Basics of Complexity Analysis: The RAM Model and the Growth of Functions

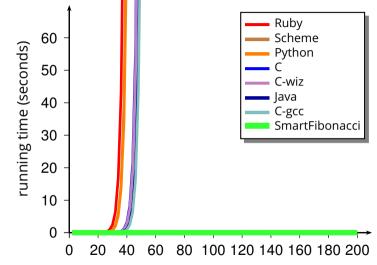
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February 25, 2021

Outline

- Informal analysis of two Fibonacci algorithms
- The *random-access machine* model
- Measure of complexity
- Characterizing functions with their asymptotic behavior
- Big-*O*, omega, and theta notations

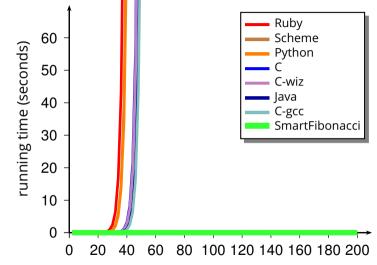


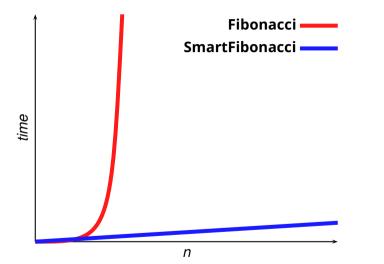
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 - Fibonacci(*n*) is *exponential* in *n*
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- How do we characterize the complexity of algorithms?
 - in general
 - in a way that is specific to the algorithms
 - but independent of implementation details





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- load/store: assignment, use of a variable
- arithmetic operations: addition, multiplication, division, etc.
- branch operations: conditional branch, jump
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A basic step in the RAM model takes a constant time

SmartFibonacci(*n*)

```
    if n == 0
    return 0
    elseif n == 1
    return 1
    else pprev = 0
    prev = 1
    for i = 2 to n
```

```
8  f = prev + pprev
9  pprev = prev
```

```
10 prev = f
```

```
11 return f
```

| SmartFibonacci(<i>n</i>) | | | | |
|----------------------------|--|--|--|--|
| 1 | if <i>n</i> == 0 | | | |
| 2 | return 0 | | | |
| 3 | elseif <i>n</i> == 1 | | | |
| 4 | return 1 | | | |
| 5 | else $pprev = 0$ | | | |
| 6 | prev = 1 | | | |
| 7 | for <i>i</i> = 2 to <i>n</i> | | | |
| 8 | f = prev + pprev | | | |
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|----------------------------|--|-----------------------|-----------------|
| 1 | if <i>n</i> == 0 | <i>C</i> ₁ | 1 |
| 2 | return 0 | <i>C</i> ₂ | 0 |
| 3 | elseif <i>n</i> == 1 | <i>C</i> 3 | 1 |
| 4 | return 1 | <i>C</i> 4 | 0 |
| 5 | else $pprev = 0$ | <i>C</i> 5 | 1 |
| 6 | prev = 1 | <i>C</i> 6 | 1 |
| 7 | for <i>i</i> = 2 to <i>n</i> | C 7 | п |
| 8 | f = prev + pprev | <i>C</i> 8 | <i>n</i> – 1 |
| 9 | pprev = prev | <i>C</i> 9 | <i>n</i> – 1 |
| 10 | prev = f | C 10 | <i>n</i> – 1 |
| 11 | return f | C ₁₁ | 1 |

 $T(n) = c_1 + c_3 + c_5 + c_6 + c_{11} + nc_7 + (n-1)(c_8 + c_9 + c_{10})$

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 $T(n) = nC_1 + C_2 \implies T(n)$ is a linear function of n

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Example: given a sequence $A = \langle a_1, a_2, ..., a_n \rangle$, and a value *x*, output true if *A* contains *x*, or false otherwise

Find(*A*, *x*) **for** *i* = 1 **to** *length*(*A*) **if** *A*[*i*] == *x* **return** true **return** false

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```
FindEquals(A)

1 for i = 1 to length(A) - 1

2 for j = i + 1 to length(A)

3 if A[i] == A[j]

4 return true

5 return false
```

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FindEquals(A) 1 for i = 1 to length(A) - 12 for j = i + 1 to length(A)3 if A[i] == A[j]4 return true 5 return false

$$T(n) = C \frac{n(n-1)}{2}$$

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- these costs are likely to vary significantly with languages, implementations, and processors
- so, we assume $c_1 = c_2 = c_3 = \cdots = c_i$
- ▶ we also ignore the specific *value c_i*, and in fact *we ignore every constant cost factor*

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$$T(n) = \Theta(n^2)$$

and say that "T(n) is theta of *n*-squared"

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 - $A(n-1) = A(n^2)$ for all *n*

■ If f(n) is such that f(n) = kA(g(n)) for all n sufficiently large and for some constant k > 0, then we say that

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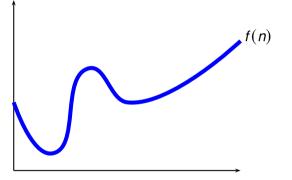
In fact, the fundamental prime number theorem says that

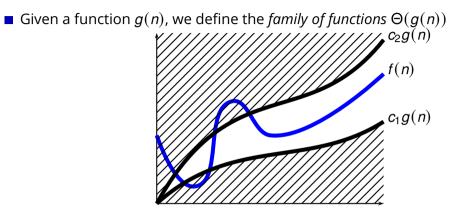
$$\lim_{n\to\infty}\frac{\pi(n)\ln n}{n}=1$$

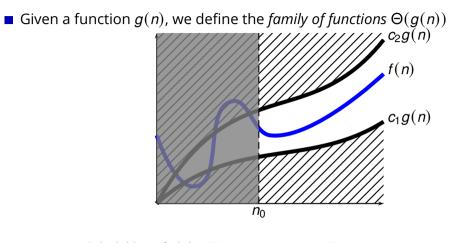
Θ -Notation

Given a function g(n), we define the *family of functions* $\Theta(g(n))$

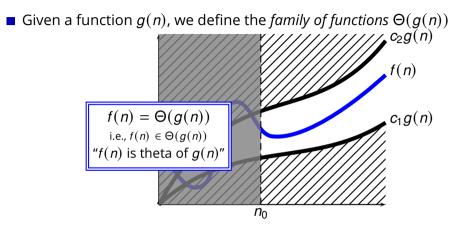
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 $\Theta(g(n)) = \{ f(n) : \exists c_1 > 0, \exists c_2 > 0, \exists n_0 > 0 \\ : 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n \ge n_0 \}$



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$$T(n) = n^2 + 10n + 100$$

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)

T(n) = complexity of **SmartFibonacci**

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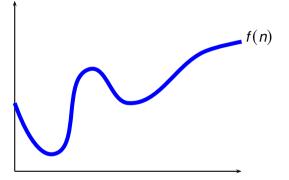
T(n) = complexity of **SmartFibonacci** \Rightarrow $T(n) = \Theta(n)$

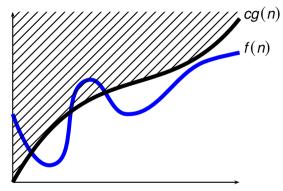
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$$T(n) = n^2 + 10n + 100 \implies T(n) = \Theta(n^2)$$

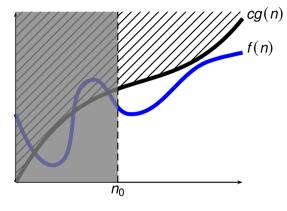
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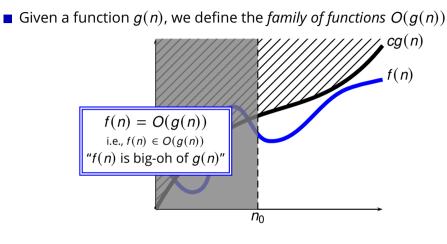
- We characterize the behavior of T(n) in the limit
- The Θ-notation is an *asymptotic notation*







$$O(g(n)) = \{ f(n) : \exists c > 0, \exists n_0 > 0 \\ : 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \}$$



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$$f(n) = n^2 + 10n + 100 \quad \Rightarrow f(n) = O(n^2) \quad \Rightarrow f(n) = O(n^3)$$

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$$f(n) = \Theta(g(n)) \land g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$$

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$$n^2 - 10n + 100 = O(n \log n)?$$



• $n^2 - 10n + 100 = O(n \log n)$? NO



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$$f(n) = O(2^n) \Rightarrow f(n) = O(n^2)? \text{ NO}$$

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So, what is the complexity of **FindEquals**?

FindEquals(A) 1 for i = 1 to length(A) - 12 for j = i + 1 to length(A)3 if A[i] == A[j]4 return true 5 return false

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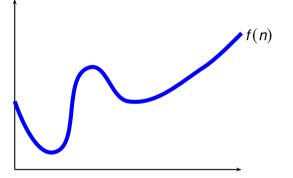
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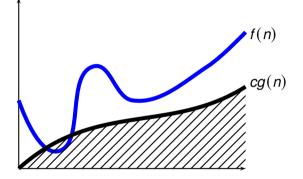
- n = length(A) is the size of the input
- we measure the worst-case complexity

Given a function g(n), we define the *family of functions* $\Omega(g(n))$

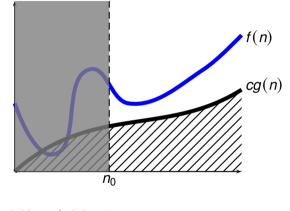
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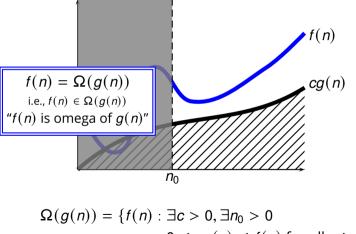


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Theorem: for any two functions f(n) and g(n), $f(n) = \Omega(g(n)) \land f(n) = O(g(n)) \Leftrightarrow f(n) = \Theta(g(n))$

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 We can use the Θ-, O-, and Ω-notation to represent anonymous (unknown or unsecified) functions
 E.g.,

$$f(n) = 10n^2 + O(n)$$

means that f(n) is equal to $10n^2$ plus a function we don't know or we don't care to know that is asymptotically at most linear in *n*.

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Examples

 $n^2 + 4n - 1 = n^2 + \Theta(n)?$

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 $n^{2} + \Omega(n) - 1 = O(n^{2})$? NO
 $n^{2} + O(n) - 1 = O(n^{2})$? YES
 $n \log n + \Theta(\sqrt{n}) = O(n\sqrt{n})$?

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 E.g.,

$$f(n) = 10n^2 + O(n)$$

means that f(n) is equal to $10n^2$ plus a function we don't know or we don't care to know that is asymptotically at most linear in *n*.

$$n^{2} + 4n - 1 = n^{2} + \Theta(n)$$
? YES
 $n^{2} + \Omega(n) - 1 = O(n^{2})$? NO
 $n^{2} + O(n) - 1 = O(n^{2})$? YES
 $n \log n + \Theta(\sqrt{n}) = O(n\sqrt{n})$? YES

O-Notation

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 is not asymptotically tight
 $n^2 - n + 10 = O(n^2)$ is asymptotically tight

• We use the *o*-notation to denote upper bounds that are *not* asymtotically tight. So, given a function g(n), we define the family of functions o(g(n))

$$o(g(n)) = \{f(n) : \forall c > 0, \exists n_0 > 0$$

: $0 \le f(n) < cg(n) \text{ for all } n \ge n_0\}$

The lower bound defined by the Ω-notation may or may not be *asymptotically tight*

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 $n + 4n \log n = \Omega(n \log n)$ is asymptotically tight

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E.g.,

 $2^n = \Omega(n \log n)$ is not asymptotically tight

 $n + 4n \log n = \Omega(n \log n)$ is asymptotically tight

• We use the ω -notation to denote lower bounds that are *not* asymtotically tight. So, given a function g(n), we define the family of functions $\omega(g(n))$

$$\omega(g(n)) = \{ f(n) : \forall c > 0, \exists n_0 > 0 \\ : 0 \le cg(n) < f(n) \text{ for all } n \ge n_0 \}$$

