# Basics of Complexity Analysis: The RAM Model and the Growth of Functions 

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## Outline

■ Informal analysis of two Fibonacci algorithms

■ The random-access machine model

■ Measure of complexity
■ Characterizing functions with their asymptotic behavior
■ Big-O, omega, and theta notations

## Slow vs. Fast Fibonacci

\section*{|  |
| :--- |
| Ruby |
| Scheme |
| Python |
| C |
| C-wiz |
| Java |
| C-gcc |
| SmartFibonacci |}

$0 \quad 20 \quad 4060 \quad 80100120140160180200$

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- in general
- in a way that is specific to the algorithms
- but independent of implementation details


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- operations involving basic types
- load/store: assignment, use of a variable
- arithmetic operations: addition, multiplication, division, etc.
- branch operations: conditional branch, jump
- subroutine call


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- load/store: assignment, use of a variable
- arithmetic operations: addition, multiplication, division, etc.
- branch operations: conditional branch, jump
- subroutine call

■ A basic step in the RAM model takes a constant time

## Analysis in the RAM Model

```
SmartFibonacci(n)
1 if \(n==0\)
2 return 0
3 elseif \(n==1\)
    return 1
    else pprev \(=0\)
    prev \(=1\)
    for \(i=2\) to \(n\)
    \(f=\) prev + pprev
    pprev \(=\) prev
10
    prev \(=f\)
11 return \(f\)
```


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cost times $(n>1)$

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| cost | times $(n>1)$ |
| :---: | :---: |
| $c_{1}$ | 1 |
| $c_{2}$ | 0 |
| $c_{3}$ | 1 |
| $c_{4}$ | 0 |
| $c_{5}$ | 1 |
| $c_{6}$ | 1 |
| $c_{7}$ | $n$ |
| $c_{8}$ | $n-1$ |
| $c_{9}$ | $n-1$ |
| $c_{10}$ | $n-1$ |
| $c_{11}$ | 1 |

$$
T(n)=c_{1}+c_{3}+c_{5}+c_{6}+c_{11}+n c_{7}+(n-1)\left(c_{8}+c_{9}+c_{10}\right)
$$

## Analysis in the RAM Model

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| :---: | :---: | :---: | :---: |
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| 3 | elseif $n==1$ | $c_{3}$ | 1 |
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| 6 | prev $=1$ | $c_{6}$ | 1 |
| 7 | for $i=2$ to $n$ | $c_{7}$ | $n$ |
| 8 | $f=$ prev + pprev | $c_{8}$ | $n-1$ |
| 9 | pprev $=$ prev | $c_{9}$ | $n-1$ |
| 10 | prev $=f$ | $c_{10}$ | $n-1$ |
| 11 | return $f$ | $c_{11}$ | 1 |

$$
T(n)=n C_{1}+C_{2} \quad \Rightarrow T(n) \text { is a linear function of } n
$$

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```
FindEquals(A)
1 for \(i=1\) to length \((A)-1\)
2 for \(j=i+1\) to length \((A)\)
    if \(A[i]==A[j]\)
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- these costs are likely to vary significantly with languages, implementations, and processors
- so, we assume $c_{1}=c_{2}=c_{3}=\cdots=c_{i}$
- we also ignore the specific value $c_{i}$, and in fact we ignore every constant cost factor

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We write

$$
T(n)=\Theta\left(n^{2}\right)
$$

and say that " $T(n)$ is theta of $n$-squared"

## Don Knuth's $A$-notation

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From $A$ to $O$

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- If $f(n)$ is such that $f(n)=k A(g(n))$ for all $n$ sufficiently large and for some constant $k>0$, then we say that

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- If $f(n)=O(g(n))$ then we can also say that $g(n)$ asymptotically dominates $f(n)$, which we can also write as

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■ When $f(n)=O(g(n))$ and $f(n)=\Omega(g(n))$ we also write

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Let $\pi(n)$ be the number of primes less than or equal to $n$
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- $\pi(n)=O(n)$


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■ The idea of the $O, \Omega$, and $\Theta$ notations is very often to characterize a function that is not completely known

## Example:

Let $\pi(n)$ be the number of primes less than or equal to $n$
What is the asymptotic behavior of $\pi(n)$ ?

- $\pi(n)=O(n)$
- $\pi(n)=\Omega(1)$
trivial upper bound
trivial lower bound


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trivial lower bound
- $\pi(n)=\Theta(n / \log n)$
trivial upper bound
non-trivial tight bound
In fact, the fundamental prime number theorem says that

$$
\lim _{n \rightarrow \infty} \frac{\pi(n) \ln n}{n}=1
$$

## $\Theta$-Notation

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■ Given a function $g(n)$, we define the family of functions $\Theta(g(n))$

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\begin{aligned}
\Theta(g(n))=\{f(n) & : \exists c_{1}>0, \exists c_{2}>0, \exists n_{0}>0 \\
& \left.: 0 \leq c_{1} g(n) \leq f(n) \leq c_{2} g(n) \text { for all } n \geq n_{0}\right\}
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■ Given a function $g(n)$, we define the family of functions $\Theta(g(n))$


# Examples 

■ $T(n)=n^{2}+10 n+100$

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- $T(n)=n^{2}+10 n+100 \Rightarrow T(n)=\Theta\left(n^{2}\right)$

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$\square$ We characterize the behavior of $T(n)$ in the limit

- The $\Theta$-notation is an asymptotic notation

O-Notation

- Given a function $g(n)$, we define the family of functions $O(g(n))$
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# Examples 

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## Examples

■ $n^{2}-10 n+100=O(n \log n) ?$

# Examples 

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■ So, what is the complexity of FindEquals?
FindEquals $(A)$
1

| for $i=1$ to length $(A)-1$ |  |
| :--- | :---: |
| 2 | for $j=i+1$ to length $(A)$ |
| 3 | if $A[i]==A[j]$ |
| 4 | return TRUE |
| 5 | return $\operatorname{FALSE}$ |

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```
FindEquals \((A)\)
1 for \(i=1\) to length \((A)-1\)
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                                return TRUE
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```

$$
T(n)=\Theta\left(n^{2}\right)
$$

- $n=$ length $(A)$ is the size of the input
- we measure the worst-case complexity


## Q-Notation

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## $\Theta, 0$, and $\Omega$ as Relations

- Theorem: for any two functions $f(n)$ and $g(n)$,

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$\square$ When $f(n)=\Omega(g(n))$ we say that $g(n)$ is a lower bound for $f(n)$

## $\Theta, O$, and $\Omega$ as Anonymous Functions

■ We can use the $\Theta-, O$-, and $\Omega$-notation to represent anonymous (unknown or unsecified) functions E.g.,

$$
f(n)=10 n^{2}+O(n)
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means that $f(n)$ is equal to $10 n^{2}$ plus a function we don't know or we don't care to know that is asymptotically at most linear in $n$.

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- Examples

$$
n^{2}+4 n-1=n^{2}+\Theta(n) ?
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## $\Theta, O$, and $\Omega$ as Anonymous Functions

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f(n)=10 n^{2}+O(n)
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means that $f(n)$ is equal to $10 n^{2}$ plus a function we don't know or we don't care to know that is asymptotically at most linear in $n$.

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