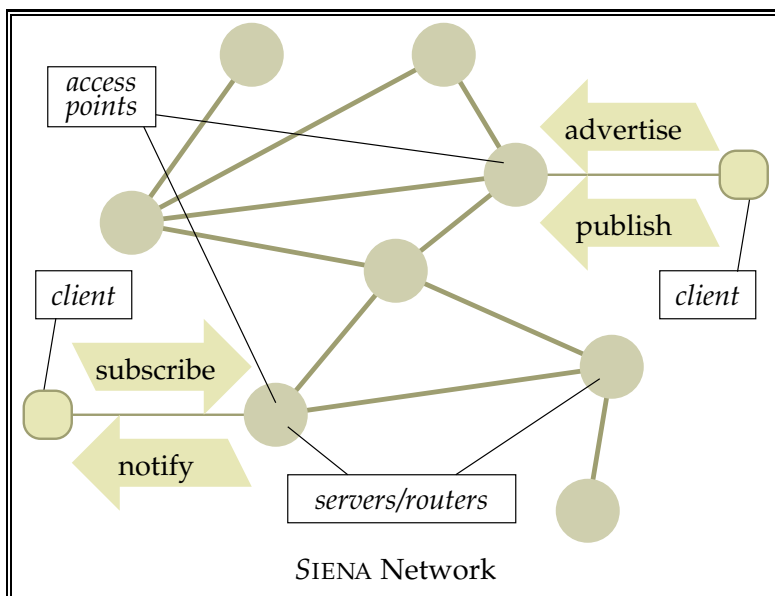


Publish/subscribe is a communication pattern in which information flow is directed by the interest of receivers rather than by explicit addresses determined by senders. This pattern is particularly suitable for a wide range of distributed applications.

The goal of SIENA is the realization of a ubiquitous publish/subscribe notification service accessible from every site on a wide-area network and suitable for supporting highly distributed applications requiring component interactions ranging in granularity from fine to coarse. The service is implemented as a network of servers that provide access points to clients. Clients use the access points to *advertise* information about events and subsequently to *publish* multiple notifications of the kind previously advertised, and to *subscribe* for notifications of interest. The service uses the access points to then *notify* clients by delivering any notifications of interest.

Applications

- **system management:** network management, intrusion detection, software deployment
- **e-commerce:** information sharing, electronic auctions, news
- **middleware:** service discovery, component integration, message-oriented communication



The conceptual basis for the design of the SIENA network is our novel theory of *content-based networking*.

Content-Based Networking

Content-based networking is a generalization of a distributed publish/subscribe notification service [3]. At the physical-architecture level, a content-based network is identical to a traditional datagram network. We can think of it as a graph, where nodes are *hosts* or *routers*, and arcs are direct communication links.

Content-based networking differs significantly from traditional (unicast or multicast) networking in its service model. In a content-based network, nodes are not assigned a unique network address, nor are datagrams addressed to any specific node or node group. Instead, each node submits a *receiver predicate* (or *r-predicate*) that matches datagrams of interest for that node. Nodes can also send out datagrams, which the network will forward to all the nodes with matching *r-predicates*.

Similar to traditional networks, a router in a content-based network implements a *forwarding* and a *routing* function. *Content-based forwarding* means evaluating an incoming message against a table of predicates (the forwarding table) to determine to which neighbor nodes the message should be forwarded. *Content-based routing* amounts to exchanging predicates and other information with other routers in order to compile the forwarding table in such a way that messages will be forwarded correctly from senders to the designated receivers.

SIENA implements a routing optimization strategy that reduces the propagation of predicates exploiting the logical implications among *r-predicates* [2]. The effects are to reduce routing traffic, to reduce the size of the forwarding table at every router, and thereby allowing a faster forwarding function.

Prototype Implementation

The current implementation of SIENA offers two application programming interfaces, one for C++ and the other for Java. Both interfaces provide the complete data model and subscription language of SIENA. Server implementations are also available in C++ and Java. SIENA is integrated with XML event producers and consumers through SXML, a tool we have developed that offers an end-to-end interface based on XML transformation rules and XPath subscriptions. SIENA has a modular communication mechanism which currently supports links over UDP, TCP with either simple one-time connections or more efficient persistent connections, and SSL for complete communication security.

Notification Model

An *event notification* (or simply a *notification*) is a set of typed attributes. For example, the following represents a stock price change event.

```
string    class = finance/exchanges/stock
time      date = Mar 4 11:43:37 MST 1998
string exchange = NYSE
string symbol = DIS
float     prior = 105.25
float     change = -4
float     earn = 2.04
```

Each individual attribute has a *type*, a *name*, and a *value*, but the notification as a whole is purely a structural value derived from its attributes. Attribute names are simply character strings. The attribute types belong to a predefined set of primitive types commonly found in programming languages and database query languages.

Subscription Model

An *event filter*, or simply a *filter*, selects event notifications by specifying a set of attributes and constraints on the values of those attributes. Each constraint is a tuple specifying a type, a name, a binary predicate operator, and a value for an attribute. The operators provided by SIENA include all the common equality and ordering relations ($=$, \neq , $<$, $>$, etc.) for all of its types; substring ($*$), prefix ($> *$), and suffix ($* <$) operators for strings; and an operator *any* that matches any value.

The following is an example of a filter that matches price increases for stock DIS on the NYSE.

```
string    class > * finance/exchanges/
string exchange = NYSE
string symbol = DIS
float     change > 0
```

Expressiveness and Scalability

SIENA achieves a good balance of expressiveness and scalability [1]. Our chosen level of expressiveness represents a compromise, at which notification structure, attribute types, and attribute operators approximate those of the well-understood and widely-used database query language SQL. At the same time, by having a well-defined set of types and operators, SIENA can perform efficient routing and forwarding based on the content of notifications.

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Additional Information and Available Software

<http://www.cs.colorado.edu/serl/siena/>

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