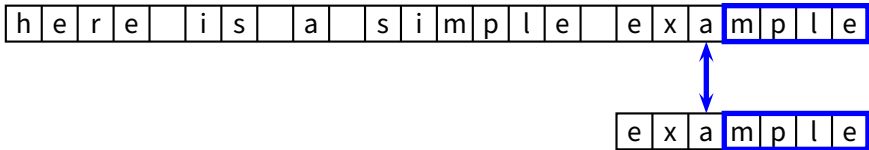
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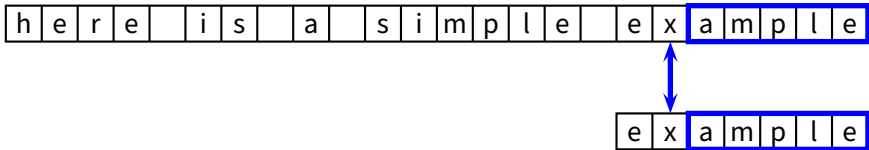
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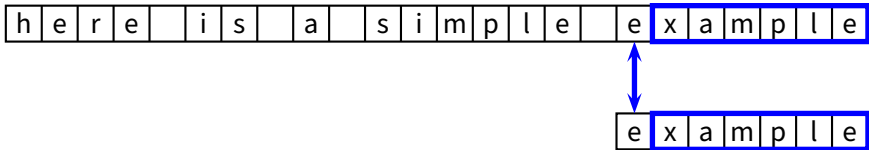
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- In practice, Boyer-Moore is the fastest string-matching algorithm for most applications