Basics of Complexity Analysis: The RAM Model and the Growth of Functions

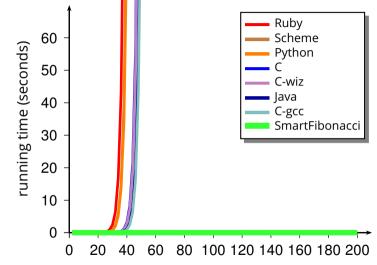
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Outline

- Informal analysis of two Fibonacci algorithms
- The *random-access machine* model
- Measure of complexity
- Characterizing functions with their asymptotic behavior
- Big-*O*, omega, and theta notations

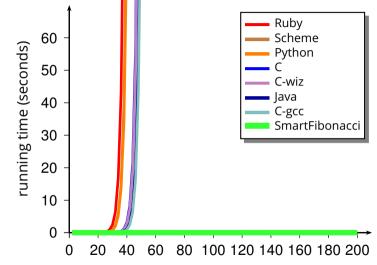


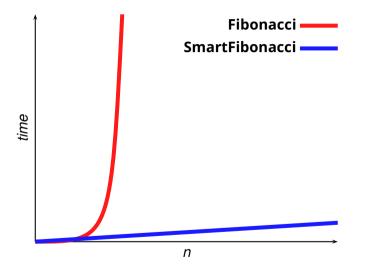
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- How do we characterize the complexity of algorithms?
 - in general
 - in a way that is specific to the algorithms
 - but independent of implementation details





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- arithmetic operations: addition, multiplication, division, etc.
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A basic step in the RAM model takes a constant time

SmartFibonacci(*n*)

```
    if n == 0
    return 0
    elseif n == 1
    return 1
    else pprev = 0
    prev = 1
    for i = 2 to n
```

```
8  f = prev + pprev
9  pprev = prev
```

```
10 prev = f
```

```
11 return f
```

SmartFibonacci(<i>n</i>)				
1	if <i>n</i> == 0			
2	return 0			
3	elseif <i>n</i> == 1			
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5	else $pprev = 0$			
6	prev = 1			
7	for <i>i</i> = 2 to <i>n</i>			
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cost times (n > 1)

SmartFibonacci(<i>n</i>)		cost	times $(n > 1)$
1	if <i>n</i> == 0	<i>C</i> ₁	1
2	return 0	<i>C</i> ₂	0
3	elseif <i>n</i> == 1	<i>C</i> 3	1
4	return 1	<i>C</i> 4	0
5	else $pprev = 0$	<i>C</i> 5	1
6	prev = 1	<i>C</i> 6	1
7	for <i>i</i> = 2 to <i>n</i>	C 7	п
8	f = prev + pprev	<i>C</i> 8	<i>n</i> – 1
9	pprev = prev	<i>C</i> 9	<i>n</i> – 1
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11	return f	C ₁₁	1

 $T(n) = c_1 + c_3 + c_5 + c_6 + c_{11} + nc_7 + (n-1)(c_8 + c_9 + c_{10})$

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 $T(n) = nC_1 + C_2 \implies T(n)$ is a linear function of n

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Example: given a sequence $A = \langle a_1, a_2, ..., a_n \rangle$, and a value *x*, output true if *A* contains *x*, or false otherwise

Find(*A*, *x*) **for** *i* = 1 **to** *length*(*A*) **if** *A*[*i*] == *x* **return** true **return** false

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```
FindEquals(A)

1 for i = 1 to length(A) - 1

2 for j = i + 1 to length(A)

3 if A[i] == A[j]

4 return true

5 return false
```

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FindEquals(A) 1 for i = 1 to length(A) - 12 for j = i + 1 to length(A)3 if A[i] == A[j]4 return true 5 return false

$$T(n) = C \frac{n(n-1)}{2}$$

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- these costs are likely to vary significantly with languages, implementations, and processors
- so, we assume $c_1 = c_2 = c_3 = \cdots = c_i$
- ▶ we also ignore the specific *value c_i*, and in fact *we ignore every constant cost factor*

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$$T(n) = \Theta(n^2)$$

and say that "T(n) is theta of *n*-squared"

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 - $A(n-1) = A(n^2)$ for all *n*

■ If f(n) is such that f(n) = kA(g(n)) for all n sufficiently large and for some constant k > 0, then we say that

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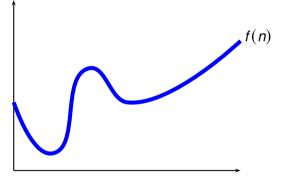
In fact, the fundamental prime number theorem says that

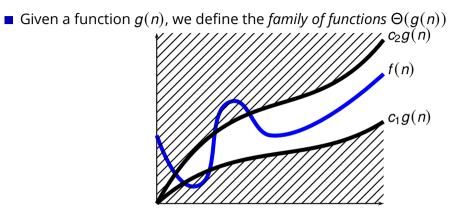
$$\lim_{n\to\infty}\frac{\pi(n)\ln n}{n}=1$$

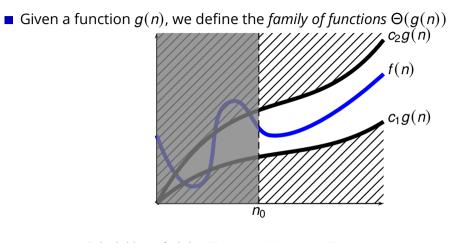
Θ -Notation

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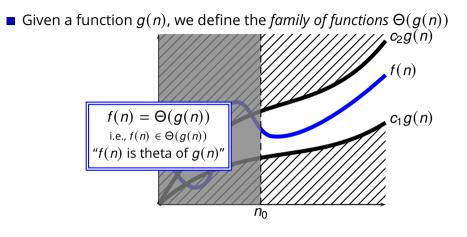
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 $\Theta(g(n)) = \{ f(n) : \exists c_1 > 0, \exists c_2 > 0, \exists n_0 > 0 \\ : 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n \ge n_0 \}$



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)

T(n) = complexity of **SmartFibonacci**

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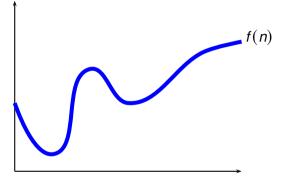
T(n) = complexity of **SmartFibonacci** \Rightarrow $T(n) = \Theta(n)$

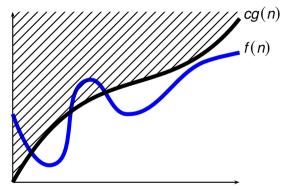
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$$T(n) = n^2 + 10n + 100 \implies T(n) = \Theta(n^2)$$

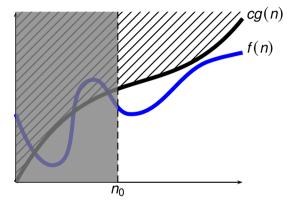
■ $T(n) = n + 10 \log n \implies T(n) = \Theta(n)$
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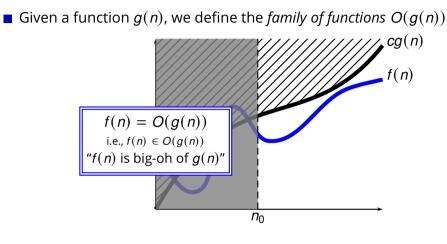
- We characterize the behavior of T(n) in the limit
- The Θ-notation is an *asymptotic notation*







$$O(g(n)) = \{ f(n) : \exists c > 0, \exists n_0 > 0 \\ : 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \}$$



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$$f(n) = \Theta(g(n)) \land g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$$

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$$n^2 - 10n + 100 = O(n \log n)?$$



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$$f(n) = O(2^n) \Rightarrow f(n) = O(n^2)? \text{ NO}$$

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So, what is the complexity of **FindEquals**?

FindEquals(A) 1 for i = 1 to length(A) - 12 for j = i + 1 to length(A)3 if A[i] == A[j]4 return true 5 return false

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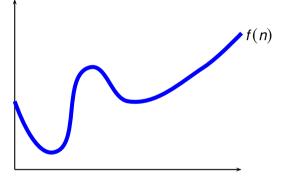
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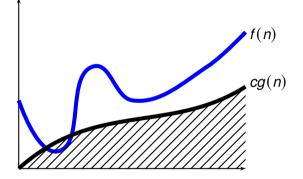
- n = length(A) is the size of the input
- we measure the worst-case complexity

Given a function g(n), we define the *family of functions* $\Omega(g(n))$

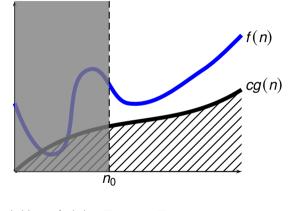
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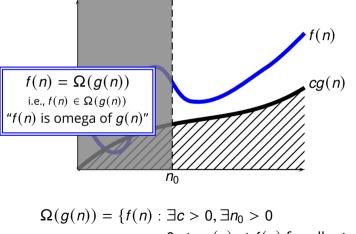


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 $0 \leq cg(n) \leq f(n)$ for all $n \geq n_0$

Theorem: for any two functions f(n) and g(n), $f(n) = \Omega(g(n)) \land f(n) = O(g(n)) \Leftrightarrow f(n) = \Theta(g(n))$

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 We can use the Θ-, O-, and Ω-notation to represent anonymous (unknown or unsecified) functions
 E.g.,

$$f(n) = 10n^2 + O(n)$$

means that f(n) is equal to $10n^2$ plus a function we don't know or we don't care to know that is asymptotically at most linear in *n*.

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Examples

 $n^2 + 4n - 1 = n^2 + \Theta(n)?$

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$$n \log n = O(n^2)$$
 is not asymptotically tight
 $n^2 - n + 10 = O(n^2)$ is asymptotically tight

• We use the *o*-notation to denote upper bounds that are *not* asymtotically tight. So, given a function g(n), we define the family of functions o(g(n))

$$o(g(n)) = \{f(n) : \forall c > 0, \exists n_0 > 0$$

: $0 \le f(n) < cg(n) \text{ for all } n \ge n_0\}$

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• We use the ω -notation to denote lower bounds that are *not* asymtotically tight. So, given a function g(n), we define the family of functions $\omega(g(n))$

$$\omega(g(n)) = \{ f(n) : \forall c > 0, \exists n_0 > 0 \\ : 0 \le cg(n) < f(n) \text{ for all } n \ge n_0 \}$$

