# Basics of Complexity Analysis: The RAM Model and the Growth of Functions 

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## Outline

■ Informal analysis of two Pingala algorithms
■ The random-access machine model

- Measure of complexity
- Characterizing functions with their asymptotic behavior
- Big-O, omega, and theta notations



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■ How do we characterize the complexity of algorithms?

- in general
- in a way that is specific to the algorithms
- but independent of implementation details



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- load/store: assignment, use of a variable
- arithmetic operations: addition, multiplication, division, etc.
- branch operations: conditional branch, jump
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■ A basic step in the RAM model takes a constant time

## Analysis in the RAM Model

```
Pingala-Inc( \(n\) )
1 if \(n \leq 2\)
        return \(n\)
    pprev = 1
        prev \(=2\)
5 for \(i=3\) to \(n\)
        P = prev + pprev
        pprev = prev
        prev \(=P\)
    return \(P\)
```


## Analysis in the RAM Model



Analysis in the RAM Model

| PingALA-INC $(n)$ | cost | times $(n>2)$ |  |
| :--- | :---: | :---: | :---: |
| 1 | if $n \leq 2$ | $c_{1}$ | 1 |
| 2 | return $n$ | $c_{2}$ | 0 |
| 3 | pprev $=1$ | $c_{3}$ | 1 |
| 4 | prev $=2$ | $c_{4}$ | 1 |
| 5 | for $i=3$ to $n$ | $c_{5}$ | $n-1$ |
| 6 | $P=$ prev + pprev | $c_{6}$ | $n-2$ |
| 7 | pprev $=$ prev | $c_{7}$ | $n-2$ |
| 8 | prev $=P$ | $c_{8}$ | $n-2$ |
| 9 | return $P$ | $c_{9}$ | 1 |

$$
T(n)=c_{1}+c_{3}+c_{4}+c_{9}+(n-1) c_{5}+(n-2)\left(c_{6}+c_{7}+c_{8}\right)
$$

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| 8 | prev $=P$ | $c_{8}$ | $n-2$ |
| 9 | return $P$ | $c_{9}$ | 1 |

$T(n)=n C_{1}+C_{2} \quad \Rightarrow T(n)$ is a linear function of $n$

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■ Example: given a sequence $A=\left\langle a_{1}, a_{2}, \ldots, a_{n}\right\rangle$, and a value $x$, output true if $A$ contains $x$, or FALSE otherwise
$\operatorname{Find}(A, x)$
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for $i=1$ to length $(A)$
2 $\quad$ if $A[i]==x \quad$ return TRUE

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\(\operatorname{Find}(A, x)\)
1 for \(i=1\) to length \((A)\)
2 if \(A[i]=x\)
return TRUE
4 return FALSE
```

$$
T(n)=C n
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FindEquals(A)
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for j = i+1 to length(A)
    if }A[i]==A[j
        return TRUE
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```


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- these costs are likely to vary significantly with languages, implementations, and processors
- so, we assume $c_{1}=c_{2}=c_{3}=\cdots=c_{i}$
- we also ignore the specific value $c_{i}$, and in fact we ignore every constant cost factor


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we only consider the $n^{2}$ term and say that $T(n)$ is a quadratic function in $n$ We write

$$
T(n)=\Theta\left(n^{2}\right)
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and say that " $T(n)$ is theta of $n$-squared"

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From $A$ to $O$

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- If $f(n)$ is such that $f(n)=k A(g(n))$ for all $n$ sufficiently large and for some constant $k>0$, then we say that

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## From $O$ to $\Omega$ and $\Theta$

■ If $f(n)=O(g(n))$ then we can also say that $g(n)$ asymptotically dominates $f(n)$, which we can also write as

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- When $f(n)=O(g(n))$ and $f(n)=\Omega(g(n))$ we also write

$$
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In fact, the fundamental prime number theorem says that

$$
\lim _{n \rightarrow \infty} \frac{\pi(n) \ln n}{n}=1
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$$
\begin{aligned}
\Theta(g(n))=\{f(n) & : \exists c_{1}>0, \exists c_{2}>0, \exists n_{0}>0 \\
& \left.: 0 \leq c_{1} g(n) \leq f(n) \leq c_{2} g(n) \text { for all } n \geq n_{0}\right\}
\end{aligned}
$$

■ Given a function $g(n)$, we define the family of functions $\Theta(g(n))$


## Examples

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■ $T(n)=$ complexity of PINGALA-INC $\Rightarrow T(n)=\Theta(n)$
■ We characterize the behavior of $T(n)$ in the limit

- The $\Theta$-notation is an asymptotic notation

O-Notation

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O(g(n))=\{f(n) & : \exists c>0, \exists n_{0}>0 \\
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## Examples

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## Examples

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## Examples

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## Example

■ So, what is the complexity of FindEqUALs?

| FindEquaLs $(A)$ |
| :--- |
| 1 for $i=1$ to length $(A)-1$ <br> 2 for $j=i+1$ to length $(A)$ <br> 3 if $A[i]==A[j]$ <br> 4 return TRUE <br> 5 return FALSE |

■ So, what is the complexity of FindEqUALs?
FindEquals $(A)$

| 1 | for $i=1$ to length $(A)-1$ |
| :--- | :---: |
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| 3 | if $A[i]==A[j]$ |
| 4 | return TRUE |
| 5 | return FALSE |

$$
T(n)=\Theta\left(n^{2}\right)
$$

- $n=$ length $(A)$ is the size of the input
- we measure the worst-case complexity


## $\Omega$-Notation

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\begin{aligned}
\Omega(g(n))=\{f(n) & : \exists c>0, \exists n_{0}>0 \\
& \left.: 0 \leq c g(n) \leq f(n) \text { for all } n \geq n_{0}\right\}
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■ Given a function $g(n)$, we define the family of functions $\Omega(g(n))$


## $\Theta, O$, and $\Omega$ as Relations

- Theorem: for any two functions $f(n)$ and $g(n)$, $f(n)=\Omega(g(n)) \wedge f(n)=O(g(n)) \Leftrightarrow f(n)=\Theta(g(n))$


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## $\Theta, O$, and $\Omega$ as Anonymous Functions

- We can use the $\Theta^{-}, O_{-}$, and $\Omega$-notation to represent anonymous (unknown or unsecified) functions
E.g.,

$$
f(n)=10 n^{2}+O(n)
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means that $f(n)$ is equal to $10 n^{2}$ plus a function we don't know or we don't care to know that is asymptotically at most linear in $n$.

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■ Examples

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\begin{aligned}
& n^{2}+4 n-1=n^{2}+\Theta(n) ? \quad \text { YES } \\
& n^{2}+\Omega(n)-1=O\left(n^{2}\right) ? \quad \text { NO } \\
& n^{2}+O(n)-1=O\left(n^{2}\right) ? \quad \text { YES }
\end{aligned}
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## $\Theta, O$, and $\Omega$ as Anonymous Functions

- We can use the $\Theta-, O$-, and $\Omega$-notation to represent anonymous (unknown or unsecified) functions
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f(n)=10 n^{2}+O(n)
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## o-Notation

- The $O$-notation defines an upper bound that might not be asymptotically tight
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■ We use the o-notation to denote upper bounds that are not asymtotically tight. So, given a function $g(n)$, we define the family of functions $o(g(n))$

$$
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o(g(n))=\{f(n) & : \forall c>0, \exists n_{0}>0 \\
& \left.: 0 \leq f(n)<c g(n) \text { for all } n \geq n_{0}\right\}
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## $\omega$-Notation

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E.g.,
$2^{n}=\Omega(n \log n) \quad$ is not asymptotically tight
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\end{aligned}
$$



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