

# Algorithms and Data Structures

## Course Introduction

Antonio Carzaniga

Faculty of Informatics  
Università della Svizzera italiana

February 21, 2017

## ■ On-line course information

- ▶ on iCorsi: 'INFO.ALGO17' <https://www2.icorsi.ch/course/view.php?id=5726>
- ▶ and on my web page: <http://www.inf.usi.ch/carzaniga/edu/algo/>
- ▶ last edition also on-line: <http://www.inf.usi.ch/carzaniga/edu/algo16s/>

## ■ On-line course information

- ▶ on iCorsi: 'INFO.ALGO17' <https://www2.icorsi.ch/course/view.php?id=5726>
- ▶ and on my web page: <http://www.inf.usi.ch/carzaniga/edu/algo/>
- ▶ last edition also on-line: <http://www.inf.usi.ch/carzaniga/edu/algo16s/>

## ■ Announcements

- ▶ ***you are responsible for reading the announcements page or the messages sent through iCorsi***

## ■ On-line course information

- ▶ on iCorsi: 'INFO.ALGO17' <https://www2.icorsi.ch/course/view.php?id=5726>
- ▶ and on my web page: <http://www.inf.usi.ch/carzaniga/edu/algo/>
- ▶ last edition also on-line: <http://www.inf.usi.ch/carzaniga/edu/algo16s/>

## ■ Announcements

- ▶ ***you are responsible for reading the announcements page or the messages sent through iCorsi***

## ■ Office hours

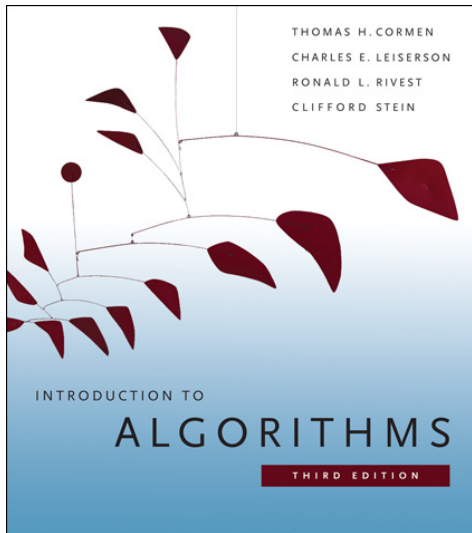
- ▶ Antonio Carzaniga: *by appointment*
- ▶ Enrique Fynn: *by appointment*
- ▶ Seyed Ali Bahreinian: *by appointment*

*Introduction to Algorithms*

Third Edition

Thomas H. Cormen  
Charles E. Leiserson  
Ronald L. Rivest  
Clifford Stein

The MIT Press



- +30% projects
  - ▶ 3-5 assignments
  - ▶ grades added together, thus resulting in a weighted average
- +30% midterm exam
- +40% final exam
- $\pm 10\%$  instructor's discretionary evaluation
  - ▶ participation
  - ▶ extra credits
  - ▶ trajectory
  - ▶ ...

- +30% projects
  - ▶ 3-5 assignments
  - ▶ grades added together, thus resulting in a weighted average
- +30% midterm exam
- +40% final exam
- $\pm 10\%$  instructor's discretionary evaluation
  - ▶ participation
  - ▶ extra credits
  - ▶ trajectory
  - ▶ ...
- -100% plagiarism penalties





***A student should never take someone else's material and present it as his or her own. Doing so means committing plagiarism.***

***A student should never take someone else's material and present it as his or her own. Doing so means committing plagiarism.***

- “material” means ideas, words, code, suggestions, corrections on one’s work, etc.
- Using someone else’s material may be appropriate
  - ▶ e.g., software libraries
  - ▶ ***always clearly identify the external material, and acknowledge its source. Failing to do so means committing plagiarism.***
  - ▶ the work will be evaluated based on its *added value*

***A student should never take someone else's material and present it as his or her own. Doing so means committing plagiarism.***

- “material” means ideas, words, code, suggestions, corrections on one’s work, etc.
- Using someone else’s material may be appropriate
  - ▶ e.g., software libraries
  - ▶ ***always clearly identify the external material, and acknowledge its source. Failing to do so means committing plagiarism.***
  - ▶ the work will be evaluated based on its *added value*
- Plagiarism on an assignment or an exam will result in
  - ▶ failing that assignment or that exam
  - ▶ losing one or more points *in the final note!*
- Penalties may be escalated in accordance with the regulations of the Faculty of Informatics



*Deadlines are firm.*

## ***Deadlines are firm.***

- Exceptions may be granted
  - ▶ at the instructor's discretion
  - ▶ only for documented medical conditions or other documented emergencies

## ***Deadlines are firm.***

- Exceptions may be granted
  - ▶ at the instructor's discretion
  - ▶ only for documented medical conditions or other documented emergencies
- Each late day will reduce the assignment's grade by *one third* of the total value of that assignment

## ***Deadlines are firm.***

- Exceptions may be granted
  - ▶ at the instructor's discretion
  - ▶ only for documented medical conditions or other documented emergencies
- Each late day will reduce the assignment's grade by *one third* of the total value of that assignment
  - ▶ **Corollary 1:** The grade of an assignment turned in more than two days late is 0



## ***Deadlines are firm.***

- Exceptions may be granted
  - ▶ at the instructor's discretion
  - ▶ only for documented medical conditions or other documented emergencies
- Each late day will reduce the assignment's grade by *one third* of the total value of that assignment
  - ▶ **Corollary 1:** The grade of an assignment turned in more than two days late is 0
  - ▶ The proof of Corollary 1 is left as an exercise

Now let's move on to the real  
interesting and fun stuff...



# Fundamental Ideas



Johannes Gutenberg invents movable type and the printing press in Mainz, circa 1450 (already known in China, circa 1200 CE)

**Maybe More Fundamental Ideas**

# Maybe More Fundamental Ideas

- The decimal numbering system (India, circa 600)

## Maybe More Fundamental Ideas

- The decimal numbering system (India, circa 600)
- Persian mathematician Khwārizmī writes a book (Baghdad, circa 830)



Muhammad ibn Musa  
al-Khwārizmī

# Maybe More Fundamental Ideas

- The decimal numbering system (India, circa 600)
- Persian mathematician Khwārizmī writes a book (Baghdad, circa 830)
  - ▶ methods for adding, multiplying, and dividing numbers (and more)



Muhammad ibn Musa  
al-Khwārizmī



# Maybe More Fundamental Ideas

- The decimal numbering system (India, circa 600)
- Persian mathematician Khwārizmī writes a book (Baghdad, circa 830)
  - ▶ methods for adding, multiplying, and dividing numbers (and more)
  - ▶ these procedures were **precise, unambiguous, mechanical, efficient,** and **correct**



Muhammad ibn Musa  
al-Khwārizmī

# Maybe More Fundamental Ideas

- The decimal numbering system (India, circa 600)
- Persian mathematician Khwārizmī writes a book (Baghdad, circa 830)
  - ▶ methods for adding, multiplying, and dividing numbers (and more)
  - ▶ these procedures were **precise, unambiguous, mechanical, efficient,** and **correct**
  - ▶ *they were **algorithms!***



Muhammad ibn Musa  
al-Khwārizmī

**Algorithms are**

***the essence***

of computer programs

**Algorithms are**

***the essence***

of computer programs

Algorithms are

*the essence*

of computer programs

Algorithms are

***the essence***

*of computer programs*

Algorithms are

***the essence***

*of computer programs*

- A sequence of numbers

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, . . .



- A sequence of numbers

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, . . .

- The well-known Fibonacci sequence



Leonardo da Pisa (ca. 1170–ca. 1250)  
son of Guglielmo “Bonaccio”  
a.k.a. *Leonardo Fibonacci*

# The Fibonacci Sequence

■ Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$

# The Fibonacci Sequence

- Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$
- Implementation on a computer:

## Racket

```
(define (F n)
  (cond
    ((= n 0) 0)
    ((= n 1) 1)
    (else (+ (F (- n 1)) (F (- n 2))))))
```

# The Fibonacci Sequence

- Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$
- Implementation on a computer:

Java

```
public class Fibonacci {
    public static int F(int n) {
        if (n == 0) {
            return 0;
        } else if (n == 1) {
            return 1;
        } else {
            return F(n-1) + F(n-2);
        }
    }
}
```

# The Fibonacci Sequence

- Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$
- Implementation on a computer:

## C or C++

```
int F(int n) {  
    if (n == 0) {  
        return 0;  
    } else if (n == 1) {  
        return 1;  
    } else {  
        return F(n-1) + F(n-2);  
    }  
}
```

# The Fibonacci Sequence

- Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$
- Implementation on a computer:

## Ruby

```
def F(n)
  case n
  when 0
    return 0
  when 1
    return 1
  else
    return F(n-1) + F(n-2)
  end
end
```

# The Fibonacci Sequence

- Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$
- Implementation on a computer:

## Python

```
def F(n):  
    if n == 0:  
        return 0  
    elif n == 1:  
        return 1  
    else:  
        return F(n-1) + F(n-2)
```

# The Fibonacci Sequence

- Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$
- Implementation on a computer:

very concise C/C++ (or Java)

```
int F(int n) { return (n<2)?n:F(n-1)+F(n-2); }
```



# The Fibonacci Sequence

- Mathematical definition:  $F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$
- Implementation on a computer:

“pseudo-code”

**FIBONACCI**( $n$ )

```
1  if  $n == 0$ 
2      return 0
3  elseif  $n == 1$ 
4      return 1
5  else return FIBONACCI( $n - 1$ ) + FIBONACCI( $n - 2$ )
```

## Questions on Our First Algorithm

**FIBONACCI**( $n$ )

1 **if**  $n == 0$

2     **return** 0

3 **elseif**  $n == 1$

4     **return** 1

5 **else return** **FIBONACCI**( $n - 1$ ) + **FIBONACCI**( $n - 2$ )

## Questions on Our First Algorithm

**FIBONACCI**( $n$ )

1 **if**  $n == 0$

2     **return** 0

3 **elseif**  $n == 1$

4     **return** 1

5 **else return** **FIBONACCI**( $n - 1$ ) + **FIBONACCI**( $n - 2$ )

1. Is the algorithm *correct*?

- ▶ for every valid input, does it terminate?
- ▶ if so, does it do the right thing?

## Questions on Our First Algorithm

```
FIBONACCI( $n$ )
```

```
1  if  $n == 0$ 
```

```
2      return 0
```

```
3  elseif  $n == 1$ 
```

```
4      return 1
```

```
5  else return FIBONACCI( $n - 1$ ) + FIBONACCI( $n - 2$ )
```

1. Is the algorithm *correct*?
  - ▶ for every valid input, does it terminate?
  - ▶ if so, does it do the right thing?
2. How much *time* does it take to complete?

## Questions on Our First Algorithm

```
FIBONACCI( $n$ )
```

```
1  if  $n == 0$ 
```

```
2      return 0
```

```
3  elseif  $n == 1$ 
```

```
4      return 1
```

```
5  else return FIBONACCI( $n - 1$ ) + FIBONACCI( $n - 2$ )
```

1. Is the algorithm *correct*?
  - ▶ for every valid input, does it terminate?
  - ▶ if so, does it do the right thing?
2. How much *time* does it take to complete?
3. Can we do better?

**FIBONACCI**( $n$ )1 **if**  $n == 0$ 2     **return** 03 **elseif**  $n == 1$ 4     **return** 15 **else return** **FIBONACCI**( $n - 1$ ) + **FIBONACCI**( $n - 2$ )

$$F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$$

**FIBONACCI**( $n$ )1 **if**  $n == 0$ 2     **return** 03 **elseif**  $n == 1$ 4     **return** 15 **else return** **FIBONACCI**( $n - 1$ ) + **FIBONACCI**( $n - 2$ )

$$F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$$

- The algorithm is clearly correct
  - ▶ assuming  $n \geq 0$

- How long does it take?



- How long does it take?

Let's try it out...





- Different implementations perform differently
  - ▶ it is better to let the compiler do the optimization
  - ▶ simple language tricks don't seem to pay off

- Different implementations perform differently
  - ▶ it is better to let the compiler do the optimization
  - ▶ simple language tricks don't seem to pay off
- However, the differences are not substantial
  - ▶ *all* implementations sooner or later seem to hit a wall...

- Different implementations perform differently
  - ▶ it is better to let the compiler do the optimization
  - ▶ simple language tricks don't seem to pay off
- However, the differences are not substantial
  - ▶ *all* implementations sooner or later seem to hit a wall...
- Conclusion: ***the problem is with the algorithm***

# Complexity of Our First Algorithm

- We need a mathematical characterization of the performance of the algorithm

We'll call it the algorithm's *computational complexity*

# Complexity of Our First Algorithm

- We need a mathematical characterization of the performance of the algorithm

We'll call it the algorithm's *computational complexity*

- Let  $T(n)$  be the number of *basic steps* needed to compute **FIBONACCI**( $n$ )



# Complexity of Our First Algorithm

- We need a mathematical characterization of the performance of the algorithm

We'll call it the algorithm's *computational complexity*

- Let  $T(n)$  be the number of *basic steps* needed to compute **FIBONACCI**( $n$ )

```
FIBONACCI( $n$ )
```

```
1 if  $n == 0$ 
```

```
2     return 0
```

```
3 elseif  $n == 1$ 
```

```
4     return 1
```

```
5 else return FIBONACCI( $n - 1$ ) + FIBONACCI( $n - 2$ )
```

# Complexity of Our First Algorithm

- We need a mathematical characterization of the performance of the algorithm

We'll call it the algorithm's *computational complexity*

- Let  $T(n)$  be the number of *basic steps* needed to compute **FIBONACCI**( $n$ )

```
FIBONACCI( $n$ )
```

```
1 if  $n == 0$ 
```

```
2     return 0
```

```
3 elseif  $n == 1$ 
```

```
4     return 1
```

```
5 else return FIBONACCI( $n - 1$ ) + FIBONACCI( $n - 2$ )
```

$T(0) = 2; T(1) = 3$

# Complexity of Our First Algorithm

- We need a mathematical characterization of the performance of the algorithm

We'll call it the algorithm's *computational complexity*

- Let  $T(n)$  be the number of *basic steps* needed to compute **FIBONACCI**( $n$ )

```
FIBONACCI( $n$ )
```

```
1  if  $n == 0$ 
```

```
2      return 0
```

```
3  elseif  $n == 1$ 
```

```
4      return 1
```

```
5  else return FIBONACCI( $n - 1$ ) + FIBONACCI( $n - 2$ )
```

$$T(0) = 2; T(1) = 3$$

$$T(n) = T(n - 1) + T(n - 2) + 3$$

# Complexity of Our First Algorithm

- We need a mathematical characterization of the performance of the algorithm

We'll call it the algorithm's *computational complexity*

- Let  $T(n)$  be the number of *basic steps* needed to compute **FIBONACCI**( $n$ )

```
FIBONACCI( $n$ )
```

```
1 if  $n == 0$ 
```

```
2     return 0
```

```
3 elseif  $n == 1$ 
```

```
4     return 1
```

```
5 else return FIBONACCI( $n - 1$ ) + FIBONACCI( $n - 2$ )
```

$$T(0) = 2; T(1) = 3$$

$$T(n) = T(n - 1) + T(n - 2) + 3 \Rightarrow T(n) \geq F_n$$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2}$$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2} \geq 2(2F_{n-4})$$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2} \geq 2(2F_{n-4}) \geq 2(2(2F_{n-6}))$$



## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2} \geq 2(2F_{n-4}) \geq 2(2(2F_{n-6})) \geq \dots$$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2} \geq 2(2F_{n-4}) \geq 2(2(2F_{n-6})) \geq \dots \geq 2^{\frac{n}{2}}$$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2} \geq 2(2F_{n-4}) \geq 2(2(2F_{n-6})) \geq \dots \geq 2^{\frac{n}{2}}$$

This means that

$$T(n) \geq (\sqrt{2})^n \approx (1.4)^n$$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2} \geq 2(2F_{n-4}) \geq 2(2(2F_{n-6})) \geq \dots \geq 2^{\frac{n}{2}}$$

This means that

$$T(n) \geq (\sqrt{2})^n \approx (1.4)^n$$

- $T(n)$  **grows exponentially** with  $n$

## Complexity of Our First Algorithm (2)

- So, let's try to understand how  $F_n$  grows with  $n$

$$T(n) \geq F_n = F_{n-1} + F_{n-2}$$

Now, since  $F_n \geq F_{n-1} \geq F_{n-2} \geq F_{n-3} \geq \dots$

$$F_n \geq 2F_{n-2} \geq 2(2F_{n-4}) \geq 2(2(2F_{n-6})) \geq \dots \geq 2^{\frac{n}{2}}$$

This means that

$$T(n) \geq (\sqrt{2})^n \approx (1.4)^n$$

- $T(n)$  **grows exponentially** with  $n$
- Can we do better?

- Again, the sequence is 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, ...

- Again, the sequence is 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, . . .
- **Idea:** we can build  $F_n$  from the ground up!

- Again, the sequence is 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, ...
- **Idea:** we can build  $F_n$  from the ground up!

## SMARTFIBONACCI( $n$ )

```
1  if  $n == 0$ 
2      return 0
3  elseif  $n == 1$ 
4      return 1
5  else  $pprev = 0$ 
6       $prev = 1$ 
7      for  $i = 2$  to  $n$ 
8           $f = prev + pprev$ 
9           $pprev = prev$ 
10          $prev = f$ 
11 return  $f$ 
```





## Complexity of SMARTFIBONACCI

**SMARTFIBONACCI**( $n$ )

```
1  if  $n == 0$ 
2      return 0
3  elseif  $n == 1$ 
4      return 1
5  else  $prev = 0$ 
6       $pprev = 1$ 
7      for  $i = 2$  to  $n$ 
8           $f = prev + pprev$ 
9           $pprev = prev$ 
10          $prev = f$ 
11 return  $f$ 
```

# Complexity of SMARTFIBONACCI

```
SMARTFIBONACCI(n)
1  if n == 0
2      return 0
3  elseif n == 1
4      return 1
5  else prev = 0
6      pprev = 1
7      for i = 2 to n
8          f = prev + pprev
9          pprev = prev
10         prev = f
11 return f
```

$T(n) =$

# Complexity of SMARTFIBONACCI

```
SMARTFIBONACCI(n)
1  if n == 0
2      return 0
3  elseif n == 1
4      return 1
5  else prev = 0
6      pprev = 1
7      for i = 2 to n
8          f = prev + pprev
9          pprev = prev
10         prev = f
11 return f
```

$$T(n) = 6 + 6(n - 1)$$

# Complexity of SMARTFIBONACCI

```
SMARTFIBONACCI(n)
1  if n == 0
2      return 0
3  elseif n == 1
4      return 1
5  else prev = 0
6      pprev = 1
7      for i = 2 to n
8          f = prev + pprev
9          pprev = prev
10         prev = f
11 return f
```

$$T(n) = 6 + 6(n - 1) = 6n$$

# Complexity of SMARTFIBONACCI

```
SMARTFIBONACCI(n)
1  if n == 0
2      return 0
3  elseif n == 1
4      return 1
5  else prev = 0
6      pprev = 1
7      for i = 2 to n
8          f = prev + pprev
9          pprev = prev
10         prev = f
11 return f
```

$$T(n) = 6 + 6(n - 1) = 6n$$

The *complexity* of SMARTFIBONACCI(*n*) is *linear* in *n*